# Nominal Techniques in Isabelle/HOL

based on work by Andy Pitts

#### joint work with Stefan, Markus, Alexander...



**Proof:** By induction on the structure of M.

• Case 1: M is a variable.

Case 1.1.  $M \equiv x$ . Then both sides equal N[y := L] since  $x \not\equiv y$ . Case 1.2.  $M \equiv y$ . Then both sides equal L, for  $x \not\in FV(L)$ implies  $L[x := \ldots] \equiv L$ .

Case 1.3.  $M\equiv z
ot\equiv x,y$ . Then both sides equal z.

- Case 2:  $M \equiv \lambda z.M_1$ . By the variable convention we may assume that  $z \not\equiv x, y$  and z is not free in N, L. Then by induction hypothesis  $(\lambda z.M_1)[x := N][y := L]$  $\equiv \lambda z.(M_1[x := N][y := L])$  $\equiv \lambda z.(M_1[y := L][x := N[y := L]])$  $\equiv (\lambda z.M_1)[y := L][x := N[y := L]].$
- Case 3:  $M \equiv M_1 M_2$ . The statement follows again from the induction hypothesis. Munich, 8. February 2006 - p.2 (1/6)

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Substitution Lemma: If  $x 
ot\equiv y$  and  $x 
ot\in FV(L)$  , then

 $M[x := N][y := L] \equiv M[y := L][x := N[y := L]].$ 

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Case 1.3.  $M \equiv z \not\equiv x, y$ . Then both sides equal z.

• Case 2:  $M \equiv \lambda z.M_1$ . By the variable convention we may assume 2.1.12. Convention: Terms that are  $\alpha$ -congruent are identified. So now we write  $\lambda x.x \equiv \lambda y.y$  etcetera.

<u>2.1.13. Variable Convention</u>: If  $M_1, \ldots, M_n$  occur in a certain mathematical context (e.g. definition, proof), then in these terms all bound variables are chosen to be different from the free variables.

**<u>2.1.14</u>**. Moral: Using conventions 2.1.12 and 2.1.13 one can work with  $\lambda$ -terms in the naive way.

поп пуротнезьз.

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• Case 1: M is a variable.

Case 1.1.  $M \equiv \pi$  Then both cides agual N[a - I] cince  $\pi \neq$ Remember: only if y 
eq x and  $x 
ot\in FV(N)$  then Case 1.2.  $M \equiv$  $(\lambda y.M)[x := N] = \lambda y.(M[x := N])$ implie Case 1.3. *M*  $(\lambda z.M_1)[x := N][y := L]$ • Case 2:  $M \equiv$ that  $z \not\equiv x, y$  $\equiv (\lambda z.(M_1[x := N]))[y := L]$  $(\lambda z.M_1)$ 2  $\equiv \lambda z.(M_1[x := N][y := L])$  $\equiv \lambda z.(M_1[:$  $\equiv \lambda z.(M_1[y := L][x := N[y := L]])$ TH  $\equiv \lambda z.(M_1[$  $\xrightarrow{2}$  $\equiv (\lambda z.(M_1[y := L]))[x := N[y := L]])$  $\equiv (\lambda z.M_1)$  $\equiv (\lambda z.M_1)[y := L][x := N[y := L]].$ • Case 3:  $M\equiv$ tion hypothesis Munich, 8. February 2006 - p.2 (6/6)

# **Existing Formalisation Techniques**

#### with "bare hands"

(extremely messy) defining lambda-terms as syntax-trees; work with explicit  $\alpha$ -conversions

#### 📕 de-Bruijn indices

they are "very formal"; but even if there were no technical problems with dB, they involve often quite different lemmas than "paper proofs"

#### 📕 HOAS

... yes, **but** induction is problematic, no way to define conveniently notions such as simultaneous substitution **etc** ... not my personal preference ;o)

## **Formal Proof in Isabelle**

lemma forget: assumes a: " $x \ \# \ L$ " shows "L[x:=N] = L" using a by (nominal\_induct L avoiding: x N rule: lam.induct) (auto simp add: abs\_fresh fresh\_atm) lemma fresh\_fact: fixes x :: "name" assumes a: " $x \ \# \ M$ " and b: " $x \ \# \ N$ " shows "x # M[y ::= N]" using a b by (nominal\_induct M avoiding: x y N rule: lam.induct) (auto simp add: abs\_fresh fresh\_atm) lemma subst\_lemma: assumes a: " $x \neq y$ " and b: "x # L"

shows "M[x:=N][y:=L] = M[y:=L][x:=N[y:=L]]" using a b by (nominal\_induct M avoiding:  $x \ y \ N \ L$  rule: lam.induct) (auto simp add: forget fresh\_fact)

We introduce **atoms**. Everything that is **bound**, **binding** and **bindable** is an atom (independent from the language at hand).

a countable infinite set — this will be important on later on.

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example lambda-calculus

 $\lambda a.\lambda b.(a b c)$ 

a and b are atoms—bound and binding

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example lambda-calculus

 $\lambda a. \lambda b. (a b c)$ 

c is an atom—bindable

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example lambda-calculus

 $\lambda c. \lambda a. \lambda b. (a b c)$ 

now c is bound

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example integrals

$$\int_0^1 x^2 + y \, dx$$

 $\boldsymbol{x}$  is an atom—bound and binding

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example integrals

$$\int_{-\infty}^{\infty} \left( \int_{0}^{1} x^{2} + y \, dx \right) dy$$

y is an atom—bindable

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example integrals

$$\int_0^1 x^2 + y \, dx$$

0, 1 and 2 are constants

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example integrals

$$\int_{-\infty}^{\infty} \left( \int_{0}^{1} x^{2} + y \, dx \right) d2$$

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<u>Traditional Solution</u>: replace [b := a]t by a more complicated, 'capture-avoiding' form of substitution.

