

# Nominal Techniques

or, “The Real Thing”

Christian Urban (TU Munich)

<http://isabelle.in.tum.de/nominal/>

A Formalisation of a CK Machine:

— ↓ —

CK

# Nominal Techniques

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A Formalisation of a CK Machine:

$\_ \Downarrow \_ \longrightarrow \text{CK}$

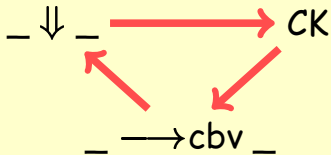
# Nominal Techniques

## or, “The Real Thing”

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A Formalisation of a CK Machine:



# Lambda-Terms

- We build on the theory Nominal (which in turn builds on HOL). Nominal provides an infrastructure to reason with binders.

`atom_decl` name

`nominal_datatype` lam =

```
  Var "name"  
| App "lam" "lam"  
| Lam "«name»lam" ("Lam [_]._")
```

# Lambda-Terms

- We build on the theory Nominal (which in turn builds on HOL). Nominal provides an infrastructure to reason with binders.

`atom_decl` name

```
nominal_datatype lam =  
  Var "name"  
| App "lam" "lam"  
| Lam "«name»lam" ("Lam [_]._")
```

- We allow more than one kind of atoms.
- At the moment we only support single, but nested binders (future: arbitrary binding structures).

# Contexts

```
datatype ctx =  
  Hole ("□")  
| CAppL "ctx" "lam"  
| CAppR "lam" "ctx"  
| CLam "name" "ctx" ("CLam [_]._")
```

**fun**

```
filling :: "ctx  $\Rightarrow$  lam  $\Rightarrow$  lam" ("_[_]")
```

**where**

```
"□[t] = t"
```

```
"(CAppL E t')[t] = App (E[t]) t'"
```

```
"(CAppR t' E)[t] = App t' (E[t])"
```

```
"(CLam [x].E)[t] = Lam [x].(E[t])"
```

**lemma** alpha\_test:

```
shows "x  $\neq$  y  $\implies$  (CLam [x].□)  $\neq$  (CLam [y].□)"
```

```
and "(CLam [x].□)[Var x] = (CLam [y].□)[Var y]"
```

```
by (simp_all add: ctx.inject lam.inject alpha swap_simps fresh_atm)
```

# Backtrack One Step

- For our CK machines we actually do not need contexts for lambdas.

**datatype** ctx =

```
Hole ("□")  
| CAppL "ctx" "lam"  
| CAppR "lam" "ctx"
```

**fun**

```
filling :: "ctx  $\Rightarrow$  lam  $\Rightarrow$  lam" ("_[_]")
```

**where**

```
"□[[t]] = t"  
| "(CAppL E t')[[t]] = App (E[[t]]) t"  
| "(CAppR t' E)[[t]] = App t' (E[[t]])"
```

# Context Composition

**fun** ctx\_compose :: "ctx  $\Rightarrow$  ctx  $\Rightarrow$  ctx" ("\_  $\circ$  \_")

**where**

" $\square \circ E' = E$ "

| "(CAppL E t')  $\circ$  E' = CAppL (E  $\circ$  E') t'"

| "(CAppR t' E)  $\circ$  E' = CAppR t' (E  $\circ$  E)"

**lemma** ctx\_compose:

**shows** "(E<sub>1</sub>  $\circ$  E<sub>2</sub>)[t] = E<sub>1</sub>[E<sub>2</sub>[t]]"

**by** (induct E<sub>1</sub> rule: ctx.induct) (simp\_all)

**types** ctxs = "ctx list"

**fun** ctx\_composes :: "ctxs  $\Rightarrow$  ctx" ("\_  $\downarrow$ ")

**where**

"[]  $\downarrow$  =  $\square$ "

| "(E#Es)  $\downarrow$  = (Es  $\downarrow$ )  $\circ$  E"



# Context Composition

**fun** ctx\_compose :: "ctx  $\Rightarrow$  ctx  $\Rightarrow$  ctx" ("\_  $\circ$  \_")

**where**

" $\square \circ E' = E$ "

| "(CAppL E t')  $\circ$  E' = CAppL (E  $\circ$  E') t'"

| "(CAppR t' E)  $\circ$  E' = CAppR t' (E  $\circ$  E)'"

**lemma** ctx\_compose:

**shows** "(E<sub>1</sub>  $\circ$  E<sub>2</sub>)[t] = E<sub>1</sub>[E<sub>2</sub>[t]]"

**by** (induct E<sub>1</sub> rule: ctx.induct) (simp\_all)

## Subgoals

1.  $\square \circ E_2[t] = \square[E_2[t]]$
2.  $\bigwedge \text{ctx lam. ctx} \circ E_2[t] = \text{ctx}[E_2[t]] \implies \text{CAppL ctx lam} \circ E_2[t] = \text{CAppL ctx lam}[E_2[t]]$
3.  $\bigwedge \text{lam ctx. ctx} \circ E_2[t] = \text{ctx}[E_2[t]] \implies \text{CAppR lam ctx} \circ E_2[t] = \text{CAppR lam ctx}[E_2[t]]$

# Context Composition

**fun** ctx\_compose :: "ctx  $\Rightarrow$  ctx  $\Rightarrow$  ctx" ("\_  $\circ$  \_")

**where**

" $\square \circ E' = E$ "

| "(CAppL E t')  $\circ$  E' = CAppL (E  $\circ$  E') t'"

| "(CAppR t' E)  $\circ$  E' = CAppR t' (E  $\circ$  E)"

**lemma** ctx\_compose:

**shows** "(E<sub>1</sub>  $\circ$  E<sub>2</sub>)[t] = E<sub>1</sub>[E<sub>2</sub>[t]]"

**by** (induct E<sub>1</sub> rule: ctx.induct) (simp\_all)

**types** ctxs = "ctx list"

**fun** ctx\_composes :: "ctxs  $\Rightarrow$  ctx" ("\_ $\downarrow$ ")

**where**

"[] $\downarrow$  =  $\square$ "

| "(E#Es) $\downarrow$  = (Es $\downarrow$ )  $\circ$  E"

# Definition of Types

```
nominal_datatype ty =  
  tVar "string"  
| tArr "ty" "ty" ("_ → _")
```

```
types ty_ctx = "(name × ty) list"
```

abbreviation

```
"sub_ty_ctx" :: "ty_ctx ⇒ ty_ctx ⇒ bool" ("_ ⊆ _")
```

where

```
" $\Gamma_1 \subseteq \Gamma_2 \equiv \forall x. x \in \text{set } \Gamma_1 \longrightarrow x \in \text{set } \Gamma_2$ "
```

# Definition of Types

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nominal_datatype ty =  
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"sub_ty_ctx" :: "ty_ctx ⇒ ty_ctx ⇒ bool" ("_ ⊆ _")
```

where

