

# Welcome!

- Files and Programme at:

<http://isabelle.in.tum.de/nominal/ijcar-09.html>

- Have you already installed Nominal Isabelle?
- Can you step through Minimal.thy without getting an error message?

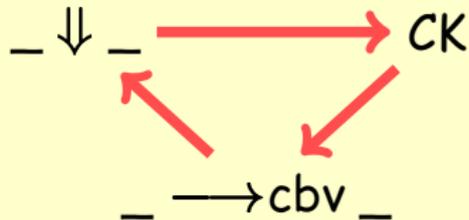
If yes, then very good.

If not, then please ask us **now!**

# Nominal Isabelle

Stefan Berghofer and Christian Urban  
TU Munich

Quick overview: a formalisation of a CK machine:



# A Quick and Dirty Overview of Nominal Isabelle

- Nominal Isabelle is a definitional extension of Isabelle/HOL (i.e. no additional axioms, only HOL),

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# A Quick and Dirty Overview of Nominal Isabelle

- Nominal Isabelle is a definitional extension of Isabelle/HOL (i.e. no additional axioms, only HOL),
- provides an infrastructure for reasoning about **named** binders,
- for example lets you define

```
nominal_datatype lam =  
  Var "name"  
  | App "lam" "lam"  
  | Lam "«name»lam" ("Lam [_]._")
```

- which give you **named  $\alpha$ -equivalence** classes:

```
Lam [x].(Var x) = Lam [y].(Var y)
```

# A Quick and Dirty Overview of Nominal Isabelle

- Nominal Isabelle That means Nominal Isabelle is aimed at helping you with formalising results from:
  - HOL)
- provides
  - programming language theory
  - term-rewriting
  - logic
  - ...
- for example

nom

|  
| Lam [x].(Var x) = Lam [y].(Var y)

- which give you **named  $\alpha$ -equivalence** classes:  
$$\text{Lam } [x].(\text{Var } x) = \text{Lam } [y].(\text{Var } y)$$

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  - ...
- for example

...not just the lambda-calculus!

Lam [x].(Var x) = Lam [y].(Var y)

- which give you named  $\alpha$ -equivalence classes:

Lam [x].(Var x) = Lam [y].(Var y)

# A Six-Slides Crash-Course on How to Use Isabelle



# X-Symbols

- ... provide a nice way to input non-ascii characters; for example:

$\forall, \exists, \Downarrow, \#, \wedge, \Gamma, \times, \neq, \in, \dots$

- they need to be input via the combination

`\<name-of-x-symbol>`

# X-Symbols

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`\<name-of-x-symbol>`

- short-cuts for often used symbols

$\llbracket \dots \llbracket \quad \Rightarrow \dots \Longrightarrow \quad \wedge \dots \wedge$   
 $\lrrbracket \dots \lrrbracket \quad => \dots \Rightarrow \quad \vee \dots \vee$

# Isabelle Proof-Scripts

- Every proof-script (theory) is of the form

```
theory Name
  imports T1...Tn
begin
...
end
```

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- For Nominal Isabelle proof-scripts,  $T_1$  will normally be the theory **Nominal**.
- We use here the theory `Lambda.thy`, which contains the definition for lambda-terms and for capture-avoiding substitution.

# Types

- Isabelle is typed, has polymorphism and overloading.
  - Base types: `nat`, `bool`, `string`, `lam`, ...
  - Type-formers: `'a list`, `'a × 'b`, `'c set`, ...
  - Type-variables: `'a`, `'b`, `'c`, ...

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  - Type-formers: `'a list`, `'a × 'b`, `'c set`, ...
  - Type-variables: `'a`, `'b`, `'c`, ...
- Types can be queried in Isabelle using:

```
typ nat
typ bool
typ lam
typ "('a × 'b)"
typ "'c set"
typ "nat ⇒ bool"
```

# Terms

- The well-formedness of terms can be queried using:

term c

term "1::nat"

term 1

term "{1, 2, 3::nat}"

term "[1, 2, 3]"

term "Lam [x].(Var x)"

term "App t<sub>1</sub> t<sub>2</sub>"

# Terms

- The well-formedness of terms can be queried using:

```
term c
term "1::nat"
term 1
term "{1, 2, 3::nat}"
term "[1, 2, 3]"
term "Lam [x].(Var x)"
term "App t1 t2"
```

- Isabelle provides some useful colour feedback

```
term "True"      gives "True" :: "bool"
term "true"      gives "true" :: "'a"
term "∀ x. P x"  gives "∀ x. P x" :: "bool"
```

# Formulae

- Every formula in Isabelle needs to be of type bool

term "True"

term "True  $\wedge$  False"

term "{1,2,3} = {3,2,1}"

term " $\forall x. P x$ "

term " $A \longrightarrow B$ "

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- When working with Isabelle, you are confronted with an object logic (HOL) and a meta-logic (Pure)

term " $A \longrightarrow B$ " '≡' term " $A \implies B$ "  
term " $\forall x. P x$ " '≡' term " $\bigwedge x. P x$ "

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- Every formula in Isabelle needs to be of type bool

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- When working with Isabelle, you are confronted with an object logic (HOL) and a meta-logic (Pure)

term " $A \longrightarrow B$ "  $\equiv$  term " $A \implies B$ "

term " $\forall x. P x$ "  $\equiv$  term " $\bigwedge x. P x$ "

term " $A \implies B \implies C$ " = term " $[A; B] \implies C$ "

**Definition for  
the Evaluation Relation,  
Contexts and  
the CK Machine  
on Six Slides**

# Evaluation Relation

inductive

eval :: "lam  $\Rightarrow$  lam  $\Rightarrow$  bool" ("\_  $\Downarrow$  \_")

where

e\_Lam: "Lam [x].t  $\Downarrow$  Lam [x].t"

| e\_App: "[t<sub>1</sub>  $\Downarrow$  Lam [x].t; t<sub>2</sub>  $\Downarrow$  v'; t[x::=v']  $\Downarrow$  v]  $\Longrightarrow$  App t<sub>1</sub> t<sub>2</sub>  $\Downarrow$  v"

# Evaluation Relation

a name

inductive

eval :: "lam  $\Rightarrow$  lam  $\Rightarrow$  bool" ("\_  $\Downarrow$  \_")

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# Evaluation Relation

a type

inductive

eval :: "lam  $\Rightarrow$  lam  $\Rightarrow$  bool" ("\_  $\Downarrow$  \_")

where

e\_Lam: "Lam [x].t  $\Downarrow$  Lam [x].t"