In general, renaming substitutions do not respect  $\alpha$ -equivalence, e.g.

$$\begin{array}{ll} (b \ a) \bullet \ \lambda a.b & (b \ a) \bullet \ \lambda c.b \\ = \lambda b.a & = \lambda c.a \end{array}$$

<u>Nice Alternative:</u> use a less complicated operation for renaming

$$(b a) \cdot t \stackrel{\text{def}}{=} swap all occurrences of b and a in t$$

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<u>Nice Alternative:</u> use a less complicated operation for renaming

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Unlike for [b:=a](-), for  $(b a) \cdot (-)$  we do have if  $t =_{\alpha} t'$  then  $(b a) \cdot t =_{\alpha} (b a) \cdot t'$ .

Munich, 8. February 2006 - p.6 (6/6)

We shall extend 'swappings' to '(finite) lists of swappings'

$$(a_1 b_1) \ldots (a_n b_n),$$

$$\pi = egin{pmatrix} a \mapsto b \ b \mapsto a \ c \mapsto c \end{pmatrix} = (c \, b) (a \, b) (a \, c) \ c \mapsto c \end{pmatrix}$$

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also cal (cb)(ab)(ac) and (ab)  $\pi$  for t mapping are the 'same' permutation. (cb)(ab)(ac) and (ab) (cb)(ab)(ac) (cb)(ab)(ac) and (ab) (cb)(ab)(ac) (cb)(ab)(ac)(cb)

$$\pi = egin{pmatrix} a \mapsto b \ b \mapsto a \ c \mapsto c \end{pmatrix} (c \, b) (a \, b) (a \, c) ullet c = c$$

### **Permutations on Atoms**

A permutation acts on an atom as follows:

$$[] ullet a \stackrel{\mathsf{def}}{=} a \ ((a_1 \, a_2) :: \pi) ullet a \stackrel{\mathsf{def}}{=} \left\{ egin{matrix} a_1 & \mathsf{if} \ \pi ullet a = a_2 \ a_2 & \mathsf{if} \ \pi ullet a = a_1 \ \pi ullet a & \mathsf{otherwise} \end{array} 
ight.$$

[] stands for the empty list (the identity permutation), and

( $a_1 a_2$ ) ::  $\pi$  stands for the permutation  $\pi$  followed by the swapping ( $a_1 a_2$ )

# **Permutations on Atoms (ct.)**

- the composition of two permutations is given by list-concatenation, written as  $\pi'@\pi$ ,
- the inverse of a permutation is given by list reversal, written as  $\pi^{-1}$ , and
- **permutation equality**, two permutations  $\pi$  and  $\pi'$  are equal iff

$$\pi \sim \pi' \stackrel{\mathsf{def}}{=} orall a. \ \pi ulle a = \pi' ulle a$$

 $\pi \bullet (a)$ given by the action on atoms  $\pi \cdot (t_1 t_2) \stackrel{\text{def}}{=} (\pi \cdot t_1)(\pi \cdot t_2)$  $\pi \cdot (\lambda a.t) \stackrel{\text{def}}{=} \lambda(\pi \cdot a).(\pi \cdot t)$ We have:  $\mathbf{I} \pi^{-1} \cdot (\pi \cdot t) = t$  $t_1 = t_2$  if and only if  $\pi \cdot t_1 = \pi \cdot t_2$  $\pi \bullet t_1 = t_2$  if and only if  $t_1 = \pi^{-1} \bullet t_2$ 



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What is it about permutations? Well...

- they have much nicer properties than renaming-substitutions (stemming from the fact that they are bijections on atoms),
- they give rise to a relatively simple definition of  $\alpha$ -equivalence on syntax-trees (shown next)

and more later on
Consider the following four rules:

$$\begin{array}{c} \hline t \approx s \\ \hline \lambda a.t \approx \lambda a.s \end{array}^{\approx - \operatorname{atm}} & \begin{array}{c} \frac{t_1 \approx s_1}{t_1 \approx s_1} & t_2 \approx s_2 \\ \hline t_1 t_2 \approx s_1 s_2 \end{array}^{\approx - \operatorname{app}} \\ \hline t \approx (a \ b) \bullet s & a \not\in \operatorname{fv}(s) \\ \hline \lambda a.t \approx \lambda b.s \end{array} \approx - \operatorname{lam}_1 & \begin{array}{c} t \approx (a \ b) \bullet s & a \not\in \operatorname{fv}(s) \\ \hline \lambda a.t \approx \lambda b.s \end{array} \approx - \operatorname{lam}_2 \end{array}$$

assuming a 
eq b

Consider the following four rules:

$$\begin{array}{c} \overline{a \approx a}^{\approx \text{-atm}} & \frac{t_1 \approx s_1 \quad t_2 \approx s_2}{t_1 t_2 \approx s_1 s_2} \approx \text{-app} \\ \\ \frac{t \approx s}{\lambda a.t \approx \lambda a.s}^{\approx \text{-lam}_1} & \frac{t \approx (a \ b) \cdot s \quad a \not\in \mathsf{fv}(s)}{\lambda a.t \approx \lambda b.s} \approx \text{-lam}_2 \\ \end{array}$$

 $\lambda a.t \approx \lambda b.s$  iff t is  $\alpha$ -equivalent with s in which all occurrences of b have been renamed to a... oops permuted to a.

But this alone leads to an 'unsound' rule! Consider  $\lambda a.b$  and  $\lambda b.a$ which are **not**  $\alpha$ -equivalent. However, if we apply the permutation  $(a \ b)$  to a we get  $lam_2$  $b \approx b$ which leads to non-sense. We need to ensure that there are **no** 'free' we occurrences of a in s, i.e.  $a \not\in fv(s)$ . to  $a_{\dots}$  oops permuted to  $a_{\dots}$ 

Consider the following four rules:

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#### **Not-Free-In**

$$rac{a 
otin \mathsf{fv} ext{-atm}}{a 
otin \mathsf{fv} ext{-atm}} \ rac{a 
otin \mathsf{fv}(t_1) \quad a 
otin \mathsf{fv}(t_2)}{a 
otin \mathsf{fv}(t_1 \, t_2)} {}_{\mathsf{fv} ext{-app}}$$

$$\overline{a 
ot\in \mathsf{fv}(\lambda a.t)}^{\,\mathsf{fv-lam}_1}$$

$$rac{a 
ot\in \mathsf{fv}(t)}{a 
ot\in \mathsf{fv}(\lambda b.t)}$$
fv-lam $_2$ 

assuming a 
eq b

Be careful, we have defined two relations over lambda-terms/syntax-trees. We have **not** defined what 'bound' or 'free' means. That is a feature, not a bug.<sup>TM</sup>

# $\approx$ is an Equivalence

You might be an agnostic and notice that

$$egin{array}{cccc} a
eq b & tpprox(a\,b)ullets s & a
ot\in \mathsf{fv}(s)\ \lambda a.tpprox\lambda b.s & pprox \lambda b.s \end{array} pprox$$
 =lam2

is defined rather asymmetrically. Still we have:

Theorem:  $\approx$  is an equivalence relation.