```
" $\Gamma_1 \subseteq \Gamma_2 \equiv \forall x. x \in \text{set } \Gamma_1 \longrightarrow x \in \text{set } \Gamma_2$ "
```

- We can overload  $\subseteq$ , but this might mean we have to give explicit type-annotations so that Isabelle can figure out what is meant.

# Typing Judgements

## inductive

valid :: "ty\_ctx  $\Rightarrow$  bool"

## where

v<sub>1</sub>: "valid []"

| v<sub>2</sub>: "[valid  $\Gamma$ ; x# $\Gamma$ ]  $\Longrightarrow$  valid ((x,T)# $\Gamma$ )"

## inductive

typing :: "ty\_ctx  $\Rightarrow$  lam  $\Rightarrow$  ty  $\Rightarrow$  bool" ("\_  $\vdash$  \_ : \_")

## where

t\_Var: "[valid  $\Gamma$ ; (x,T)  $\in$  set  $\Gamma$ ]  $\Longrightarrow$   $\Gamma \vdash$  Var x : T"

| t\_App: "[ $\Gamma \vdash t_1 : T_1 \rightarrow T_2$ ;  $\Gamma \vdash t_2 : T_1$ ]  $\Longrightarrow$   $\Gamma \vdash$  App t<sub>1</sub> t<sub>2</sub> : T<sub>2</sub>"

| t\_Lam: "[x# $\Gamma$ ; (x,T<sub>1</sub>)# $\Gamma \vdash t : T_2$ ]  $\Longrightarrow$   $\Gamma \vdash$  Lam [x].t : T<sub>1</sub>  $\rightarrow$  T<sub>2</sub>"

# Typing Judgements

induct  
valid  
where

$$\frac{\text{valid } \Gamma \quad (x, T) \in \text{set } \Gamma \quad \Gamma \vdash t_1 : T_1 \rightarrow T_2 \quad \Gamma \vdash t_2 : T_1}{\Gamma \vdash \text{Var } x : T} \quad \frac{\Gamma \vdash \text{App } t_1 t_2 : T_2}{x \# \Gamma \quad (x, T_1) :: \Gamma \vdash t : T_2} \quad \frac{\Gamma \vdash \text{Lam } [x].t : T_1 \rightarrow T_2}{\Gamma \vdash \text{Lam } [x].t : T_1 \rightarrow T_2}$$

v1: "\

v2: "[[valid  $\Gamma$ ;  $x \# \Gamma$ ]]  $\implies$  valid  $((x, T) \# \Gamma)$ "]

inductive

typing :: "ty\_ctx  $\implies$  lam  $\implies$  ty  $\implies$  bool" ("\_  $\vdash$  \_ : \_")

where

t\_Var: "[[valid  $\Gamma$ ;  $(x, T) \in \text{set } \Gamma$ ]]  $\implies$   $\Gamma \vdash \text{Var } x : T$ "

t\_App: "[[ $\Gamma \vdash t_1 : T_1 \rightarrow T_2$ ;  $\Gamma \vdash t_2 : T_1$ ]]  $\implies$   $\Gamma \vdash \text{App } t_1 t_2 : T_2$ "

t\_Lam: "[[ $x \# \Gamma$ ;  $(x, T_1) \# \Gamma \vdash t : T_2$ ]]  $\implies$   $\Gamma \vdash \text{Lam } [x].t : T_1 \rightarrow T_2$ "

# Typing Judgements

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**declare** typing.intros[intro] valid.intros[intro]

# Typing Judgements

**inductive**

valid :: "ty\_ctx  $\Rightarrow$

**where**

v<sub>1</sub>: "valid []"

| v<sub>2</sub>: "[valid  $\Gamma$ ; x# $\Gamma$ ]

We want to have the strong induction principle for the typing judgement.

1.) The relation needs to be equivariant.

**inductive**

typing :: "ty\_ctx  $\Rightarrow$  lam  $\Rightarrow$  ty  $\Rightarrow$  bool" ("\_  $\vdash$  \_ : \_")

**where**

t\_Var: "[valid  $\Gamma$ ; (x,T)  $\in$  set  $\Gamma$ ]  $\Longrightarrow$   $\Gamma \vdash$  Var x : T"

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**declare** typing.intros[intro] valid.intros[intro]



# Typing Judgements

## inductive

valid :: "ty\_ctx  $\Rightarrow$  bool"

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**declare** typing.intros[intro] valid.intros[intro]

**equivariance** valid

**equivariance** typing

# Typing Judgements

**inductive**

valid :: "ty\_ctx  $\Rightarrow$  |

**where**

v<sub>1</sub>: "valid []"

| v<sub>2</sub>: "[valid  $\Gamma$ ; x# $\Gamma$ ]  $\Longrightarrow$  valid ((x,T)# $\Gamma$ )"

This proves for us:

valid  $\Gamma \Longrightarrow$  valid ( $\pi \cdot \Gamma$ )

$\Gamma \vdash t : T \Longrightarrow \pi \cdot \Gamma \vdash \pi \cdot t : \pi \cdot T$

**inductive**

typing :: "ty\_ctx  $\Rightarrow$  lam  $\Rightarrow$  ty  $\Rightarrow$  bool" ("\_  $\vdash$  \_ : \_")

**where**

t\_Var: "[valid  $\Gamma$ ; (x,T)  $\in$  set  $\Gamma$ ]  $\Longrightarrow$   $\Gamma \vdash$  Var x : T"

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| t\_Lam: "[x# $\Gamma$ ; (x,T<sub>1</sub>)# $\Gamma \vdash t : T_2$ ]  $\Longrightarrow$   $\Gamma \vdash$  Lam [x].t : T<sub>1</sub>  $\rightarrow$  T<sub>2</sub>"

**declare** typing.intros[intro] valid.intros[intro]

**equivariance** valid

**equivariance** typing

# Typing Judgements (2)

inductive

typing :: "ty\_ctx  $\Rightarrow$  lam  $\Rightarrow$  ty  $\Rightarrow$  bool" ("\_  $\vdash$  \_ : \_")

where

t\_Var: "[valid  $\Gamma$ ;  $(x, T) \in \text{set } \Gamma$ ]  $\Longrightarrow \Gamma \vdash \text{Var } x : T$ "

| t\_App: "[ $\Gamma \vdash t_1 : T_1 \rightarrow T_2$ ;  $\Gamma \vdash t_2 : T_1$ ]  $\Longrightarrow \Gamma \vdash \text{App } t_1 t_2 : T_2$ "

| t\_Lam: "[ $x \# \Gamma$ ;  $(x, T_1) \# \Gamma \vdash t : T_2$ ]  $\Longrightarrow \Gamma \vdash \text{Lam } [x].t : T_1 \rightarrow T_2$ "

nominal\_inductive typing

# Typing Judgements (2)

inductive

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where

t\_Var: "[valid  $\Gamma$ ;  $(x, T) \in \text{set } \Gamma$ ]  $\Longrightarrow \Gamma \vdash \text{Var } x : T$ "

t\_App: "[ $\Gamma \vdash t_1 : T_1 \rightarrow T_2$ ;  $\Gamma \vdash t_2 : T_1$ ]  $\Longrightarrow \Gamma \vdash \text{App } t_1 t_2 : T_2$ "

t\_Lam: "[ $x \# \Gamma$ ;  $(x, T_1) \# \Gamma \vdash t : T_2$ ]  $\Longrightarrow \Gamma \vdash \text{Lam } [x].t : T_1 \rightarrow T_2$ "

## Subgoals

1.  $\bigwedge x \Gamma T_1 t T_2. [x \# \Gamma; (x, T_1) :: \Gamma \vdash t : T_2] \Longrightarrow x \# \Gamma$
2.  $\bigwedge x \Gamma T_1 t T_2. [x \# \Gamma; (x, T_1) :: \Gamma \vdash t : T_2] \Longrightarrow x \# \text{Lam } [x].t$
3.  $\bigwedge x \Gamma T_1 t T_2. [x \# \Gamma; (x, T_1) :: \Gamma \vdash t : T_2] \Longrightarrow x \# T_1 \rightarrow T_2$

nominal\_inductive typing

# Typing Judgements (2)

**inductive**

typing :: "ty\_ctx  $\Rightarrow$  lam  $\Rightarrow$  ty  $\Rightarrow$  bool" ("\_  $\vdash$  \_ : \_")

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t\_Var: "[valid  $\Gamma$ ;  $(x, T) \in \text{set } \Gamma$ ]  $\Longrightarrow \Gamma \vdash \text{Var } x : T$ "

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| t\_Lam: "[ $x \# \Gamma$ ;  $(x, T_1) \# \Gamma \vdash t : T_2$ ]  $\Longrightarrow \Gamma \vdash \text{Lam } [x].t : T_1 \rightarrow T_2$ "

**lemma** ty\_fresh:

**fixes** x::"name"

**and** T::"ty"

**shows** " $x \# T$ "

**by** (induct T rule: ty.induct)

(simp\_all add: fresh\_string)

**nominal\_inductive** typing

# Typing Judgements (2)

## inductive

typing :: "ty\_ctx  $\Rightarrow$  lam  $\Rightarrow$  ty  $\Rightarrow$  bool" ("\_  $\vdash$  \_ : \_")

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t\_Var: "[valid  $\Gamma$ ;  $(x, T) \in \text{set } \Gamma$ ]  $\Longrightarrow \Gamma \vdash \text{Var } x : T$ "

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## lemma ty\_fresh:

fixes x::"name"

and T::"ty"

shows "x  $\#$  T"

by (induct T rule: ty.induct)

(simp\_all add: fresh\_string)

## nominal\_inductive typing

by (simp\_all add: abs\_fresh ty\_fresh)

# Weakening

lemma weakening:

fixes  $\Gamma_1 \Gamma_2 :: \text{"ty\_ctx"}$

assumes a: " $\Gamma_1 \vdash t : T$ "

and b: " $\text{valid } \Gamma_2$ "

and c: " $\Gamma_1 \subseteq \Gamma_2$ "

shows " $\Gamma_2 \vdash t : T$ "

using a b c

by (nominal\_induct  $\Gamma_1$  t T avoiding:  $\Gamma_2$  rule: typing.strong\_induct)  
(auto simp add: atomize\_all atomize\_imp)

# Weakening

lemma weakening:

fixes  $\Gamma_1 \Gamma_2 :: \text{"ty\_ctx"}$

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by (nominal\_induct  $\Gamma_1 t T$  avoiding:  $\Gamma_2$  rule: typing.strong\_induct)  
(auto simp add: atomize\_all atomize\_imp)

- This proof is can be found automatically, but that tells us not much...



# Lemma / Theorem / Corollary

- Lemmas / Theorems / Corollary are of the form:

```
theorem theorem_name:  
  fixes      x::"type"  
  ...  
  assumes   "assm1"  
  and       "assm2"  
  ...  
  shows   "statement"  
  ...
```

- Grey parts are optional.
- Assumptions and the (goal)statement must be of type bool.

# Lemma / Theorem / Corollary

- Lemmas / Theorems / Corollary are of the form:

**theorem** theorem\_name:

fixes x:"type"

...

assumes "assm<sub>1</sub>"

and "assm<sub>2</sub>"

...

**shows** "statement"

...

**lemma** weakening:

fixes  $\Gamma_1 \Gamma_2 :: \text{"ty\_ctx"}$

assumes a: " $\Gamma_1 \vdash t : T$ "

and b: "**valid**  $\Gamma_2$ "

and c: " $\Gamma_1 \subseteq \Gamma_2$ "

**shows** " $\Gamma_2 \vdash t : T$ "

- Grey parts are optional.
- Assumptions and the goal are optional. The goal is optional if the type bool.

# Struct. of an Ind. Proof

lemma weakening:

fixes  $\Gamma_1 \Gamma_2 :: \text{"ty\_ctx"}$

assumes a: " $\Gamma_1 \vdash t : T$ "

and b: "valid  $\Gamma_2$ "

and c: " $\Gamma_1 \subseteq \Gamma_2$ "

shows " $\Gamma_2 \vdash t : T$ "

using a b c

proof(nominal\_induct  $\Gamma_1 t T$  avoiding:  $\Gamma_2$  rule: typing.strong\_induct)

case (t\_Var  $\Gamma_1 x T$ )

...

show " $\Gamma_2 \vdash \text{Var } x : T$ "

...

next

case (t\_App  $\Gamma_1 t_1 T_1 T_2 t_2$ )

...

show " $\Gamma_2 \vdash \text{App } t_1 t_2 : T_2$ "

...

next

case (t\_Lam  $x \Gamma_1 T_1 t T_2$ )

...

show " $\Gamma_2 \vdash \text{Lam } [x].t : T_1 \rightarrow T_2$ "

...

qed

# Cases

- Each case is of the form:

**case** (Name x...)

**have** n1: "statement1" **by** justification

**have** n2: "statement2" **by** justification

...

**show** "statement" **by** justification

- Grey parts are optional.
- Justifications can also be: **using ...by ...**

# Cases

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...

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- Grey parts are optional.
- Justifications can also be: **using** ...**by** ...

**using** ih **by** ...

**using** n1 n2 n3 **by** ...

**using** lemma\_name...**by** ...

# Cases

- Each case is of the form:

**case** (Name x...)

**have** n1: "statement1" **by** justification

**have** n2: "statement2" **by** justification

...

**show** "statement" **by** justification

- Grey parts are optional.
- Justifications can also be: **using** ...**by** ...

**using** ih **by** ...

**using** n1 n2 n3 **by** ...

**using** lemma\_name...**by** ...



# Justifications

- Omitting proofs

sorry

- Assumptions

by fact

- Automated proofs

by simp          simplification (equations, definitions)

by auto          simplification & proof search  
(many goals)

by force          simplification & proof search  
(first goal)

by blast          proof search

...

$$\frac{\text{valid } \Gamma \quad (x, T) \in \text{set } \Gamma}{\Gamma \vdash \text{Var } x : T}$$

lemma weakening:

fixes  $\Gamma_1 \Gamma_2 :: \text{"ty\_ctx"}$

assumes a: " $\Gamma_1 \vdash t : T$ "

and b: " $\text{valid } \Gamma_2$ "

and c: " $\Gamma_1 \subseteq \Gamma_2$ "

shows " $\Gamma_2 \vdash t : T$ "

using a b c

proof(nominal\_induct  $\Gamma_1 t T$  avoiding:  $\Gamma_2$  rule: typing.strong\_induct)

case (t\_Var  $\Gamma_1 x T$ )

have a1: " $\text{valid } \Gamma_2$ " by fact

have a2: " $\Gamma_1 \subseteq \Gamma_2$ " by fact

have a3: " $(x, T) \in (\text{set } \Gamma_1)$ " by fact

have a4: " $(x, T) \in (\text{set } \Gamma_2)$ " using a2 a3 by simp

show " $\Gamma_2 \vdash \text{Var } x : T$ " using a1 a4 by auto

next ...



$$\frac{x \# \Gamma \quad (x, T_1) :: \Gamma \vdash t : T_2}{\Gamma \vdash \text{Lam } [x].t : T_1 \rightarrow T_2}$$

next

case (t\_Lam x  $\Gamma_1$   $T_1$  t  $T_2$ )

have vc: "x# $\Gamma_2$ " by fact

have ih: "[[valid ((x, $T_1$ )# $\Gamma_2$ ); (x, $T_1$ )# $\Gamma_1 \subseteq$  (x, $T_1$ )# $\Gamma_2$ ]]  
 $\implies$  (x, $T_1$ )# $\Gamma_2 \vdash t : T_2$ " by fact

have a1: " $\Gamma_1 \subseteq \Gamma_2$ " by fact

have a2: "(x, $T_1$ )# $\Gamma_1 \subseteq$  (x, $T_1$ )# $\Gamma_2$ " using a1 by simp

have b1: "valid  $\Gamma_2$ " by fact

have b2: "valid ((x, $T_1$ )# $\Gamma_2$ )" using vc b1 by auto

have b3: "(x, $T_1$ )# $\Gamma_2 \vdash t : T_2$ " using ih b2 a2 by simp

show " $\Gamma_2 \vdash \text{Lam } [x].t : T_1 \rightarrow T_2$ " using b3 vc by auto

next ...

$$\frac{x \# \Gamma \quad (x, T_1) :: \Gamma \vdash t : T_2}{\Gamma \vdash \text{Lam } [x].t : T_1 \rightarrow T_2}$$

next

case (t\_Lam x  $\Gamma_1$   $T_1$   $T_2$ )

have vc: " $x \# \Gamma_2$ " by fact

have ih: "[[valid (( $x, T_1$ )# $\Gamma_2$ ); ( $x, T_1$ )# $\Gamma_1 \subseteq (x, T_1)$ # $\Gamma_2$ ]]  
 $\implies (x, T_1)$ # $\Gamma_2 \vdash t : T_2$ " by fact

have " $\Gamma_1 \subseteq \Gamma_2$ " by fact

then have a2: " $(x, T_1)$ # $\Gamma_1 \subseteq (x, T_1)$ # $\Gamma_2$ " by simp

have "valid  $\Gamma_2$ " by fact

then have b2: "valid (( $x, T_1$ )# $\Gamma_2$ )" using vc by auto

have " $(x, T_1)$ # $\Gamma_2 \vdash t : T_2$ " using ih b2 a2 by simp

then show " $\Gamma_2 \vdash \text{Lam } [x].t : T_1 \rightarrow T_2$ " using vc by auto

next ...

# A Sequence of Facts

have n1: "..."

have n2: "..."

...

have nn: "..."

have "... " using n1 n2... nn

have "..."

moreover have "..."

...

moreover have "..."

ultimately have "..."

$$\frac{x \# \Gamma \quad (x, T_1) :: \Gamma \vdash t : T_2}{\Gamma \vdash \text{Lam } [x].t : T_1 \rightarrow T_2}$$

next

case (t\_Lam x  $\Gamma_1$   $T_1$  t  $T_2$ )

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 $\implies$  (x, $T_1$ )# $\Gamma_2 \vdash t : T_2$ " by fact

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moreover

have "valid  $\Gamma_2$ " by fact

then have "valid ((x, $T_1$ )# $\Gamma_2$ )" using vc by auto

ultimately have "(x, $T_1$ )# $\Gamma_2 \vdash t : T_2$ " using ih by simp

then show " $\Gamma_2 \vdash \text{Lam } [x].t : T_1 \rightarrow T_2$ " using vc by auto

next ...

$$\frac{x \# \Gamma \quad (x, T_1) :: \Gamma \vdash t : T_2}{\Gamma \vdash \text{Lam } [x].t : T_1 \rightarrow T_2}$$

next

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moreover

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qed (auto)

# Capture-Avoiding Subst.

- We next want to introduce an evaluation relation and a CK machine.
- For this we need the notion of capture-avoiding substitution.

## consts

subst :: "lam  $\Rightarrow$  name  $\Rightarrow$  lam  $\Rightarrow$  lam" ("\_[\_::=\_]")

## nominal\_primrec

"(Var x)[y::=s] = (if x=y then s else (Var x))"

"(App t<sub>1</sub> t<sub>2</sub>)[y::=s] = App (t<sub>1</sub>[y::=s]) (t<sub>2</sub>[y::=s])"

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"x#(y,s)  $\implies$  (Lam [x].t)[y::=s] = Lam [x].(t[y::=s])"

- Despite its looks, this is a total function!

# Bound Names Function

- However there is a problem with the bound names function:

## consts

bnds :: "lam  $\Rightarrow$  name set"

## nominal\_primrec

"bnds (Var x) = {}"

"bnds (App t<sub>1</sub> t<sub>2</sub>) = bnds (t<sub>1</sub>)  $\cup$  bnds (t<sub>2</sub>)"

"bnds (Lam [x].t) = bnds (t)  $\cup$  {x}"

## lemma

shows "bnds (Lam [x].Var x) = {x}"

and "bnds (Lam [y].Var y) = {y}"

by (simp\_all)



# Bound Names Function

Assume  $x \neq y$ .

- How  
func

consts

bnds :: "l

nominal\_pr

"bnds (Var x) = {}"