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# Evaluation Relation

optionally  
pretty syntax

inductive

eval :: "lam  $\Rightarrow$  lam  $\Rightarrow$  bool" ("\_  $\Downarrow$  \_")

where

e\_Lam: "Lam [x].t  $\Downarrow$  Lam [x].t"

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# Evaluation Relation

inductive

eval :: "lam  $\Rightarrow$  lam  $\Rightarrow$  bool" ("\_  $\Downarrow$  \_")

where

e\_Lam: "Lam [x].t  $\Downarrow$  Lam [x].t"

a clause

| e\_App: "[t<sub>1</sub>  $\Downarrow$  Lam [x].t; t<sub>2</sub>  $\Downarrow$  v'; t[x::=v']  $\Downarrow$  v]  $\Longrightarrow$  App t<sub>1</sub> t<sub>2</sub>  $\Downarrow$  v"

another clause

# Evaluation Relation

inductive

eval :: "lam  $\Rightarrow$  lam  $\Rightarrow$  bool" ("\_  $\Downarrow$  \_")

where

e\_Lam: "Lam [x].t  $\Downarrow$  Lam [x].t"

| e\_App: "[t<sub>1</sub>  $\Downarrow$  Lam [x].t; t<sub>2</sub>  $\Downarrow$  v'; t[x::=v']  $\Downarrow$  v]  $\Longrightarrow$  App t<sub>1</sub> t<sub>2</sub>  $\Downarrow$  v"

$$\frac{\text{Lam [x].t} \Downarrow \text{Lam [x].t} \quad \text{t}_1 \Downarrow \text{Lam [x].t} \quad \text{t}_2 \Downarrow \text{v}' \quad \text{t[x::=v']} \Downarrow \text{v}}{\text{App t}_1 \text{ t}_2 \Downarrow \text{v}}$$

# Evaluation Relation

inductive

eval :: "lam  $\Rightarrow$  lam  $\Rightarrow$  bool" ("\_  $\Downarrow$  \_")

where

e\_Lam: "Lam [x].t  $\Downarrow$  Lam [x].t"

| e\_App: "[t<sub>1</sub>  $\Downarrow$  Lam [x].t; t<sub>2</sub>  $\Downarrow$  v'; t[x::=v']  $\Downarrow$  v]  $\Longrightarrow$  App t<sub>1</sub> t<sub>2</sub>  $\Downarrow$  v"

optionally  
a name

# Evaluation Relation

inductive

eval :: "lam  $\Rightarrow$  lam  $\Rightarrow$  bool" ("\_  $\Downarrow$  \_")

where

e\_Lam: "Lam [x].t  $\Downarrow$  Lam [x].t"

| e\_App: "[t<sub>1</sub>  $\Downarrow$  Lam [x].t; t<sub>2</sub>  $\Downarrow$  v'; t[x::=v']  $\Downarrow$  v]  $\Longrightarrow$  App t<sub>1</sub> t<sub>2</sub>  $\Downarrow$  v"

inductive

val :: "lam  $\Rightarrow$  bool"

where

v\_Lam[intro]: "val (Lam [x].t)"

# Evaluation Relation

inductive

eval :: "lam  $\Rightarrow$  lam  $\Rightarrow$  bool" ("\_  $\Downarrow$  \_")

where

e\_Lam: "Lam [x].t  $\Downarrow$  Lam [x].t"

| e\_App: "[t<sub>1</sub>  $\Downarrow$  Lam [x].t; t<sub>2</sub>  $\Downarrow$  v'; t[x::=v']  $\Downarrow$  v]  $\Longrightarrow$  App t<sub>1</sub> t<sub>2</sub>  $\Downarrow$  v"

inductive

val :: "lam  $\Rightarrow$  bool"

where

v\_Lam[intro]: "val (Lam [x].t)"

- The attribute [intro] adds the corresponding clause to the hint theorem base (later more).

# Evaluation Relation

**inductive**

eval :: "lam  $\Rightarrow$  lam  $\Rightarrow$  bool" ("\_  $\Downarrow$  \_")

**where**

e\_Lam: "Lam [x].t  $\Downarrow$  Lam [x].t"

| e\_App: "[t<sub>1</sub>  $\Downarrow$  Lam [x].t; t<sub>2</sub>  $\Downarrow$  v'; t[x::=v']  $\Downarrow$  v]  $\Longrightarrow$  App t<sub>1</sub> t<sub>2</sub>  $\Downarrow$  v"

**declare** eval.intros[intro]

**inductive**

val :: "lam  $\Rightarrow$  bool"

**where**

v\_Lam[intro]: "val (Lam [x].t)"

- The attribute [intro] adds the corresponding clause to the hint theorem base (later more).

# Theorems

- Isabelle's theorem database can be queried using

`thm e_Lam`

`thm e_App`

`thm conjI`

`thm conjunct1`

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- Isabelle's theorem database can be queried using

thm e\_Lam

thm e\_App

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thm conjunct1

e\_Lam:  $\text{Lam } [?x].?t \Downarrow \text{Lam } [?x].?t$

e\_App:  $[[?t_1 \Downarrow \text{Lam } [?x].?t; ?t_2 \Downarrow ?v'; ?t[?x::=?v']] \Downarrow ?v]$   
 $\implies \text{App } ?t_1 ?t_2 \Downarrow ?v$

conjI:  $[[?P; ?Q]] \implies ?P \wedge ?Q$

conjunct1:  $?P \wedge ?Q \implies ?P$

# Theorems

- Isabelle's theorem database can be queried using

thm e\_Lam

thm e\_App

thm conjI

thm conjunct1

schematic variables

e\_Lam: Lam [?x].?t  $\Downarrow$  Lam [?x].?t

e\_App:  $\llbracket ?t_1 \Downarrow \text{Lam } [?x].?t; ?t_2 \Downarrow ?v'; ?t[?x::=?v'] \Downarrow ?v \rrbracket$   
 $\implies$  App ?t<sub>1</sub> ?t<sub>2</sub>  $\Downarrow$  ?v

conjI:  $\llbracket ?P; ?Q \rrbracket \implies ?P \wedge ?Q$

conjunct1:  $?P \wedge ?Q \implies ?P$

# Theorems

- Isabelle's theorem database can be queried using

`thm e_Lam[no_vars]`

`thm e_App[no_vars]`

`thm conjI[no_vars]`

`thm conjunct1[no_vars]`

attributes

`e_Lam: Lam [x].t ↓ Lam [x].t`

`e_App: [[t1 ↓ Lam [x].t; t2 ↓ v'; t[x::=v'] ↓ v] ⇒  
App t1 t2 ↓ v`

`conjI: [P; Q] ⇒ P ∧ Q`

`conjunct1: P ∧ Q ⇒ P`

# Generated Theorems

- Most definitions result in automatically generated theorems; for example

`thm eval.intros[no_vars]`

`thm eval.induct[no_vars]`

# Generated Theorems

- Most definitions result in automatically generated theorems; for example

`thm eval.intros[no_vars]`

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intr's:  $\text{Lam } [x].t \Downarrow \text{Lam } [x].t$