- (Reflexivity)  $t \approx t$
- (Symmetry) if  $t_1 pprox t_2$  then  $t_2 pprox t_1$

(Transitivity) if t

if  $t_1 \approx t_2$  and  $t_2 \approx t_3$  then  $t_1 \approx t_3$  $\Rightarrow$  is rather tricky to prove

# Comparison with $=_{\alpha}$

Traditionally  $=_{\alpha}$  is defined as

least congruence which identifies  $\lambda a.t$  with  $\lambda b.[a := b]t$  provided b is not free in t

where [a := b]t replaces all free occurrences of a by b in t.

- with (−) ≈ (−) and (−) ∉ fv(−) we never need to choose a 'fresh' atom (good for implementations)
- permutation respects both relations, whilst renaming-substitution does not

#### **General Permutations**

So far we have only considered permutations acting on atoms and lambda-terms. We are now going to overload  $\_\cdot\_: \alpha \ prm \Rightarrow \iota \Rightarrow \iota$  to act on other types as well.

 $\begin{array}{ccc} \blacksquare & \pi \cdot a & a \text{ being an atom (of type } \alpha) \\ & & [] \cdot a & \stackrel{\text{def}}{=} & a \\ & ((a_1 \, a_2) :: \pi) \cdot a & \stackrel{\text{def}}{=} & \begin{cases} a_1 & \text{if } \pi \cdot a = a_2 \\ a_2 & \text{if } \pi \cdot a = a_1 \\ \pi \cdot a & \text{otherwise} \end{cases} \end{array}$ 

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For sake of simplicity, let us assume we only have one type of atoms.

 $((a_1 a_2) :: \pi) \cdot a \stackrel{\text{def}}{=} \begin{cases} a_1 & \text{if } \pi \cdot a = a_2 \\ a_2 & \text{if } \pi \cdot a = a_1 \\ \pi \cdot a & \text{otherwise} \end{cases}$ 

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# Overloading of \_\_\_\_

#### $\blacksquare \pi \bullet [] \stackrel{\text{def}}{=} []$ lists $\pi \bullet (x :: xs) \stackrel{\mathsf{def}}{=} (\pi \bullet x) :: (\pi \bullet xs)$ $\blacksquare \pi \cdot X \stackrel{\mathsf{def}}{=} \{ \pi \cdot x \mid x \in X$ sets $\blacksquare \pi \cdot (x_1, x_2) \stackrel{\mathsf{def}}{=} (\pi \cdot x_1, \pi \cdot x_2)$ products $\pi \cdot \text{None} \stackrel{\text{def}}{=} \text{None}$ options $\pi \cdot \text{Some}(x) \stackrel{\text{def}}{=} \text{Some}(\pi \cdot x)$ $\blacksquare \pi \bullet x \stackrel{\text{def}}{=} x$ integers, strings, bools

#### **Permutation Properties**

Whenever we deal with a type, we have to make sure that it has a sensible permutation operation...axiomatic type-classes are just(?) the thing we need:

$$\begin{bmatrix} ] \bullet x = x \\ \bullet (\pi_1 @ \pi_2) \bullet x = \pi_1 \bullet (\pi_2 \bullet x) \\ \bullet \pi_1 \sim \pi_2 \text{ implies } \pi_1 \bullet x = \pi_2 \bullet x$$

We refer to these properties as  $pt_{\alpha,\iota}$  and refer to the type  $\iota$  as permutation type (provided they are satisfied for  $\iota$ ).

# **Permutation Types**

The property of being a permutation type is in some sense hereditary:

 $\blacksquare pt_{lpha, lpha}$ 

**p** $t_{\alpha,\iota \ list}$  provided  $pt_{\alpha,\iota}$ 

similar for sets, products and options

 $\blacksquare pt_{lpha,nat}$  ,  $pt_{lpha,string}$  ,  $pt_{lpha,bool}$ 

The nominal datatype-package needs to make sure that every type the implementors deem important is a permutation type (with axiomatic type-classes no problem).

#### **Permutations on Functions**

Interesting: Given  $f: \iota_1 \Rightarrow \iota_2$  and

$$\blacksquare \pi \bullet f \stackrel{\text{def}}{=} \lambda x \cdot \pi \bullet (f(\pi^{-1} \bullet x))$$

then  $pt_{\alpha,\iota_1}$  and  $pt_{\alpha,\iota_2}$  imply  $pt_{\alpha,\iota_1 \Rightarrow \iota_2}$ .

The definition on functions implies that

 $\blacksquare \pi \bullet (f \ x) = (\pi \bullet f)(\pi \bullet x)$ 

holds for permutation types.

# **Support and Freshness**

Even more interesting: The support of an object  $x:\iota$  is a set of atoms  $\alpha$ :

 $\operatorname{supp}_{lpha} x \stackrel{\operatorname{def}}{=} \{a \mid \operatorname{infinite}\{b \mid (a \ b) {\, ullet } x 
eq x \}$ 

An atom is fresh for an x, if it is not in the support of x:

$$a \ \# \ x \stackrel{\mathsf{def}}{=} a \ arnotha \ \mathsf{supp}_lpha(x)$$

I will often drop the  $\alpha$  in supp $_{\alpha}$ .