"bnds (App t<sub>1</sub> t<sub>2</sub>) = bnds (t<sub>1</sub>) ∪ bnds (t<sub>2</sub>)"

"bnds (Lam [x].t) = bnds (t) ∪ {x}"

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nominal\_pr

$$\{x\} = \{y\}$$

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Freshness Condition for Binders (FCB)

$\forall a ts. a \# f \Rightarrow a \# f a ts$

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$\forall a ts. a \# f \Rightarrow a \# f a ts$

$\bigwedge x1 y1. \dots \dots \implies x1 \# \text{Lam } [x1].y1$



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"x#(y,s)  $\implies$  (Lam [x].t)[y::=s] = Lam [x].(t[y::=s])"

apply(finite\_guess)+

apply(rule TrueI)+

apply(simp add: abs\_fresh)+

apply(fresh\_guess)+

done

Freshness Condition for Binders (FCB)

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$\bigwedge x1 y1. \dots \dots \implies x1 \# \text{Lam } [x1].y1$

# Capture-Avoiding Subst.

FCB for Bound Variable Function:

consts

$$\bigwedge x_1 y_1. \dots \implies x_1 \# (y_1 \cup \{x_1\})$$

subst :: " $\text{lam } [x_1] . t_1 \dots \text{lam } [x_n] . t_n \text{ lam } [x] . t \implies \text{lam } [x_1] . \text{subst } t_1 \dots \text{lam } [x_n] . \text{subst } t_n \text{ lam } [x] . \text{subst } t$ "

nominal\_primrec

"(Var x)[y::=s] = (if x=y then s else (Var x))"

"(App t<sub>1</sub> t<sub>2</sub>)[y::=s] = App (t<sub>1</sub>[y::=s]) (t<sub>2</sub>[y::=s])"

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$$\forall a ts. a \# f \implies a \# f a ts$$

$$\bigwedge x_1 y_1. \dots \implies x_1 \# \text{Lam } [x_1].y_1$$

# Evaluation Relation

**inductive**

eval :: "lam  $\Rightarrow$  lam  $\Rightarrow$  bool" ("\_  $\Downarrow$  \_")

**where**

e\_Lam: "Lam [x].t  $\Downarrow$  Lam [x].t"

| e\_App: "[t<sub>1</sub>  $\Downarrow$  Lam [x].t; t<sub>2</sub>  $\Downarrow$  v'; t[x::=v']  $\Downarrow$  v]  $\Longrightarrow$  App t<sub>1</sub> t<sub>2</sub>  $\Downarrow$  v"

**declare** eval.intros[intro]

# Evaluation Relation

inductive

eval :: "lam  $\Rightarrow$  lam  $\Rightarrow$  bool" ("\_  $\Downarrow$  \_")

where

e\_Lam: "Lam [x].t  $\Downarrow$  Lam [x].t"

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declare eval.intros[intro]

$$\frac{\text{Lam [x].t} \Downarrow \text{Lam [x].t} \quad \text{t}_1 \Downarrow \text{Lam [x].t} \quad \text{t}_2 \Downarrow \text{v}' \quad \text{t[x::=v']} \Downarrow \text{v}}{\text{App t}_1 \text{ t}_2 \Downarrow \text{v}}$$

# Values

**inductive**

val :: "lam  $\Rightarrow$  bool"

**where**

v\_Lam[intro]: "val (Lam [x].e)"

**lemma** eval\_to\_val:

assumes a: "t  $\Downarrow$  t"

shows "val t"

**using** a **by** (induct) (auto)

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- If our language contained natural numbers, booleans, etc., we would expand on this definition.

# CK Machine

- A CK machine works on configurations  $\langle \_ , \_ \rangle$  consisting of a lambda-term and a list of contexts.

## inductive

machine :: "lam  $\Rightarrow$  ctxs  $\Rightarrow$  lam  $\Rightarrow$  ctxs  $\Rightarrow$  bool" ("<\_,\_>  $\mapsto$  <\_,\_>")

## where

m<sub>1</sub>: "<App e<sub>1</sub> e<sub>2</sub>, Es>  $\mapsto$  <e<sub>1</sub>, (CAppL  $\square$  e<sub>2</sub>)#Es>"

| m<sub>2</sub>: "val v  $\Rightarrow$  <v, (CAppL  $\square$  e<sub>2</sub>)#Es>  $\mapsto$  <e<sub>2</sub>, (CAppR v  $\square$ )#Es>"

| m<sub>3</sub>: "val v  $\Rightarrow$  <v, (CAppR (Lam [x].e)  $\square$ )#Es>  $\mapsto$  <e[x::=v], Es>"

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Initial state of  
the CK machine:

$\langle \top, [] \rangle$



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## inductive

"machines" :: "lam  $\Rightarrow$  ctxs  $\Rightarrow$  lam  $\Rightarrow$  ctxs  $\Rightarrow$  bool" ("<\_,\_>  $\mapsto^*$  <\_,\_>")

## where

ms<sub>1</sub>: "<e, Es>  $\mapsto^*$  <e, Es>"

| ms<sub>2</sub>: "[<e<sub>1</sub>, Es<sub>1</sub>>  $\mapsto$  <e<sub>2</sub>, Es<sub>2</sub>>; <e<sub>2</sub>, Es<sub>2</sub>>  $\mapsto^*$  <e<sub>3</sub>, Es<sub>3</sub>>]  
 $\Rightarrow$  <e<sub>1</sub>, Es<sub>1</sub>>  $\mapsto^*$  <e<sub>3</sub>, Es<sub>3</sub>>"

# Our Goal

- Our goal is to show that the result the machine calculates corresponds to the value the evaluation relation generates and vice versa. That means:

$$t \Downarrow v \iff \langle t, [] \rangle \mapsto^* \langle v, [] \rangle$$

with  $v$  being a value.

# Left-to-Right Direction

**corollary** `eval_implies_machines`:

**assumes** `a: "t ↓↓ t'"`

**shows** `"⟨t, []⟩ ↦* ⟨t', []⟩"`

**using** a **using** `eval_implies_machines_ctx` **by** `simp`

# Left-to-Right Direction

**lemma**  $ms_3$ :

**assumes**  $a$ : " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_2, Es_2 \rangle$ " " $\langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ "

**shows** " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ "

**using**  $a$  **by** (induct) (auto)

**corollary**  $eval\_implies\_machines$ :

**assumes**  $a$ : " $t \Downarrow t'$ "

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**theorem** eval\_implies\_machines\_ctx:

**assumes** a: " $t \Downarrow t'$ "

**shows** " $\langle t, Es \rangle \mapsto^* \langle t', Es \rangle$ "

**using** a

**by** (induct arbitrary: Es)

(metis eval\_to\_val machine.intros  $ms_1$   $ms_2$   $ms_3$  v\_Lam)+

**corollary** eval\_implies\_machines:

**assumes** a: " $t \Downarrow t'$ "

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**using** a **using** eval\_implies\_machines\_ctx **by** simp

# Left-to-Right Direction

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shows " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ "

us Sledgehammer:

tl Can be used at any point in the development.

Isabelle

# Left-to-Right Direction

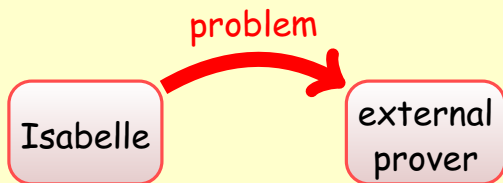
lemma  $ms_3$ :

assumes a: " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_2, Es_2 \rangle$ " " $\langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ "

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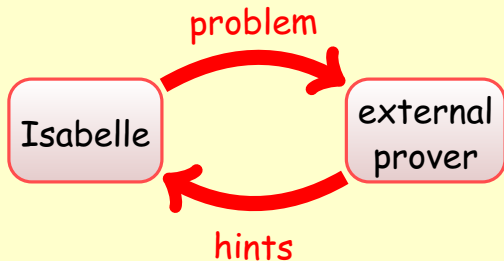
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# Left-to-Right Direction

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**shows** " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ "

**using** a **by** (induct) (auto)

**theorem** eval\_implies\_machines\_ctx:

**assumes** a: " $t \Downarrow t'$ "

**shows** " $\langle t, Es \rangle \mapsto^* \langle t', Es \rangle$ "

**using** a

**by** (induct arbitrary: Es)

(metis eval\_to\_val machine.intros  $ms_1$   $ms_2$   $ms_3$  v\_Lam)+

**corollary** eval\_implies\_machines:

**assumes** a: " $t \Downarrow t'$ "

**shows** " $\langle t, [] \rangle \mapsto^* \langle t', [] \rangle$ "

**using** a **using** eval\_implies\_machines\_ctx **by** simp

# Right-to-Left Direction

- The statement for the other direction is as follows:

**lemma** machines\_implies\_eval:  
**assumes** a: " $\langle t, [] \rangle \mapsto^* \langle v, [] \rangle$ "  
**and** b: "val v"  
**shows** " $t \Downarrow v$ "

# Right-to-Left Direction

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```
lemma machines_implies_eval:  
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oops
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  and      b: "val v"  
  shows " $t \Downarrow v$ "  
oops
```