$\llbracket t_1 \Downarrow \text{Lam } [x].t; t_2 \Downarrow v'; t[x::=v'] \Downarrow v \rrbracket \implies \text{App } t_1 t_2 \Downarrow v$

ind'ct:  $\llbracket x_1 \Downarrow x_2;$

$\bigwedge x t. P \text{ Lam } [x].t \text{ Lam } [x].t;$

$\bigwedge t_1 x t t_2 v' v. \llbracket t_1 \Downarrow \text{Lam } [x].t; P t_1 \text{ Lam } [x].t; t_2 \Downarrow v'; P t_2 v'; t[x::=v'] \Downarrow v; P t[x::=v'] v \rrbracket \implies P (\text{App } t_1 t_2) v;$

$\implies P x_1 x_2$

# Theorem / Lemma / Corollary

- ...they are of the form:

```
theorem theorem_name:  
  fixes      x::"type"  
  ...  
  assumes   "assm1"  
  and       "assm2"  
  ...  
  shows   "statement"  
  ...
```

- Grey parts are optional.
- Assumptions and the (goal)statement must be of type bool. Assumptions can have labels.

# Theorem / Lemma / Corollary

- ...they are of the form:

```
lemma alpha_equ:  
  shows "Lam [x].Var x = Lam [y].Var y"
```

...

```
lemma Lam_freshness:  
  assumes a: "x ≠ y"  
  shows "y # Lam [x].t ⇒ y # t"
```

...

```
lemma neutral_element:  
  fixes x::"nat"  
  shows "x + 0 = x"
```

- Grey parts
- Assumption
- type bool. ...

Assumptions can have labels.

of

# Datatypes

- We define contexts with a single hole as the datatype:

```
datatype ctx =  
  Hole ("□")  
| CAppL "ctx" "lam"  
| CAppR "lam" "ctx"
```

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a name

# Datatypes

- We define contexts with a single hole as the datatype:

```
datatype ctx =  
  constr's Hole ("□")  
  constr's | CAppL "ctx" "lam"  
  constr's | CAppR "lam" "ctx"
```

# Datatypes

- We define contexts with a single hole as the datatype:

```
datatype ctx =
```

```
  Hole ("□")
```

```
| CAppL "ctx" "lam"
```

```
| CAppR "lam" "ctx"
```



arg type



arg type

# Datatypes

- We define contexts with a single hole as the datatype:

**datatype** ctx =

Hole ("□")

pretty syntax

| CAppL "ctx" "lam"

| CAppR "lam" "ctx"

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datatype ctx =  
  Hole ("□")  
| CAppL "ctx" "lam"  
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```

- Isabelle now knows about:

```
typ ctx  
term "□"  
term "CAppL"  
term "CAppL □ (Var x)"
```

# Datatypes

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```

- Isabelle now knows about:

```
typ ctx  
term "□"  
term "CAppL"  
term "CAppL □ (Var x)"  
types ctxs = "ctx list"
```

(a type abbreviation)

# CK Machine

- A CK machine works on configurations  $\langle \_ , \_ \rangle$  consisting of a lambda-term and a framestack.

## inductive

machine :: "lam  $\Rightarrow$  ctxs  $\Rightarrow$  lam  $\Rightarrow$  ctxs  $\Rightarrow$  bool" ("<\_,\_>  $\mapsto$  <\_,\_>")

## where

$m_1$ : " $\langle \text{App } e_1 \ e_2, \text{Es} \rangle \mapsto \langle e_1, (\text{CAppL } \square \ e_2) \# \text{Es} \rangle$ "

$m_2$ : " $\text{val } v \Longrightarrow \langle v, (\text{CAppL } \square \ e_2) \# \text{Es} \rangle \mapsto \langle e_2, (\text{CAppR } v \ \square) \# \text{Es} \rangle$ "

$m_3$ : " $\text{val } v \Longrightarrow \langle v, (\text{CAppR } (\text{Lam } [x].e) \ \square) \# \text{Es} \rangle \mapsto \langle e[x ::= v], \text{Es} \rangle$ "

# CK Machine

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$m_3$ : " $\text{val } v \Longrightarrow \langle v, (\text{CAppR } (\text{Lam } [x].e) \ \square) \# \text{Es} \rangle \mapsto \langle e[x ::= v], \text{Es} \rangle$ "

Initial state of  
the CK machine:

$\langle \top, [] \rangle$

# CK Machine

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## inductive

machine :: "lam  $\Rightarrow$  ctxs  $\Rightarrow$  lam  $\Rightarrow$  ctxs  $\Rightarrow$  bool" ("<\_ , \_>  $\mapsto$  <\_ , \_>")

## where

m<sub>1</sub>: "<App e<sub>1</sub> e<sub>2</sub>, Es>  $\mapsto$  <e<sub>1</sub>, (CAppL  $\square$  e<sub>2</sub>)#Es>"

| m<sub>2</sub>: "val v  $\Rightarrow$  <v, (CAppL  $\square$  e<sub>2</sub>)#Es>  $\mapsto$  <e<sub>2</sub>, (CAppR v  $\square$ )#Es>"

| m<sub>3</sub>: "val v  $\Rightarrow$  <v, (CAppR (Lam [x].e)  $\square$ )#Es>  $\mapsto$  <e[x::=v], Es>"

## inductive

machines :: "lam  $\Rightarrow$  ctxs  $\Rightarrow$  lam  $\Rightarrow$  ctxs  $\Rightarrow$  bool" ("<\_ , \_>  $\mapsto^*$  <\_ , \_>")