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$$\operatorname{supp}_{\alpha} x \stackrel{\text{def}}{=} \{a \mid \operatorname{infinite}\{b \mid (a \ b) \cdot x \neq x \}$$
  
An a OK, this definition is a tiny bit complicated, so let's go slowly...

$$a \ \# \ x \stackrel{\mathsf{def}}{=} a \ arnotha \ \mathsf{supp}_lpha(x)$$

I will often drop the  $\alpha$  in supp $_{\alpha}$ .

What is the support of the atom c?

 $\mathsf{supp}(c) \stackrel{\mathsf{def}}{=} \{a \mid \mathsf{infinite}\{b \mid (a \, b) \, {ullet} \, c 
eq c$ 

What is the support of the atom c?

 $\mathsf{supp}(c) \stackrel{\mathsf{def}}{=} \{a \mid \mathsf{infinite}\{b \mid (a \, b) \, \bullet \, c \neq c \}$ 

$$a: (a?) \bullet c 
eq c$$

What is the support of the atom c?

$$\mathsf{supp}(c) \stackrel{\mathsf{def}}{=} \{a \mid \mathsf{infinite}\{b \mid (a \, b) \, \bullet \, c \neq c \}$$

$$a:$$
 $(a?) \cdot c \neq c$ no $b:$  $(b?) \cdot c \neq c$ 

What is the support of the atom c?

$$\mathsf{supp}(c) \stackrel{\mathsf{def}}{=} \{a \mid \mathsf{infinite}\{b \mid (a \, b) \, {\scriptstyle ullet} \, c 
eq c$$

a:
$$(a?) \cdot c \neq c$$
nob: $(b?) \cdot c \neq c$ noc: $(c?) \cdot c \neq c$ 

What is the support of the atom c?

$$\mathsf{supp}(c) \stackrel{\mathsf{def}}{=} \{a \mid \mathsf{infinite}\{b \mid (a \, b) \, {\scriptstyle ullet} \, c 
eq c$$

a:
$$(a?) \cdot c \neq c$$
nob: $(b?) \cdot c \neq c$ noc: $(c?) \cdot c \neq c$ yesd: $(d?) \cdot c \neq c$ 

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What is the support of the atom c?

$$\mathsf{supp}(c) \stackrel{\mathsf{def}}{=} \{a \mid \mathsf{infinite}\{b \mid (a \, b) \, \bullet \, c \neq c \}$$

Let's check the (infinitely many) atoms one by one: So  $supp(c) = \{c$ 

a:
$$(a?) \cdot c \neq c$$
nob: $(b?) \cdot c \neq c$ noc: $(c?) \cdot c \neq c$ yesd: $(d?) \cdot c \neq c$ no

 $\mathsf{supp}(x_1, x_2) \stackrel{\mathsf{def}}{=} \{ a \mid \mathsf{inf} \{ b \mid (a \ b) \bullet (x_1, x_2) \neq (x_1, x_2) \} \}$ 

 $\mathsf{supp}(x_1, x_2) \stackrel{\mathsf{def}}{=} \{ a \mid \mathsf{inf} \{ b \mid (a \ b) \bullet (x_1, x_2) \neq (x_1, x_2) \} \}$ 

 $\{a \mid \mathsf{inf}\{b \mid ((a \ b) \bullet x_1, (a \ b) \bullet x_2) \neq (x_1, x_2)\}\}$ 

 $\mathsf{supp}(x_1,\!x_2) \stackrel{\mathsf{def}}{=} \{ a \, | \, \mathsf{inf} \, \{ b \, | \, (a \, b) \bullet (x_1,\!x_2) \neq (x_1,\!x_2) \} \}$ 

$$\{a \mid \mathsf{inf}\{b \mid ((a \ b) ullet x_1, (a \ b) ullet x_2) 
eq (x_1, x_2)\}\}$$

We know
$$(x_1,x_2)=(y_1,y_2) ext{ iff } x_1=y_1\wedge x_2=y_2$$
  
hence $(x_1,x_2)
eq(y_1,y_2) ext{ iff } x_1
eq y_1\lor x_2
eq y_2$ 

 $\mathsf{supp}(x_1, x_2) \stackrel{\mathsf{def}}{=} \{ a \mid \mathsf{inf} \{ b \mid (a \ b) \bullet (x_1, x_2) \neq (x_1, x_2) \} \}$ 

 $\mathsf{supp}(x_1,\!x_2) \stackrel{\mathsf{def}}{=} \{ a \, | \, \mathsf{inf} \, \{ b \, | \, (a \, b) \bullet (x_1,\!x_2) \neq (x_1,\!x_2) \} \}$ 

 $egin{aligned} &\{a \mid \inf\{b \mid ((a \ b) ullet x_1, (a \ b) ullet x_2) 
eq (x_1, x_2)\}\} \ &\{a \mid \inf\{b \mid (a \ b) ullet x_1 
eq x_1 \lor (a \ b) ullet x_2 
eq x_2\}\} \ &\{a \mid \inf(\{b \mid (a \ b) ullet x_1 
eq x_1\} \cup \{b \mid (a \ b) ullet x_2 
eq x_2\})\} \end{aligned}$ 

 $\mathsf{supp}(x_1,\!x_2) \stackrel{\mathsf{def}}{=} \{ a \, | \, \mathsf{inf} \, \{ b \, | \, (a \, b) \bullet (x_1,\!x_2) \neq (x_1,\!x_2) \} \}$ 

 $\begin{array}{l} \{a \mid \inf\{b \mid ((a \ b) \bullet x_1, (a \ b) \bullet x_2) \neq (x_1, x_2)\}\} \\ \{a \mid \inf\{b \mid (a \ b) \bullet x_1 \neq x_1 \lor (a \ b) \bullet x_2 \neq x_2\}\} \\ \{a \mid \inf\{b \mid (a \ b) \bullet x_1 \neq x_1\} \cup \{b \mid (a \ b) \bullet x_2 \neq x_2\})\} \\ \{a \mid \inf\{b \mid (a \ b) \bullet x_1 \neq x_1\} \lor \inf\{b \mid (a \ b) \bullet x_2 \neq x_2\}\} \end{array}$ 