- We can prove this direction by introducing a small-step reduction relation.

# CBV Reduction

inductive

cbv :: "lam $\Rightarrow$ lam $\Rightarrow$ bool" ("\_  $\longrightarrow$  cbv \_")

where

cbv<sub>1</sub>: "val v  $\Longrightarrow$  App (Lam [x].t) v  $\longrightarrow$  cbv t[x::=v]"

cbv<sub>2</sub>: "t  $\longrightarrow$  cbv t'  $\Longrightarrow$  App t t<sub>2</sub>  $\longrightarrow$  cbv App t' t<sub>2</sub>"

cbv<sub>3</sub>: "t  $\longrightarrow$  cbv t'  $\Longrightarrow$  App t<sub>2</sub> t  $\longrightarrow$  cbv App t<sub>2</sub> t'"

- Later on we like to use the strong induction principle for this relation.

# CBV Reduction

inductive

cbv :: "lam $\Rightarrow$ lam $\Rightarrow$ bool" ("\_  $\longrightarrow$  cbv \_")

where

cbv<sub>1</sub>: "val v  $\Longrightarrow$  App (Lam [x].t) v  $\longrightarrow$  cbv t[x::=v]"

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- Later on we like to use the strong induction principle for this relation.

Conditions:

1.  $\bigwedge v x t. \text{val } v \Longrightarrow x \# \text{App Lam [x].t } v$
2.  $\bigwedge v x t. \text{val } v \Longrightarrow x \# t[x::=v]$

# CBV Reduction

## inductive

cbv :: "lam $\Rightarrow$ lam $\Rightarrow$ bool" ("\_  $\longrightarrow$  cbv \_")

## where

cbv<sub>1</sub>: "[[val v; x#v]  $\Longrightarrow$  App (Lam [x].t) v  $\longrightarrow$  cbv t[x::=v]]"

| cbv<sub>2</sub>[intro]: "t  $\longrightarrow$  cbv t'  $\Longrightarrow$  App t t<sub>2</sub>  $\longrightarrow$  cbv App t' t<sub>2</sub>"

| cbv<sub>3</sub>[intro]: "t  $\longrightarrow$  cbv t'  $\Longrightarrow$  App t<sub>2</sub> t  $\longrightarrow$  cbv App t<sub>2</sub> t'"

- The conditions that give us automatically the strong induction principle require us to add the assumption  $x \# v$ . This makes this rule less useful.