## where

ms<sub>1</sub>: "<e, Es>  $\mapsto^*$  <e, Es>"

| ms<sub>2</sub>: "[<e<sub>1</sub>, Es<sub>1</sub>>  $\mapsto$  <e<sub>2</sub>, Es<sub>2</sub>>; <e<sub>2</sub>, Es<sub>2</sub>>  $\mapsto^*$  <e<sub>3</sub>, Es<sub>3</sub>>]  
 $\Rightarrow$  <e<sub>1</sub>, Es<sub>1</sub>>  $\mapsto^*$  <e<sub>3</sub>, Es<sub>3</sub>>"

# An Isar Proof for Evaluation implying the CK Machine

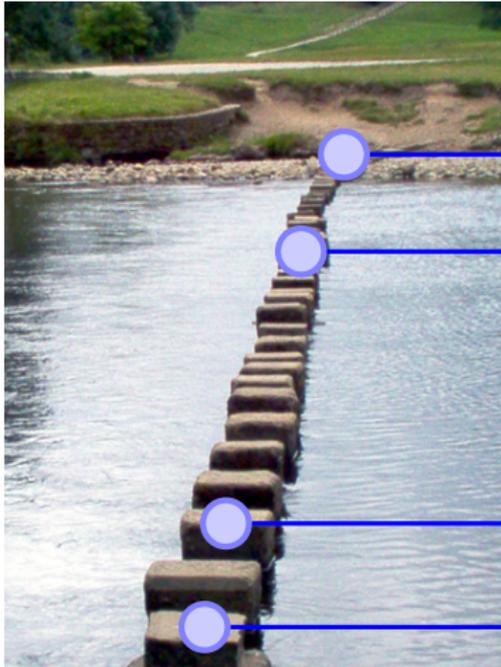
# An Isar Proof ...



- The Isar proof language has been conceived by Markus Wenzel, the main developer behind Isabelle.



# An Isar Proof ...



goal

stepping stones

⋮

stepping stones

assumptions

- The Isar proof language has been conceived by Markus Wenzel, the main developer behind Isabelle.



# An Isar Proof ...

- A Rough Schema of an Isar Proof:

have "assumption"

have "assumption"

...

have "statement"

have "statement"

...

show "statement"

qed

# An Isar Proof ...

- A Rough Schema of an Isar Proof:

```
have n1: "assumption"  
have n2: "assumption"  
...  
have n: "statement"  
have m: "statement"  
...  
show "statement"  
qed
```

- each have-statement can be given a label

# An Isar Proof ...

- A Rough Schema of an Isar Proof:

```
have n1: "assumption" by justification
have n2: "assumption" by justification
...
have n: "statement" by justification
have m: "statement" by justification
...
show "statement" by justification
qed
```

- each have-statement can be given a label
- obviously, everything needs to have a justification

# Justifications

- Omitting proofs

sorry

- Assumptions

by fact

- Automated proofs

by simp          simplification (equations, definitions)

by auto          simplification & proof search  
(many goals)

by force          simplification & proof search  
(first goal)

by blast          proof search

...

# Justifications

- Omitting proofs

`sorry`

- Assumptions

`by fact`

- Automated proofs

`by simp`

`by auto`

`by force`

`by blast`

...

Automatic justifications can also be:

`using ...by ...`

`using ih by ...`

`using n1 n2 n3 by ...`

`using lemma_name...by ...`

# First Exercise

- Lets try to prove a simple lemma. Remember we defined

Transitive Closure of the CK Machine:

$$\frac{\frac{\langle e, Es \rangle \mapsto^* \langle e, Es \rangle}{\langle e_1, Es_1 \rangle \mapsto \langle e_2, Es_2 \rangle \quad \langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle}}{\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle} ms_2$$

**lemma**

**assumes** a: " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_2, Es_2 \rangle$ "

**and** b: " $\langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ "

**shows** " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ "

# First Exercise

- Lets try to prove a simple lemma. Remember we defined

Transitive Closure of the CK Machine:

$$\frac{\frac{\langle e, Es \rangle \mapsto^* \langle e, Es \rangle}{\langle e_1, Es_1 \rangle \mapsto \langle e_2, Es_2 \rangle \quad \langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle} \text{ms}_2}{\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle} \text{ms}_1$$

**lemma**

**assumes** a: " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_2, Es_2 \rangle$ "

**and** b: " $\langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ "

**shows** " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ "

**using** a b

**proof** (induct)

# Proofs by Induction

- Proofs by induction involve cases, which are of the form:

```
proof (induct)
  case (Case-Name x...)
    have "assumption" by justification
    ...
    have "statment" by justification
    ...
    show "statment" by justification
next
  case (Another-Case-Name y...)
  ...
```

# Your Turn

lemma

assumes a: " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_2, Es_2 \rangle$ "

and b: " $\langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ "

shows " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ "

using a b

proof (induct)

case (ms<sub>1</sub> e<sub>1</sub> Es<sub>1</sub>)

have c: " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " by fact

show " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " sorry

next

case (ms<sub>2</sub> e<sub>1</sub> Es<sub>1</sub> e<sub>2</sub> Es<sub>2</sub> e<sub>2</sub>' Es<sub>2</sub>')

have ih: " $\langle e_2', Es_2' \rangle \mapsto^* \langle e_3, Es_3 \rangle \implies \langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " by fact

have d1: " $\langle e_2', Es_2' \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " by fact

have d2: " $\langle e_1, Es_1 \rangle \mapsto \langle e_2, Es_2 \rangle$ " by fact

show " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " sorry

qed

$$\frac{\frac{\langle e, Es \rangle \mapsto^* \langle e, Es \rangle^{ms_1}}{\langle e_1, Es_1 \rangle \mapsto \langle e_2, Es_2 \rangle} \quad \langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle}{\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle}^{ms_2}$$

# Your Turn

lemma

assumes a: " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_2, Es_2 \rangle$ "

and b: " $\langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ "

shows " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ "

using a b

proof (induct)

case (ms<sub>1</sub> e<sub>1</sub> Es<sub>1</sub>)

have c: " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " by fact

show " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " sorry

next

case (ms<sub>2</sub> e<sub>1</sub> Es<sub>1</sub> e<sub>2</sub> Es<sub>2</sub> e<sub>2</sub>' Es<sub>2</sub>')

have ih: " $\langle e_2', Es_2' \rangle \mapsto^* \langle e_3, Es_3 \rangle \implies \langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " by fact