 $\mathsf{supp}(x_1, x_2) \stackrel{\mathsf{def}}{=} \{ a \mid \mathsf{inf} \{ b \mid (a \ b) \bullet (x_1, x_2) \neq (x_1, x_2) \} \}$ 

 $\begin{array}{l} \{a \mid \inf\{b \mid ((a \ b) \bullet x_1, (a \ b) \bullet x_2) \neq (x_1, x_2)\}\} \\ \{a \mid \inf\{b \mid (a \ b) \bullet x_1 \neq x_1 \lor (a \ b) \bullet x_2 \neq x_2\}\} \\ \{a \mid \inf\{b \mid (a \ b) \bullet x_1 \neq x_1\} \cup \{b \mid (a \ b) \bullet x_2 \neq x_2\})\} \\ \{a \mid \inf\{b \mid (a \ b) \bullet x_1 \neq x_1\} \lor \inf\{b \mid (a \ b) \bullet x_2 \neq x_2\}\} \\ \{a \mid \inf\{b \mid (a \ b) \bullet x_1 \neq x_1\}\} \cup \{a \mid \inf\{b \mid (a \ b) \bullet x_2 \neq x_2\}\} \end{array}$ 

 $\mathsf{supp}(x_1,\!x_2) \stackrel{\mathsf{def}}{=} \{ a \, | \, \mathsf{inf} \, \{ b \, | \, (a \, b) \bullet (x_1,\!x_2) \neq (x_1,\!x_2) \} \}$ 

 $\begin{array}{l} \{a \mid \inf\{b \mid ((a \ b) \bullet x_1, (a \ b) \bullet x_2) \neq (x_1, x_2)\}\} \\ \{a \mid \inf\{b \mid (a \ b) \bullet x_1 \neq x_1 \lor (a \ b) \bullet x_2 \neq x_2\}\} \\ \{a \mid \inf\{b \mid (a \ b) \bullet x_1 \neq x_1\} \cup \{b \mid (a \ b) \bullet x_2 \neq x_2\})\} \\ \{a \mid \inf\{b \mid (a \ b) \bullet x_1 \neq x_1\} \lor \inf\{b \mid (a \ b) \bullet x_2 \neq x_2\}\} \\ \{a \mid \inf\{b \mid (a \ b) \bullet x_1 \neq x_1\}\} \cup \{a \mid \inf\{b \mid (a \ b) \bullet x_2 \neq x_2\}\} \\ \supp(x_1) \qquad \cup \qquad \supp(x_2) \end{array}$ 

 $\mathsf{supp}(x_1, x_2) \stackrel{\mathsf{def}}{=} \{ a \mid \mathsf{inf} \{ b \mid (a \ b) \bullet (x_1, x_2) \neq (x_1, x_2) \} \}$ 

 $\begin{array}{ll} \{a \mid \inf\{b \mid So \mid supp(x_1, x_2) = supp(x_1) \cup supp(x_2) \\ \{a \mid \inf\{b \mid (a \ b) \bullet x_1 \neq x_1 \lor (a \ b) \bullet x_2 \neq x_2\} \} \\ \{a \mid \inf\{b \mid (a \ b) \bullet x_1 \neq x_1\} \cup \{b \mid (a \ b) \bullet x_2 \neq x_2\}) \} \\ \{a \mid \inf\{b \mid (a \ b) \bullet x_1 \neq x_1\} \lor \inf\{b \mid (a \ b) \bullet x_2 \neq x_2\} \} \\ \{a \mid \inf\{b \mid (a \ b) \bullet x_1 \neq x_1\} \} \cup \{a \mid \inf\{b \mid (a \ b) \bullet x_2 \neq x_2\} \} \\ supp(x_1) \qquad \cup \qquad supp(x_2) \end{array}$ 

**Some Simple Properties** Supp  $(x_1, x_2) = ( ext{supp } x_1) \cup ( ext{supp } x_2)$  $a \ \# \ (x_1, x_2)$  iff  $a \ \# \ x_1 \land a \ \# \ x_2$ Supp $_{\alpha}$   $(a: \alpha) = \{a$  $\blacksquare$  supp  $|| = \emptyset$ ,  $\mathsf{supp}(x :: xs) = \mathsf{supp}(x) \cup \mathsf{supp}(xs)$  $\blacksquare$  supp(None) =  $\emptyset$ , supp(Some(x)) = supp(x)supp $(1) = ext{supp}("s") = ext{supp}(True) = arnothing$ 

#### **Some Simple Properties**

Su The support of "finitary" structures is usually quite simple: for example the support of a lambda-term t is the set of atoms occuring in t.



#### **FYI: Infinitary Structures**

supp  $= \varnothing$  set of all atoms in  $\alpha$ since  $\forall a, b. (a b) \bullet =$ 

- Supp  $F = \{a_1, \dots, a_n ext{ assuming } F ext{ is a finite set of atoms } a_1, \dots, a_n$
- not every set of atoms has finite support: e.g. "atoms/2"
- the support of functions is even more interesting (one instance later on)

#### **Existence of a Fresh Atom**

Q: Why do we assume that there are infinitely many atoms?