# Strong Induction Principle

**lemma** subst\_eqvt[eqvt]:

**fixes**  $\pi :: \text{"name prm"}$

**shows** " $\pi \bullet (t_1[x ::= t_2]) = (\pi \bullet t_1)[(\pi \bullet x) ::= (\pi \bullet t_2)]$ "

**by** (nominal\_induct  $t_1$  avoiding:  $x$   $t_2$  rule: lam.strong\_induct)  
(auto simp add: perm\_bij fresh\_atm fresh\_bij)

**lemma** fresh\_fact:

**fixes**  $z :: \text{"name"}$

**shows** " $\llbracket z \# s; (z = y \vee z \# t) \rrbracket \implies z \# t[y ::= s]$ "

**by** (nominal\_induct  $t$  avoiding:  $z$   $y$   $s$  rule: lam.strong\_induct)  
(auto simp add: abs\_fresh fresh\_prod fresh\_atm)

**equivariance** val

**equivariance** cbv

**nominal\_inductive** cbv

**by** (simp\_all add: abs\_fresh fresh\_fact)



```

lemma subst_rename:
  assumes a: "y#t"
  shows "t[x::=s] = (([y,x])•t)[y::=s]"
using a
by (nominal_induct t avoiding: x y s rule: lam.strong_induct)
    (auto simp add: calc_atm fresh_atm abs_fresh)

```

```

lemma better_cbv1[intro]:
  assumes a: "val v"
  shows "App (Lam [x].t) v  $\longrightarrow$  cbv t[x::=v]"
proof -
  obtain y::"name" where fs: "y#(x,t,v)"
    by (rule exists_fresh) (auto simp add: fs_name1)
  have "App (Lam [x].t) v = App (Lam [y].(([y,x])•t)) v" using fs
    by (auto simp add: lam.inject alpha' fresh_prod fresh_atm)
  also have "...  $\longrightarrow$  cbv (([y,x])•t)[y::=v]" using fs a
    by (auto simp add: cbv1 fresh_prod)
  also have "... = t[x::=v]" using fs
    by (simp add: subst_rename[symmetric] fresh_prod)
  finally show "App (Lam [x].t) v  $\longrightarrow$  cbv t[x::=v]" by simp
qed

```

# CBV Reduction<sup>\*</sup>

inductive

"cbvs" :: "lam  $\Rightarrow$  lam  $\Rightarrow$  bool" (" \_  $\longrightarrow$  cbv\* \_")

where

cbvs<sub>1</sub>[intro]: "e  $\longrightarrow$  cbv\* e"

| cbvs<sub>2</sub>[intro]: "[[e<sub>1</sub>  $\longrightarrow$  cbv e<sub>2</sub>; e<sub>2</sub>  $\longrightarrow$  cbv\* e<sub>3</sub>]]  $\Longrightarrow$  e<sub>1</sub>  $\longrightarrow$  cbv\* e<sub>3</sub>"

lemma cbvs<sub>3</sub>[intro]:

assumes a: "e<sub>1</sub>  $\longrightarrow$  cbv\* e<sub>2</sub>" "e<sub>2</sub>  $\longrightarrow$  cbv\* e<sub>3</sub>"

shows "e<sub>1</sub>  $\longrightarrow$  cbv\* e<sub>3</sub>"

using a by (induct) (auto)

# CBV Reduction<sup>\*</sup>

**inductive**

"cbvs" :: "lam  $\Rightarrow$  lam  $\Rightarrow$  bool" (" \_  $\longrightarrow$  cbv\* \_")

**where**

cbvs<sub>1</sub>[intro]: "e  $\longrightarrow$  cbv\* e"

| cbvs<sub>2</sub>[intro]: "[[e<sub>1</sub>  $\longrightarrow$  cbv e<sub>2</sub>; e<sub>2</sub>  $\longrightarrow$  cbv\* e<sub>3</sub>]]  $\Longrightarrow$  e<sub>1</sub>  $\longrightarrow$  cbv\* e<sub>3</sub>"

**lemma** cbvs<sub>3</sub>[intro]:

**assumes** a: "e<sub>1</sub>  $\longrightarrow$  cbv\* e<sub>2</sub>" "e<sub>2</sub>  $\longrightarrow$  cbv\* e<sub>3</sub>"

**shows** "e<sub>1</sub>  $\longrightarrow$  cbv\* e<sub>3</sub>"

**using** a **by** (induct) (auto)

**lemma** cbv\_in\_ctx:

**assumes** a: "t  $\longrightarrow$  cbv t'"

**shows** "E[t]  $\longrightarrow$  cbv E[t']"

**using** a **by** (induct E) (auto)

# CK Machine Implies CBV<sup>\*</sup>

```
lemma machines_implies_cbvs:  
  assumes a: " $\langle e, [] \rangle \mapsto^* \langle e', [] \rangle$ "  
  shows " $e \longrightarrow_{cbv^*} e'$ "  
using a by (auto dest: machines_implies_cbvs_ctx)
```

# CK Machine Implies CBV<sup>\*</sup>

**lemma** machine\_implies\_cbvs\_ctx:

**assumes** a: " $\langle e, Es \rangle \mapsto \langle e', Es' \rangle$ "

**shows** " $(Es \downarrow)[e] \longrightarrow_{cbv^*} (Es' \downarrow)[e']$ "

**using** a **by** (induct) (auto simp add: ctx\_compose intro: cbv\_in\_ctx)

**lemma** machines\_implies\_cbvs:

**assumes** a: " $\langle e, [] \rangle \mapsto^* \langle e', [] \rangle$ "

**shows** " $e \longrightarrow_{cbv^*} e'$ "

**using** a **by** (auto dest: machines\_implies\_cbvs\_ctx)

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**using** a **by** (induct) (auto simp add: ctx\_compose intro: cbv\_in\_ctx)

If we had not derived the better cbv-rule, then we would have to do an explicit renaming here.

**lemma** machines\_implies\_cbvs:

**assumes** a: " $\langle e, [] \rangle \mapsto^* \langle e', [] \rangle$ "

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**lemma** machines\_implies\_cbvs:

**assumes** a: " $\langle e, [] \rangle \mapsto^* \langle e', [] \rangle$ "

**shows** " $e \longrightarrow_{cbv^*} e'$ "

**using** a **by** (auto dest: machines\_implies\_cbvs\_ctx)

# CBV<sup>\*</sup> Implies Evaluation

- We need the following scaffolding lemmas in order to show that cbv-reduction implies evaluation.

**lemma** eval\_val:

**assumes** a: "val t"

**shows** "t  $\Downarrow$  t"

**using** a **by** (induct) (auto)

**lemma** e\_App\_elim:

**assumes** a: "App t<sub>1</sub> t<sub>2</sub>  $\Downarrow$  v"

**shows** " $\exists x t v'. t_1 \Downarrow \text{Lam } [x].t \wedge t_2 \Downarrow v' \wedge t[x::=v'] \Downarrow v$ "

**using** a **by** (cases) (auto simp add: lam.inject)



**lemma** cbv\_eval:

**assumes** a: " $t_1 \longrightarrow \text{cbv } t_2$ " " $t_2 \Downarrow t_3$ "

**shows** " $t_1 \Downarrow t_3$ "

**using** a

**by** (induct arbitrary:  $t_3$ )

(auto intro: eval\_val dest!: e\_App\_elim)

**lemma** cbvs\_eval:

**assumes** a: " $t_1 \longrightarrow \text{cbv}^* t_2$ " " $t_2 \Downarrow t_3$ "

**shows** " $t_1 \Downarrow t_3$ "

**using** a **by** (induct) (auto simp add: cbv\_eval)

**lemma** cbvs\_implies\_eval:

**assumes** a: " $t \longrightarrow \text{cbv}^* v$ " " $\text{val } v$ "

**shows** " $t \Downarrow v$ "

**using** a

**by** (induct)

(auto simp add: eval\_val cbvs\_eval dest: cbvs<sub>2</sub>)

# Right-to-Left Direction

- Via the the cbv-reduction relation we can finally show that the CK machine implies the evaluation relation.

**theorem** machines\_implies\_eval:

**assumes** a: " $\langle t_1, [] \rangle \mapsto^* \langle t_2, [] \rangle$ "

**and** b: "val  $t_2$ "

**shows** " $t_1 \Downarrow t_2$ "

**proof** -

**from** a **have** " $t_1 \longrightarrow_{cbv}^* t_2$ " **by** (simp add: machines\_implies\_cbvs)

**then show** " $t_1 \Downarrow t_2$ " **using** b **by** (simp add: cbvs\_implies\_eval)

**qed**

# Preservation and Progress

- Next we like to prove a **type preservation** and an **progress lemma** for the cbv-reduction relation.