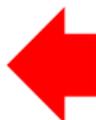
have d1: " $\langle e_2', Es_2' \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " by fact

have d2: " $\langle e_1, Es_1 \rangle \mapsto \langle e_2, Es_2 \rangle$ " by fact

show " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " sorry

qed

$$\frac{\overline{\langle e, Es \rangle \mapsto^* \langle e, Es \rangle}^{ms_1} \quad \langle e_1, Es_1 \rangle \mapsto \langle e_2, Es_2 \rangle \quad \langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle}{\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle}^{ms_2}$$



# Your Turn

lemma

assumes a: " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_2, Es_2 \rangle$ "

and b: " $\langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ "

shows " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ "

using a b

proof (induct)

case (ms<sub>1</sub> e<sub>1</sub> Es<sub>1</sub>)

have c: " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " by fact

show " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " using c by simp

next

case (ms<sub>2</sub> e<sub>1</sub> Es<sub>1</sub> e<sub>2</sub> Es<sub>2</sub> e<sub>2</sub>' Es<sub>2</sub>')

have ih: " $\langle e_2', Es_2' \rangle \mapsto^* \langle e_3, Es_3 \rangle \implies \langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " by fact

have d1: " $\langle e_2', Es_2' \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " by fact

have d2: " $\langle e_1, Es_1 \rangle \mapsto \langle e_2, Es_2 \rangle$ " by fact

show " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " sorry

qed

$$\frac{\frac{\langle e, Es \rangle \mapsto^* \langle e, Es \rangle}{\langle e_1, Es_1 \rangle \mapsto \langle e_2, Es_2 \rangle} \text{ms}_1}{\langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle} \text{ms}_2$$

# Your Turn

lemma

assumes a: " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_2, Es_2 \rangle$ "

and b: " $\langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ "

shows " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ "

using a b

proof (induct)

case (ms<sub>1</sub> e<sub>1</sub> Es<sub>1</sub>)

have c: " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " by fact

show " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " using c by simp

next

case (ms<sub>2</sub> e<sub>1</sub> Es<sub>1</sub> e<sub>2</sub> Es<sub>2</sub> e<sub>2</sub>' Es<sub>2</sub>')

have ih: " $\langle e_2', Es_2' \rangle \mapsto^* \langle e_3, Es_3 \rangle \implies \langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " by fact

have d1: " $\langle e_2', Es_2' \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " by fact

have d2: " $\langle e_1, Es_1 \rangle \mapsto \langle e_2, Es_2 \rangle$ " by fact

have d3: " $\langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " using ih d1 by auto

show " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " sorry

qed

$$\frac{\overline{\langle e, Es \rangle \mapsto^* \langle e, Es \rangle}^{ms_1} \quad \langle e_1, Es_1 \rangle \mapsto \langle e_2, Es_2 \rangle \quad \langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle}{\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle}^{ms_2}$$

# Your Turn

lemma

assumes a: " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_2, Es_2 \rangle$ "

and b: " $\langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ "

shows " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ "

using a b

proof (induct)

case (ms<sub>1</sub> e<sub>1</sub> Es<sub>1</sub>)

have c: " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " by fact

show " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " using c by simp

next

case (ms<sub>2</sub> e<sub>1</sub> Es<sub>1</sub> e<sub>2</sub> Es<sub>2</sub> e<sub>2</sub>' Es<sub>2</sub>')

have ih: " $\langle e_2', Es_2' \rangle \mapsto^* \langle e_3, Es_3 \rangle \implies \langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " by fact

have d1: " $\langle e_2', Es_2' \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " by fact

have d2: " $\langle e_1, Es_1 \rangle \mapsto \langle e_2, Es_2 \rangle$ " by fact

have d3: " $\langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " using ih d1 by auto

show " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " using d2 d3 by auto

qed

$$\frac{\frac{\langle e, Es \rangle \mapsto^* \langle e, Es \rangle^{ms_1}}{\langle e_1, Es_1 \rangle \mapsto \langle e_2, Es_2 \rangle} \quad \langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle}{\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle}^{ms_2}$$

# Your Turn

lemma

assumes a: " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_2, Es_2 \rangle$ "

and b: " $\langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ "

shows " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ "

using a b

proof (induct)

case (ms<sub>1</sub> e<sub>1</sub> Es<sub>1</sub>)

have c: " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " by fact

show " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " using c by simp

next

case (ms<sub>2</sub> e<sub>1</sub> Es<sub>1</sub> e<sub>2</sub> Es<sub>2</sub> e<sub>2</sub>' Es<sub>2</sub>')

have ih: " $\langle e_2', Es_2' \rangle \mapsto^* \langle e_3, Es_3 \rangle \implies \langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " by fact

have d1: " $\langle e_2', Es_2' \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " by fact

have d2: " $\langle e_1, Es_1 \rangle \mapsto \langle e_2, Es_2 \rangle$ " by fact

have d3: " $\langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " using ih d1 by auto

show " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " using d2 d3 by auto

qed

# Your Turn

lemma

assumes a: " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_2, Es_2 \rangle$ "

and b: " $\langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ "

shows " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ "

using a b

proof (induct)

case (ms<sub>1</sub> e<sub>1</sub> Es<sub>1</sub>)

show " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " by fact

next

case (ms<sub>2</sub> e<sub>1</sub> Es<sub>1</sub> e<sub>2</sub> Es<sub>2</sub> e<sub>2</sub>' Es<sub>2</sub>')

have ih: " $\langle e_2', Es_2' \rangle \mapsto^* \langle e_3, Es_3 \rangle \implies \langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " by fact

have d1: " $\langle e_2', Es_2' \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " by fact

have d2: " $\langle e_1, Es_1 \rangle \mapsto \langle e_2, Es_2 \rangle$ " by fact

have d3: " $\langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " using ih d1 by auto

show " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " using d2 d3 by auto

qed

# Your Turn

lemma

assumes a: " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_2, Es_2 \rangle$ "

and b: " $\langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ "

shows " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ "

using a b

proof (induct)

case (ms<sub>1</sub> e<sub>1</sub> Es<sub>1</sub>)

show " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " by fact

next

case (ms<sub>2</sub> e<sub>1</sub> Es<sub>1</sub> e<sub>2</sub> Es<sub>2</sub> e<sub>2</sub>' Es<sub>2</sub>')

have ih: " $\langle e_2', Es_2' \rangle \mapsto^* \langle e_3, Es_3 \rangle \implies \langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " by fact

have d2: " $\langle e_1, Es_1 \rangle \mapsto \langle e_2, Es_2 \rangle$ " by fact

have d1: " $\langle e_2', Es_2' \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " by fact