A: For any finitely supported x:

 $\exists c. \ c \# x$ 

If something is finitely supported, then we can always choose a fresh atom (also for finitely supported functions).
Assuming  $pt_{lpha,\iota}$ :  $a \ \# \ x \land b \ \# \ x \Rightarrow (a \ b) ullet x = x$ 

Assuming  $pt_{lpha,\iota}$ :  $a \ \# \ x \land b \ \# \ x \Rightarrow (a \ b) ullet x = x$ 

Proof: case a = b clear

Assuming  $pt_{lpha,\iota}$ :  $a \ \# \ x \land b \ \# \ x \Rightarrow (a \ b) ullet x = x$ 

 $\begin{array}{l} \underline{\mathsf{Proof:}} \text{ case } a \neq b \text{:} \\ \hline \textbf{(1)} \quad \mathsf{fin}\{c \mid (a \, c) \bullet x \neq x\} \\ \quad \mathsf{fin}\{c \mid (b \, c) \bullet x \neq x\} \end{array}$ 

from Ass. +Def. of #

Assuming  $pt_{lpha,\iota}$ :  $a \ \# \ x \land b \ \# \ x \Rightarrow (a \ b) ullet x = x$ 

 $\begin{array}{ll} \underline{\mathsf{Proof:}} \ \mathsf{case} \ a \neq b: \\ (1) \ \ \mathsf{fin}\{c \mid (a \ c) \bullet x \neq x\} & \mathsf{from} \ \mathsf{Ass.} + \mathsf{Def.} \ \mathsf{of} \ \# \\ \ \ \mathsf{fin}\{c \mid (b \ c) \bullet x \neq x\} \\ (2) \ \ \mathsf{fin}(\{c \mid (a \ c) \bullet x \neq x\} \cup \{c \mid (b \ c) \bullet x \neq x\}) \ \ \mathsf{f.} \ (1) \end{array}$ 

Assuming  $pt_{lpha,\iota}$ :  $a \ \# \ x \land b \ \# \ x \Rightarrow (a \ b) ullet x = x$ 

 $\begin{array}{ll} \underline{\operatorname{Proof:}} \operatorname{case} a \neq b:\\ (1) \ \operatorname{fin} \{c \mid (a \ c) \bullet x \neq x\} & \text{from Ass. +Def. of } \#\\ \operatorname{fin} \{c \mid (b \ c) \bullet x \neq x\} & \\ (2') \operatorname{fin} \{c \mid (a \ c) \bullet x \neq x \lor (b \ c) \bullet x \neq x\} & \\ \end{array}$ 

Assuming  $pt_{lpha,\iota}$ :  $a \ \# \ x \land b \ \# \ x \Rightarrow (a \ b) ullet x = x$ 

 $\begin{array}{ll} \underline{\operatorname{Proof:}} \operatorname{case} a \neq b:\\ (1) \ \operatorname{fin}\{c \mid (a \ c) \bullet x \neq x\} & \text{from Ass. +Def. of } \#\\ & \operatorname{fin}\{c \mid (b \ c) \bullet x \neq x\} & \\ (2') \ \operatorname{fin}\{c \mid (a \ c) \bullet x \neq x \lor (b \ c) \bullet x \neq x\} & \\ (3) \ \operatorname{inf}\{c \mid \neg ((a \ c) \bullet x \neq x \lor (b \ c) \bullet x \neq x)\} & \\ \end{array}$ 

Given a finite set of atoms, its 'co-set' must be infinite.

Assuming  $pt_{lpha,\iota}$ :  $a \ \# \ x \land b \ \# \ x \Rightarrow (a \ b) ullet x = x$ 

 $\begin{array}{ll} \underline{\mathsf{Proof:}} \ \mathsf{case} \ a \neq b: \\ (1) \ \ \mathsf{fin}\{c \mid (a \ c) \bullet x \neq x\} & \mathsf{from} \ \mathsf{Ass.} + \mathsf{Def.} \ \mathsf{of} \ \# \\ \ \ \mathsf{fin}\{c \mid (b \ c) \bullet x \neq x\} & \mathsf{from} \ \mathsf{Ass.} + \mathsf{Def.} \ \mathsf{of} \ \# \\ (2') \ \ \mathsf{fin}\{c \mid (a \ c) \bullet x \neq x \lor (b \ c) \bullet x \neq x\} & \mathsf{f.} \ (1) \\ (3') \ \ \mathsf{inf}\{c \mid (a \ c) \bullet x = x \land (b \ c) \bullet x = x)\} & \mathsf{f.} \ (2') \end{array}$ 

Assuming  $pt_{lpha,\iota}$ :  $a \ \# \ x \land b \ \# \ x \Rightarrow (a \ b) ullet x = x$ 

 $\begin{array}{ll} \underline{\mathsf{Proof:}} \ \mathsf{case} \ a \neq b: \\ (1) \ \ \mathsf{fin}\{c \mid (a \ c) \bullet x \neq x\} & \mathsf{from Ass. + Def. of } \# \\ \ \ \mathsf{fin}\{c \mid (b \ c) \bullet x \neq x\} & \mathsf{f. (1)} \\ (2') \ \ \mathsf{fin}\{c \mid (a \ c) \bullet x \neq x \lor (b \ c) \bullet x \neq x\} & \mathsf{f. (1)} \\ (3') \ \ \mathsf{inf}\{c \mid (a \ c) \bullet x = x \land (b \ c) \bullet x = x)\} & \mathsf{f. (2')} \\ (4) \ \ (\mathsf{i}) \ (a \ c) \bullet x = x & \mathsf{(ii)} \ (b \ c) \bullet x = x & \mathsf{for a} \ c \in (3') \end{array}$ 

If a set is infinite, it must contain a few elements. Let's pick c so that  $c \neq a, b$ .

Assuming  $pt_{lpha,\iota}$ :  $a \ \# \ x \land b \ \# \ x \Rightarrow (a \ b) ullet x = x$ 

 $\begin{array}{ll} \underline{\operatorname{Proof:}} & \operatorname{case} a \neq b: \\ (1) & \operatorname{fin} \{c \mid (a \, c) \bullet x \neq x\} & \operatorname{from} \operatorname{Ass.} + \operatorname{Def.} \text{ of } \# \\ & \operatorname{fin} \{c \mid (b \, c) \bullet x \neq x\} & \operatorname{from} \operatorname{Ass.} + \operatorname{Def.} \text{ of } \# \\ (2') & \operatorname{fin} \{c \mid (a \, c) \bullet x \neq x \lor (b \, c) \bullet x \neq x\} & \operatorname{f.} (1) \\ (3') & \operatorname{inf} \{c \mid (a \, c) \bullet x = x \land (b \, c) \bullet x = x)\} & \operatorname{f.} (2') \\ (4) & (i) & (a \, c) \bullet x = x & (ii) & (b \, c) \bullet x = x & \operatorname{for} a \, c \in (3') \\ (5) & (a \, c) \bullet x = x & \operatorname{by} (4i) \end{array}$ 