**theorem** cbv\_type\_preservation:

**assumes** a: " $t \longrightarrow_{cbv} t'$ "

**and** b: " $\Gamma \vdash t : T$ "

**shows** " $\Gamma \vdash t' : T$ "

**theorem** progress:

**assumes** a: " $[\ ] \vdash t : T$ "

**shows** " $(\exists t'. t \longrightarrow_{cbv} t') \vee (\text{val } t)$ "

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**shows** " $(\exists t'. t \longrightarrow_{cbv} t') \vee (\text{val } t)$ "

- We need the property of type-substitutivity.

## Some Side-Lemmas

**lemma** valid\_elim:

**assumes** a: "valid  $((x,T)\#I)$ "

**shows** " $x\#I \wedge \text{valid } I$ "

**using** a **by** (cases) (auto)

**lemma** valid\_insert:

**assumes** a: "valid  $(\Delta@[x,T])@I$ "

**shows** "valid  $(\Delta@I)$ "

**using** a

**by** (induct  $\Delta$ )

(auto simp add: fresh\_list\_append fresh\_list\_cons dest!: valid\_elim)

**lemma** fresh\_list:

**shows** " $y\#xs = (\forall x \in \text{set } xs. y\#x)$ "

**by** (induct xs) (simp\_all add: fresh\_list\_nil fresh\_list\_cons)

**lemma** context\_unique:

**assumes** a1: "valid  $I$ "

**and** a2: " $(x,T) \in \text{set } I$ "

**and** a3: " $(x,U) \in \text{set } I$ "

**shows** " $T = U$ "

**using** a1 a2 a3

**by** (induct) (auto simp add: fresh\_list fresh\_prod fresh\_atm)

lemma type\_substitution\_aux:

assum and shows using a proof (l  
**corollary** type\_substitution:  
assumes a: "(x,T)# $\Gamma \vdash e : T$ "  
and b: " $\Gamma \vdash e' : T$ "  
shows " $\Gamma \vdash e[x::=e'] : T$ "

avoiding: x e  $\Delta$  rule: typing.strong\_induct)

**case** (t\_Var  $\Gamma' y T x e' \Delta$ )  
then have a1: "valid ( $\Delta @ [(x,T)] @ \Gamma$ )"  
and a2: "(y,T)  $\in$  set ( $\Delta @ [(x,T)] @ \Gamma$ )"  
and a3: " $\Gamma \vdash e' : T$ " by simp\_all  
from a1 have a4: "valid ( $\Delta @ \Gamma$ )" by (rule valid\_insert)  
{ **assume** eq: "x=y"  
from a1 a2 have "T=T" using eq by (auto intro: context\_unique)  
with a3 have " $\Delta @ \Gamma \vdash \text{Var } y[x::=e'] : T$ " using eq a4 by (auto intro: weakening) }  
moreover  
{ **assume** ineq: "x $\neq$ y"  
from a2 have "(y,T)  $\in$  set ( $\Delta @ \Gamma$ )" using ineq by simp  
then have " $\Delta @ \Gamma \vdash \text{Var } y[x::=e'] : T$ " using ineq a4 by auto }  
ultimately **show** " $\Delta @ \Gamma \vdash \text{Var } y[x::=e'] : T$ " by blast  
**qed** (force simp add: fresh\_list\_append fresh\_list\_cons)+

```

lemma type_substitution_aux:
  assumes a: " $\Delta @ [(x, T)] @ \Gamma \vdash e : T$ "
  and b: " $\Gamma \vdash e' : T$ "
  shows " $\Delta @ \Gamma \vdash e[x ::= e'] : T$ "
using a b
proof (nominal_induct  $\Gamma' \equiv \Delta @ [(x, T)] @ \Gamma$  e T
      avoiding: x e'  $\Delta$  rule: typing_strong_induct)
  case (t_Var  $\Gamma' y T x e' \Delta$ )
  then have a1: "valid ( $\Delta @ [(x, T)] @ \Gamma$ )"
    and a2: " $(y, T) \in \text{set } (\Delta @ [(x, T)] @ \Gamma)$ "
    and a3: " $\Gamma \vdash e' : T$ " by simp_all
  from a1 have a4: "valid ( $\Delta @ \Gamma$ )" by (rule valid_insert)
  { assume eq: "x=y"
    from a1 a2 have "T=T" using eq by (auto intro: context_unique)
    with a3 have " $\Delta @ \Gamma \vdash \text{Var } y[x ::= e'] : T$ " using eq a4 by (auto intro: weakening) }
  moreover
  { assume ineq: "x $\neq$ y"
    from a2 have " $(y, T) \in \text{set } (\Delta @ \Gamma)$ " using ineq by simp
    then have " $\Delta @ \Gamma \vdash \text{Var } y[x ::= e'] : T$ " using ineq a4 by auto }
  ultimately show " $\Delta @ \Gamma \vdash \text{Var } y[x ::= e'] : T$ " by blast
qed (force simp add: fresh_list_append fresh_list_cons)+

```

lemma type\_substitution\_aux:  
 assumes a: " $\Delta@[x,T]@\Gamma \vdash e : T$ "  
 and b: " $\Gamma \vdash e' : T$ "  
 shows " $\Delta@\Gamma \vdash e[x::=e'] : T$ "

using a b

proof (nominal\_induct  $\Gamma' \equiv \Delta@[x,T]@\Gamma$  e T  
 avoiding: x e'  $\Delta$  rule: typing\_strong\_induct)

case (t\_Var  $\Gamma' y T x e' \Delta$ )

then have a1: " $\text{valid } (\Delta@[x,T]@\Gamma)$ "

and a2: " $(y,T) \in \text{set } (\Delta@[x,T]@\Gamma)$ "

and a3: " $\Gamma \vdash e' : T$ " by simp\_all

from a1 have a4: " $\text{valid } (\Delta@\Gamma)$ " by (rule valid\_insert)

{ assume eq: " $x=y$ "

from a1 a2 have " $T=T$ " using eq by (auto intro: context\_unique)

with a3 have " $\Delta@\Gamma \vdash \text{Var } y[x::=e'] : T$ " using eq a4 by (auto intro: weakening) }

moreover

{ assume ineq: " $x \neq y$ "

from a2 have " $(y,T) \in \text{set } (\Delta@\Gamma)$ " using ineq by simp

then have " $\Delta@\Gamma \vdash \text{Var } y[x::=e'] : T$ " using ineq a4 by auto }

ultimately show " $\Delta@\Gamma \vdash \text{Var } y[x::=e'] : T$ " by blast

qed (force simp add: fresh\_list\_append fresh\_list\_cons)+

$$\frac{\text{valid } \Gamma \quad (x, T) \in \text{set } \Gamma}{\Gamma \vdash \text{Var } x : T}$$



# Type Substitutivity

**lemma** type\_substitution\_aux:

**assumes** a: " $\Delta @ [(x, T)] @ \Gamma \vdash e : T$ "

**and** b: " $\Gamma \vdash e' : T$ "

**shows** " $\Delta @ \Gamma \vdash e[x ::= e'] : T$ "

**corollary** type\_substitution:

**assumes** a: " $(x, T) \# \Gamma \vdash e : T$ "

**and** b: " $\Gamma \vdash e' : T$ "

**shows** " $\Gamma \vdash e[x ::= e'] : T$ "

**using** a b type\_substitution\_aux[**where**  $\Delta = []$ ]

**by** (auto)

# Inversion Lemmas

lemma t\_App\_elim:

assumes a: " $\Gamma \vdash \text{App } t_1 t_2 : T$ "

shows " $\exists T'. \Gamma \vdash t_1 : T' \rightarrow T \wedge \Gamma \vdash t_2 : T'$ "

using a by (cases) (auto simp add: lam.inject)

lemma t\_Lam\_elim:

assumes ty: " $\Gamma \vdash \text{Lam } [x].t : T$ "

and fc: " $x \# \Gamma$ "

shows " $\exists T_1 T_2. T = T_1 \rightarrow T_2 \wedge (x, T_1) \# \Gamma \vdash t : T_2$ "

using ty fc

by (cases rule: typing.strong\_cases)