have d3: " $\langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " using ih d1 by auto

show " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " using d2 d3 by auto

qed

# Your Turn

lemma

assumes a: " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_2, Es_2 \rangle$ "

and b: " $\langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ "

shows " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ "

using a b

proof (induct)

case (ms<sub>1</sub> e<sub>1</sub> Es<sub>1</sub>)

show " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " by fact

next

case (ms<sub>2</sub> e<sub>1</sub> Es<sub>1</sub> e<sub>2</sub> Es<sub>2</sub> e<sub>2</sub>' Es<sub>2</sub>')

have ih: " $\langle e_2', Es_2' \rangle \mapsto^* \langle e_3, Es_3 \rangle \implies \langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " by fact

have d2: " $\langle e_1, Es_1 \rangle \mapsto \langle e_2, Es_2 \rangle$ " by fact

have " $\langle e_2', Es_2' \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " by fact

then have d3: " $\langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " using ih by auto

show " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " using d2 d3 by auto

qed

# A Chain of Facts

- Isar allows you to build a chain of facts as follows:

have n1: "..."

have n2: "..."

...

have ni: "..."

have "... using n1 n2 ... ni

have "..."

moreover have "..."

...

moreover have "..."

ultimately have "..."

- also works for **show**

# Your Turn

lemma

assumes a: " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_2, Es_2 \rangle$ "

and b: " $\langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ "

shows " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ "

using a b

proof (induct)

case (ms<sub>1</sub> e<sub>1</sub> Es<sub>1</sub>)

show " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " by fact

next

case (ms<sub>2</sub> e<sub>1</sub> Es<sub>1</sub> e<sub>2</sub> Es<sub>2</sub> e<sub>2</sub>' Es<sub>2</sub>')

have ih: " $\langle e_2', Es_2' \rangle \mapsto^* \langle e_3, Es_3 \rangle \implies \langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " by fact

have " $\langle e_1, Es_1 \rangle \mapsto \langle e_2, Es_2 \rangle$ " by fact

moreover

have " $\langle e_2', Es_2' \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " by fact

then have " $\langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " using ih by auto

ultimately show " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ " by auto

qed

# Automatic Proofs

- Do not expect Isabelle to be able to solve automatically **show** "P=NP", but...

**lemma**

**assumes** a: " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_2, Es_2 \rangle$ "

**and** b: " $\langle e_2, Es_2 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ "

**shows** " $\langle e_1, Es_1 \rangle \mapsto^* \langle e_3, Es_3 \rangle$ "

**using** a b

**by** (induct) (auto)

# Eval Implies CK

theorem

assumes a: " $t \Downarrow t'$ "

shows " $\langle t, [] \rangle \mapsto^* \langle t', [] \rangle$ "

using a

proof (induct)

case (e\_Lam x t) (no assumption avail.)

show " $\langle \text{Lam } [x].t, [] \rangle \mapsto^* \langle \text{Lam } [x].t, [] \rangle$ " sorry

next

case (e\_App t<sub>1</sub> x t<sub>2</sub> v' v)

have a1: " $t_1 \Downarrow \text{Lam } [x].t$ " by fact (all assumptions)

have ih1: " $\langle t_1, [] \rangle \mapsto^* \langle \text{Lam } [x].t, [] \rangle$ " by fact

have a2: " $t_2 \Downarrow v'$ " by fact

have ih2: " $\langle t_2, [] \rangle \mapsto^* \langle v', [] \rangle$ " by fact

have a3: " $t[x ::= v'] \Downarrow v$ " by fact

have ih3: " $\langle t[x ::= v'], [] \rangle \mapsto^* \langle v, [] \rangle$ " by fact

show " $\langle \text{App } t_1 t_2, [] \rangle \mapsto^* \langle v, [] \rangle$ " sorry

qed

# Eval Implies CK

theorem

assumes a: " $t \Downarrow t'$ "

shows " $\langle t, [] \rangle \mapsto^* \langle t', [] \rangle$ "

using a

proof (induct)

case (e\_Lam x t)

(no assumption avail.)

show " $\langle \text{Lam } [x].t, [] \rangle \mapsto^* \langle \text{Lam } [x].t, [] \rangle$ " sorry

next

case (e\_App t<sub>1</sub> x t<sub>2</sub> v' v)

have a1: " $t_1 \Downarrow \text{Lam } [x].t$ " by fact

(all assumptions)

have ih1: " $\langle t_1, [] \rangle \mapsto^* \langle \text{Lam } [x].t, [] \rangle$ " by fact

have a2: " $t_2 \Downarrow v'$ " by fact

have ih2: " $\langle t_2, [] \rangle \mapsto^* \langle v', [] \rangle$ " by fact

have a3: " $t[x::=v'] \Downarrow v$ " by fact

have ih3: " $\langle t[x::=v'], [] \rangle \mapsto^* \langle v, [] \rangle$ " by fact

show " $\langle \text{App } t_1 t_2, [] \rangle \mapsto^* \langle v, [] \rangle$ " sorry

qed



# Eval Implies CK

theorem

assumes a: " $t \Downarrow t$ "

shows " $\langle t, [] \rangle \mapsto^* \langle t', [] \rangle$ "

using a

proof (induct)

case (e\_Lam x t)

show " $\langle \text{Lam } [x].t, [] \rangle \mapsto^* \langle \text{Lam } [x].t, [] \rangle$ " sorry

next

case (e\_App t<sub>1</sub> x t<sub>2</sub> v' v)

have a1: " $t_1 \Downarrow \text{Lam } [x].t$ " by fact

have ih1: " $\langle t_1, [] \rangle \mapsto^* \langle \text{Lam } [x].t, [] \rangle$ " by fact

have a2: " $t_2 \Downarrow v$ " by fact

have ih2: " $\langle t_2, [] \rangle \mapsto^* \langle v', [] \rangle$ " by fact

have a3: " $t[x::=v] \Downarrow v$ " by fact

have ih3: " $\langle t[x::=v], [] \rangle \mapsto^* \langle v, [] \rangle$ " by fact

show " $\langle \text{App } t_1 t_2, [] \rangle \mapsto^* \langle v, [] \rangle$ " sorry

qed

```
thm machine.intros
thm machines.intros
thm eval_to_val
```

(no assumption avail.)