Assuming  $pt_{lpha,\iota}$ :  $a \ \# \ x \land b \ \# \ x \Rightarrow (a \ b) ullet x = x$ 

 $\begin{array}{ll} \underline{\operatorname{Proof:}} \operatorname{case} a \neq b:\\ (1) \quad \operatorname{fin} \{c \mid (a \ c) \bullet x \neq x\} & \operatorname{from} \operatorname{Ass.} + \operatorname{Def.} \operatorname{of} \#\\ \quad \operatorname{fin} \{c \mid (b \ c) \bullet x \neq x\} & \operatorname{from} \operatorname{Ass.} + \operatorname{Def.} \operatorname{of} \#\\ (2') \quad \operatorname{fin} \{c \mid (a \ c) \bullet x \neq x \lor (b \ c) \bullet x \neq x\} & \operatorname{f.} (1)\\ (3') \quad \operatorname{inf} \{c \mid (a \ c) \bullet x = x \land (b \ c) \bullet x = x)\} & \operatorname{f.} (2')\\ (4) \quad (i) \quad (a \ c) \bullet x = x & (ii) \quad (b \ c) \bullet x = x & \operatorname{for} a \ c \in (3')\\ (5) \quad (a \ c) \bullet x = x & by \quad (4i)\\ (6) \quad (b \ c) \bullet (a \ c) \bullet x = (b \ c) \bullet x & by \quad bj. \end{array}$ 

bij.: 
$$x=y$$
 iff  $\piullet x=\piullet y$ 

Assuming  $pt_{lpha,\iota}$ :  $a \ \# \ x \land b \ \# \ x \Rightarrow (a \ b) ullet x = x$ 

 $\begin{array}{ll} \underline{\operatorname{Proof:}} \operatorname{case} a \neq b:\\ \hline (1) \ \operatorname{fin}\{c \mid (a \, c) \bullet x \neq x\} & \operatorname{from} \operatorname{Ass.} + \operatorname{Def.} \operatorname{of} \#\\ \operatorname{fin}\{c \mid (b \, c) \bullet x \neq x\} & \operatorname{from} \operatorname{Ass.} + \operatorname{Def.} \operatorname{of} \#\\ \hline (2') \ \operatorname{fin}\{c \mid (a \, c) \bullet x \neq x \lor (b \, c) \bullet x \neq x\} & \operatorname{f.} (1)\\ \hline (3') \ \operatorname{inf}\{c \mid (a \, c) \bullet x = x \land (b \, c) \bullet x = x\}\} & \operatorname{f.} (2')\\ \hline (4) \ (i) \ (a \, c) \bullet x = x & \operatorname{(ii)} \ (b \, c) \bullet x = x & \operatorname{for} a \, c \in (3')\\ \hline (5) \ (a \, c) \bullet x = x & \operatorname{by} (4i)\\ \hline (6') \ (b \, c) \bullet (a \, c) \bullet x = x & \operatorname{by} (4i) \end{array}$ 

Assuming  $pt_{lpha,\iota}$ :  $a \ \# \ x \land b \ \# \ x \Rightarrow (a \ b) ullet x = x$ 

Proof: case  $a \neq b$ : (1) fin{ $c \mid (a c) \bullet x \neq x$ } from Ass. +Def. of # $fin\{c \mid (b c) \bullet x \neq x\}$ (2') fin{ $c \mid (a c) \bullet x \neq x \lor (b c) \bullet x \neq x$ } f. (1) (3') inf  $\{c \mid (a c) \bullet x = x \land (b c) \bullet x = x\}$ f. (2') (4) (i)  $(a c) \bullet x = x$  (ii)  $(b c) \bullet x = x$ for a  $c \in (3')$ (5)  $(a c) \cdot x = x$ by (4i) (6')  $(b c) \bullet (a c) \bullet x = x$ by bij.,(4ii) (7)  $(a c) \bullet (b c) \bullet (a c) \bullet x = (a c) \bullet x$ by bij.

Assuming  $pt_{lpha,\iota}$ :  $a \ \# \ x \land b \ \# \ x \Rightarrow (a \ b) ullet x = x$ 

Proof: case  $a \neq b$ : (1) fin{ $c \mid (a c) \bullet x \neq x$ } from Ass. +Def. of # $fin\{c \mid (b c) \bullet x \neq x\}$ (2') fin{ $c \mid (a c) \bullet x \neq x \lor (b c) \bullet x \neq x$ } f. (1) (3') inf  $\{c \mid (a c) \bullet x = x \land (b c) \bullet x = x\}$ f. (2') (4) (i)  $(a c) \bullet x = x$  (ii)  $(b c) \bullet x = x$ for a  $c \in (3')$ (5)  $(a c) \cdot x = x$ by (4i) (6')  $(b c) \bullet (a c) \bullet x = x$ by bij.,(4ii) (7')  $(a c) \bullet (b c) \bullet (a c) \bullet x = x$ by bij.(4i)

Assuming  $pt_{lpha,\iota}$ :  $a \ \# \ x \land b \ \# \ x \Rightarrow (a \ b) ullet x = x$ 

Proof: case  $a \neq b$ : (1) fin{ $c \mid (a c) \bullet x \neq x$ } from Ass. +Def. of # $fin\{c \mid (b c) \bullet x \neq x\}$ (2') fin{ $c \mid (a c) \bullet x \neq x \lor (b c) \bullet x \neq x$ } f. (1) (3') inf  $\{c \mid (a c) \bullet x = x \land (b c) \bullet x = x\}$ f. (2') (4) (i)  $(a c) \bullet x = x$  (ii)  $(b c) \bullet x = x$ for a  $c \in (3')$ (5)  $(a c) \cdot x = x$ by (4i) (6')  $(b c) \bullet (a c) \bullet x = x$ by bij.,(4ii) (7')  $(a c) \bullet (b c) \bullet (a c) \bullet x = x$ by bij.(4i)