(auto simp add: alpha lam.inject abs\_fresh ty\_fresh)

$$\frac{\Gamma \vdash t_1 : T_1 \rightarrow T_2 \quad \Gamma \vdash t_2 : T_1}{\Gamma \vdash \text{App } t_1 t_2 : T_2} \quad \frac{x \# \Gamma \quad (x, T_1) :: \Gamma \vdash t : T_2}{\Gamma \vdash \text{Lam } [x].t : T_1 \rightarrow T_2}$$

# Type Preservation

**theorem** `cbv_type_preservation`:

**assumes** `a`: " $t \longrightarrow \text{cbv } t$ "

**and** `b`: " $\Gamma \vdash t : T$ "

**shows** " $\Gamma \vdash t' : T$ "

**using** `a b`

**by** (`nominal_induct` `avoiding`:  $\Gamma$   $T$  `rule`: `cbv.strong_induct`)

(`auto dest!`: `t_Lam_elim` `t_App_elim`

`simp add`: `type_substitution` `ty.inject`)

**corollary** `cbvs_type_preservation`:

**assumes** `a`: " $t \longrightarrow \text{cbv}^* t$ "

**and** `b`: " $\Gamma \vdash t : T$ "

**shows** " $\Gamma \vdash t' : T$ "

**using** `a b`

**by** (`induct`) (`auto intro`: `cbv_type_preservation`)

# Progress Lemma

- Finally we can establish the progress lemma:

```
lemma canonical_tArr:
  assumes a: "[ ] ⊢ t : T1 → T2"
  and     b: "val t"
  shows "∃ x t'. t = Lam [x].t'"
using b a by (induct) (auto)
```

```
theorem progress:
  assumes a: "[ ] ⊢ t : T"
  shows "(∃ t'. t → cbv t') ∨ (val t)"
using a
by (induct  $\Gamma \equiv "[ ] :: \text{ty\_ctx}$ " t T)
   (auto intro!: cbv.intros dest: canonical_tArr)
```

# Progress Lemma

- Finally we can establish the progress lemma:

```
lemma canonical_tArr:  
  assumes a: "[ ] ⊢ t : T1 → T2"  
  and     b: "val t"  
  shows "∃ x t'. t = Lam [x].t"  
using b a by (induct) (auto)
```

- This lemma is stated with extensions in mind.

```
theorem progress:  
  assumes a: "[ ] ⊢ t : T"  
  shows "(∃ t'. t → cbv t') ∨ (val t)"  
using a  
by (induct  $\Gamma \equiv "[ ] :: \text{ty\_ctx}$ " t T)  
  (auto intro!: cbv.intros dest: canonical_tArr)
```

# Extensions

- With only minimal modifications the proofs can be extended to the language given by:

```
nominal_datatype lam =  
  Var "name"  
| App "lam" "lam"  
| Lam "«name»lam" ("Lam [_]._")  
| Num "nat"  
| Minus "lam" "lam" ("_ -- _")  
| Plus "lam" "lam" ("_ ++ _")  
| TRUE  
| FALSE  
| IF "lam" "lam" "lam"  
| Fix "«name»lam" ("Fix [_]._")  
| Zet "lam"  
| Eqi "lam" "lam"
```

# Formalisation of LF

(joint work with Cheney and Berghofer)



# Formalisation of LF

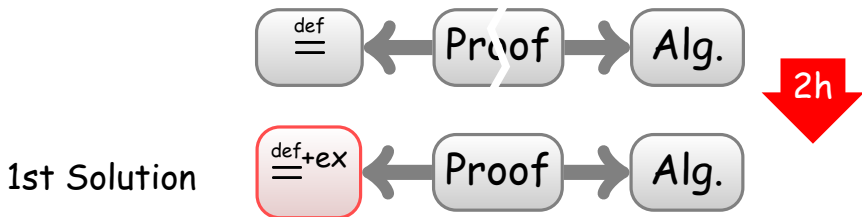
(joint work with Cheney and Berghofer)





# Formalisation of LF

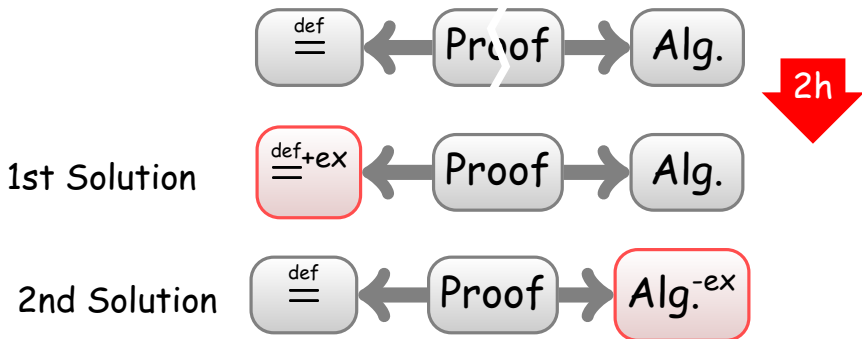
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(each time one needs to check  $\sim 31$ pp of informal paper proofs)

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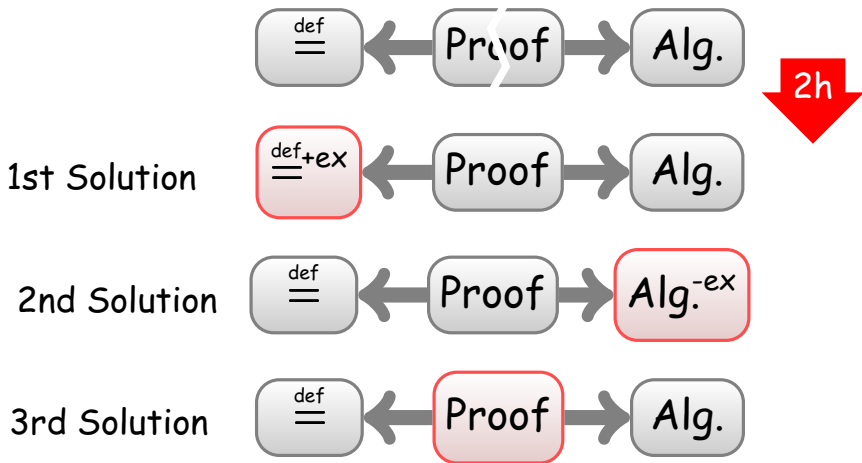
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Theorem provers should come with two health warnings:

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(Xavier Leroy: "Building [proof] scripts is surprisingly addictive, in a videogame kind of way...")

- Theorem provers cause you to lose faith in your proofs done by hand!

(Michael Norrish, Mike Gordon, me, very possibly others)

# Answers to Exercises

- Given a finite set of atoms. What is the support of this set?

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# Answers to Exercises

- Given a finite set of atoms. What is the support of this set? If  $S$  is finite, then  $\text{supp}(S) = S$ .
- What is the support of the set of all atoms? Let  $A = \{a_0, a_1 \dots\}$ , then  $\text{supp}(A) = \emptyset$ .
- From the set of all atoms take one atom out. What is the support of the resulting set?

# Answers to Exercises

- Given a finite set of atoms. What is the support of this set? If  $S$  is finite, then  $\text{supp}(S) = S$ .
- What is the support of the set of all atoms? Let  $A = \{a_0, a_1 \dots\}$ , then  $\text{supp}(A) = \emptyset$ .
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- Are there any sets of atoms that have infinite support? If both  $S$  and  $A - S$  are infinite then  $\text{supp}(S) = A$ .

**Thank you very much!**