(all assumptions)



# Proof Idea: $\text{App} \text{ Implies CK}$

Proof Idea:

```

  <App t1 t2,[]>
  ↦* <t1,[CAppL □ t2]>
  ↦* <Lam [x].t,[CAppL □ t2]>
  ↦* <t2,[CAppR (Lam [x].t) □]>
  ↦* <v',[CAppR (Lam [x].t) □]>
  ↦* <t[x::=v'],[]>
  ↦* <v,[]>

```

```

thm machine.intros
thm machines.intros
thm eval_to_val

```

(no assumption avail.)

im [x].t,[]" sorry



next

```

case (e_App t1 x t t2 v' v)
have a1: "t1 ↓↓ Lam [x].t" by fact
have ih1: "<t1,[]> ↦* <Lam [x].t,[]>" by fact
have a2: "t2 ↓↓ v'" by fact
have ih2: "<t2,[]> ↦* <v',[]>" by fact
have a3: "t[x::=v'] ↓↓ v" by fact
have ih3: "<t[x::=v'],[]> ↦* <v,[]>" by fact

```

(all assumptions)

show "<App t<sub>1</sub> t<sub>2</sub>,[]> ↦\* <v,[]>" sorry



qed

# Eval Implies CK

theorem

assumes a: " $t \Downarrow t'$ "

shows " $\langle t, [] \rangle \mapsto^* \langle t', [] \rangle$ "

using a

proof (induct)

case (e\_Lam x t)

show " $\langle \text{Lam } [x].t, [] \rangle \mapsto^* \langle \text{Lam } [x].t, [] \rangle$ " sorry

next

case (e\_App t<sub>1</sub> x t<sub>2</sub> v' v)

have a1: " $t_1 \Downarrow \text{Lam } [x].t$ " by fact

have ih1: " $\langle t_1, [] \rangle \mapsto^* \langle \text{Lam } [x].t, [] \rangle$ " by fact

have a2: " $t_2 \Downarrow v'$ " by fact

have ih2: " $\langle t_2, [] \rangle \mapsto^* \langle v', [] \rangle$ " by fact

have a3: " $t[x::=v'] \Downarrow v$ " by fact

have ih3: " $\langle t[x::=v'], [] \rangle \mapsto^* \langle v, [] \rangle$ " by fact

show " $\langle \text{App } t_1 t_2, [] \rangle \mapsto^* \langle v, [] \rangle$ " sorry

qed

```
thm machine.intros
thm machines.intros
thm eval_to_val
```

(no assumption avail.)



(all assumptions)



# Eval Implies CK

theorem

assumes a: "t  $\Downarrow$  t'"

shows " $\langle t, [] \rangle \mapsto^* \langle t', [] \rangle$ "

using a

proof (induct)

case (e\_Lam x t)

show " $\langle \text{Lam } [x].t, [] \rangle \mapsto^* \langle \text{Lam } [x].t, [] \rangle$ " sorry

next

case (e\_App t<sub>1</sub> x t<sub>2</sub> v' v)

have a1: "t<sub>1</sub>  $\Downarrow$  Lam [x].t" by fact

have ih1: " $\langle t_1, [] \rangle \mapsto^* \langle \text{Lam } [x].t, [] \rangle$ " by fact

have a2: "t<sub>2</sub>  $\Downarrow$  v'" by fact

have ih2: " $\langle t_2, [] \rangle \mapsto^* \langle v', [] \rangle$ " by fact

have a3: "t[x::=v']  $\Downarrow$  v" by fact

have ih3: " $\langle t[x::=v'], [] \rangle \mapsto^* \langle v, [] \rangle$ " by fact

show " $\langle \text{App } t_1 t_2, [] \rangle \mapsto^* \langle v, [] \rangle$ " sorry

qed

```
thm machine.intros
thm machines.intros
thm eval_to_val
```

(no assumption avail.)



(all assumptions)



# Eval Implies CK

theorem

assumes a: " $t \Downarrow t'$ "

shows " $\langle t, Es \rangle \mapsto^* \langle t', Es \rangle$ "

using a

proof (induct arbitrary: Es)

case (e\_Lam x t)

show " $\langle \text{Lam } [x].t, Es \rangle \mapsto^* \langle \text{Lam } [x].t, Es \rangle$ " sorry

next

case (e\_App t<sub>1</sub> x t<sub>2</sub> v' v)

have a1: " $t_1 \Downarrow \text{Lam } [x].t$ " by fact

have ih1: " $\wedge Es. \langle t_1, Es \rangle \mapsto^* \langle \text{Lam } [x].t, Es \rangle$ " by fact

have a2: " $t_2 \Downarrow v'$ " by fact

have ih2: " $\wedge Es. \langle t_2, Es \rangle \mapsto^* \langle v', Es \rangle$ " by fact

have a3: " $t[x::=v'] \Downarrow v$ " by fact

have ih3: " $\wedge Es. \langle t[x::=v'], Es \rangle \mapsto^* \langle v, Es \rangle$ " by fact

show " $\langle \text{App } t_1 t_2, Es \rangle \mapsto^* \langle v, Es \rangle$ " sorry

qed

```
thm machine.intros
thm machines.intros
thm eval_to_val
```

(no assumption avail.)



(all assumptions)



# Finally: Eval Implies CK

**theorem** eval\_implies\_machines\_ctx:

**assumes** a: " $t \Downarrow t'$ "

**shows** " $\langle t, Es \rangle \mapsto^* \langle t', Es \rangle$ "

**using** a

**proof** (induct arbitrary: Es)

...

**corollary** eval\_implies\_machines:

**assumes** a: " $t \Downarrow t'$ "

**shows** " $\langle t, [] \rangle \mapsto^* \langle t', [] \rangle$ "

**using** a eval\_implies\_machines\_ctx **by** auto

# Finally: Eval Implies CK

**theorem** eval\_implies\_machines\_ctx:

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**using** a eval\_implies\_machines\_ctx **by** auto

**thm** eval\_implies\_machines\_ctx

gives

$?t \Downarrow ?t' \implies \langle ?t, ?Es \rangle \mapsto^* \langle ?t', ?Es \rangle$