 $(a c)(b c)(a c) \sim (a b)$ 

Assuming  $pt_{lpha,\iota}$ :  $a \ \# \ x \land b \ \# \ x \Rightarrow (a \ b) ullet x = x$ 

<u>Proof</u> : case $a \neq b$ :	
(1) fin $\{c \mid (a c) \bullet x \neq x\}$ from As	s. +Def. of #
$fin\{c \mid (bc) \bullet x \neq x\}$	
(2') fin{ $c \mid (a,c) \bullet x \neq x \lor (b,c) \bullet x \neq x$ }	f. (1)
(3') inf{ $c \mid (a \text{ 3rd property of } pt_{\alpha, \iota}:$	f. (2')
(4) (i) $(a c) \bullet \pi_1 \sim \pi_2 \Rightarrow \pi_1 \bullet x = \pi_2 \bullet x$	$c \in (3')$
$(5) \ (a c) \bullet x = x$	by (4i)
(6') $(b c) \bullet (a c) \bullet x = x$	by bij.,(4ii)
(7') $(a c) \bullet (b c) \bullet (a c) \bullet x = x$	by bij.,(4i)
(8) $(a b) \bullet x = x$	by 3rd. prop.

Assuming  $pt_{lpha,\iota}$ :  $a \ \# \ x \land b \ \# \ x \Rightarrow (a \ b) ullet x = x$ 

Proof: case  $a \neq b$ : (1) fin{ $c \mid (a c) \bullet x \neq x$ } from Ass. +Def. of # $fin\{c \mid (b c) \bullet x \neq x\}$ (2') fin{ $c \mid (a c) \bullet x \neq x \lor (b c) \bullet x \neq x$ } f. (1) (3') inf  $\{c \mid (a c) \bullet x = x \land (b c) \bullet x = x\}$ f. (2') (4) (i)  $(a c) \bullet x = x$  (ii)  $(b c) \bullet x = x$ for a  $c \in (3')$ (5)  $(a c) \cdot x = x$ by (4i) (6')  $(b c) \bullet (a c) \bullet x = x$ by bij.,(4ii) (7')  $(a c) \bullet (b c) \bullet (a c) \bullet x = x$ by bij. (4i) (8)  $(a b) \bullet x = x$ by 3rd. prop. Done.

#### Last Lem. in the SN-Proof

 $\begin{array}{l} \mathsf{lemma \ all\_Red:} \\ \mathsf{assumes \ a: \ }''\Gamma \vdash t:\tau'' \\ \mathsf{and \ b: \ }''\forall(x,\sigma) \in \mathsf{set \ } \Gamma. \ x \in \mathsf{dom}(\theta) \land \theta \langle x \rangle \in Red_{\sigma}'' \\ \mathsf{shows \ }''\theta[t] \in Red_{\tau}'' \end{array}$ 

Girard in Proofs-and-Types:

Let t be any term (not assumed to be reducible), and suppose all free variables of t are among  $x_1 \dots x_n$  of types  $\sigma_1 \dots \sigma_n$ . If  $t_1 \dots t_n$  are reducible terms of type  $\sigma_1 \dots \sigma_n$  then  $t[x_1 := t_1, \dots, x_n := t_n]$  is reducible.

# Last Lem. in the SN-Proof

lemma all\_Red: assumes a: " $\Gamma \vdash t : au$ " and b: " $\forall (x, \sigma) \in \mathsf{set}\,\Gamma.\ x \in \mathsf{dom}(\theta) \land \theta \langle x \rangle \in Red_{\sigma}$ " shows " $\theta[t] \in Red_{\tau}$ " using a b proof (nominal\_induct t avoiding:  $\Gamma \tau \theta$  rule: lam.induct) case (Lam a t) have ih: " $\land \Gamma \tau \theta$ . [ $\Gamma \vdash t : \tau; \forall (x, \sigma) \in \text{set } \Gamma. x \in \text{dom}(\theta) \land \theta \langle x \rangle \in \text{Red}_{\sigma}$ ]  $\implies \theta[t] \in Red_{\tau}$ " and  $\theta_{-}$ cond: " $\forall (x, \sigma) \in \mathsf{set} \ \Gamma. \ x \in \mathsf{dom}(\theta) \land \theta \langle x \rangle \in Red_{\sigma}$ " and fresh: " $a \ \# \ \Gamma$ " " $a \ \# \ heta$ " and " $\Gamma \vdash \text{Lam}[a].t : \tau$ " by fact hence " $\exists \tau_1 \tau_2$ .  $\tau = \tau_1 \rightarrow \tau_2 \land ((a, \tau_1) \# \Gamma) \vdash t : \tau_2$ " by (simp ...) then obtain  $au_1 au_2$  where  $au : " au = au_1 o au_2"$ and ty: " $((a, \tau_1) \# \Gamma) \vdash t : \tau_2$ " by blast from in have " $\forall s \in Red_{\tau_1}$ .  $(\theta[t])[a := s] \in Red_{\tau_2}$ " using fresh ty  $\theta_{-}$ cond

by (force dest: fresh\_context simp add: psubst\_subst) hence "Lam  $[a] \cdot (\theta[t]) \in Red_{\tau_1 \to \tau_2}$ " by (simp only: abs\_Red) thus " $\theta[Lam [a] \cdot t] \in Red_{\tau}$ " using fresh  $\tau$  by simp Munich, 8. February 2006 - p.27 (2/3)

# Last Lem. in the SN-Proof



by (force dest: fresh\_context simp add: psubst\_subst) hence "Lam  $[a] \cdot (\theta[t]) \in Red_{\tau_1 \to \tau_2}$ " by (simp only: abs\_Red) thus " $\theta$ [Lam  $[a] \cdot t$ ]  $\in Red_{\tau}$ " using fresh  $\tau$  by simp Munich, 8. February 2006 - p.27 (3/3)