# Weakening Lemma (trivial / routine)

# Definition of Types

```
nominal_datatype ty =  
  tVar "string"  
| tArr "ty" "ty" ("_ → _")
```

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```
nominal_datatype ty =  
  tVar "string"  
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$$\frac{(x:T) \in \Gamma \text{ valid } \Gamma}{\Gamma \vdash x : T}$$

$$\frac{\Gamma \vdash t_1 : T_1 \rightarrow T_2 \quad \Gamma \vdash t_2 : T_1}{\Gamma \vdash t_1 t_2 : T_2}$$

$$\frac{x \# \Gamma \quad (x:T_1) :: \Gamma \vdash t : T_2}{\Gamma \vdash \lambda x.t : T_1 \rightarrow T_2}$$

$$\overline{\text{valid } []}$$

$$\frac{x \# \Gamma \quad \text{valid } \Gamma}{\text{valid } (x:T) :: \Gamma}$$

# Typing Judgements

types  $\text{ty\_ctx} = \text{"(name} \times \text{ty) list"}$

inductive

$\text{valid} :: \text{"ty\_ctx} \Rightarrow \text{bool"}$

where

$v_1: \text{"valid []"}$

$| v_2: \text{"[[valid } \Gamma; x \# \Gamma] \Longrightarrow \text{valid ((x,T)\#}\Gamma\text{)"}}$

inductive

$\text{typing} :: \text{"ty\_ctx} \Rightarrow \text{lam} \Rightarrow \text{ty} \Rightarrow \text{bool" ("\_ \vdash \_ : \_")}$

where

$t\_Var: \text{"[[valid } \Gamma; (x,T) \in \text{set } \Gamma] \Longrightarrow \Gamma \vdash \text{Var } x : T"$

$| t\_App: \text{"[[}\Gamma \vdash t_1 : T_1 \rightarrow T_2; \Gamma \vdash t_2 : T_1] \Longrightarrow \Gamma \vdash \text{App } t_1 t_2 : T_2"$

$| t\_Lam: \text{"[[}x \# \Gamma; (x,T_1) \# \Gamma \vdash t : T_2] \Longrightarrow \Gamma \vdash \text{Lam } [x].t : T_1 \rightarrow T_2"$

# Typing Judgements

types  $\text{ty\_ctx} = \text{"(name} \times \text{ty) list"}$

#: list cons  
#: freshness  
(\<sharp>)

inductive

valid :: "ty\_ctx  $\Rightarrow$  bool"

where

v<sub>1</sub>: "valid []"

| v<sub>2</sub>: "[valid  $\Gamma$ ; x# $\Gamma$ ]  $\Longrightarrow$  valid ((x,T)# $\Gamma$ )"

inductive

typing :: "ty\_ctx  $\Rightarrow$  lam  $\Rightarrow$  ty  $\Rightarrow$  bool" ("\_  $\vdash$  \_ : \_")

where

t\_Var: "[valid  $\Gamma$ ; (x,T)  $\in$  set  $\Gamma$ ]  $\Longrightarrow$   $\Gamma \vdash$  Var x : T"

| t\_App: "[ $\Gamma \vdash t_1 : T_1 \rightarrow T_2$ ;  $\Gamma \vdash t_2 : T_1$ ]  $\Longrightarrow$   $\Gamma \vdash$  App t<sub>1</sub> t<sub>2</sub> : T<sub>2</sub>"

| t\_Lam: "[x# $\Gamma$ ] ((x,T<sub>1</sub>)# $\Gamma$ )  $\vdash$  t : T<sub>2</sub>]  $\Longrightarrow$   $\Gamma \vdash$  Lam [x].t : T<sub>1</sub>  $\rightarrow$  T<sub>2</sub>"

# Freshness

- Freshness is a concept automatically defined in Nominal Isabelle; it corresponds roughly to the notion of "not-free-in".

lemma

fixes x::"name"

shows " $x\#\text{Lam } [x].t$ "

and " $x\#t_1 \wedge x\#t_2 \implies x\#\text{App } t_1 t_2$ "

and " $x\#(\text{Var } y) \implies x\#y$ "

and " $[x\#t_1; x\#t_2] \implies x\#(t_1, t_2)$ "

and " $[x\#l_1; x\#l_2] \implies x\#(l_1 @ l_2)$ "

and " $x\#y \implies x \neq y$ "

by (simp\_all add: abs\_fresh fresh\_list\_append fresh\_atm)

# Freshness

- Freshness is a concept automatically defined in Nominal Isabelle; it corresponds roughly to the notion of "not-free-in".

```
lemma ty_fresh:  
  fixes x::"name"  
  and T::"ty"  
  shows "x#T"  
by (induct T rule: ty.induct)  
  (simp_all add: fresh_string)
```

# Freshness

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```

```
nominal_datatype ty =
  tVar "string"
| tArr "ty" "ty" ("_ → _")
```

# The Weakening Lemma

- We can overload  $\subseteq$  for typing contexts, but this means we have to give explicit type-annotations.

## abbreviation

"sub\_ty\_ctx" :: "ty\_ctx  $\Rightarrow$  ty\_ctx  $\Rightarrow$  bool" ("\_  $\subseteq$  \_")

## where

" $\Gamma_1 \subseteq \Gamma_2 \equiv \forall x. x \in \text{set } \Gamma_1 \longrightarrow x \in \text{set } \Gamma_2$ "

## lemma weakening:

fixes  $\Gamma_1 \Gamma_2$  :: "(name  $\times$  ty) list"

assumes a: " $\Gamma_1 \vdash t : T$ "

and b: "valid  $\Gamma_2$ "

and c: " $\Gamma_1 \subseteq \Gamma_2$ "

shows " $\Gamma_2 \vdash t : T$ "

using a b c

proof (induct arbitrary:  $\Gamma_2$ )

# Your Turn: Variable Case

lemma

fixes  $\Gamma_1 \ \Gamma_2 :: \text{"ty\_ctx"}$

assumes a: " $\Gamma_1 \vdash t : T$ "

and b: "valid  $\Gamma_2$ "

and c: " $\Gamma_1 \subseteq \Gamma_2$ "

shows " $\Gamma_2 \vdash t : T$ "

using a b c

proof (induct arbitrary:  $\Gamma_2$ )

case (t\_Var  $\Gamma_1 \times T$ )

have a1: "valid  $\Gamma_1$ " by fact

have a2: " $(x, T) \in \text{set } \Gamma_1$ " by fact

have a3: "valid  $\Gamma_2$ " by fact

have a4: " $\Gamma_1 \subseteq \Gamma_2$ " by fact

...

show " $\Gamma_2 \vdash \text{Var } x : T$ " sorry



# My Proof for the Variable Case

lemma

fixes  $\Gamma_1 \ \Gamma_2 :: \text{"ty\_ctx"}$   
assumes a: " $\Gamma_1 \vdash t : T$ "  
and b: " $\text{valid } \Gamma_2$ "  
and c: " $\Gamma_1 \subseteq \Gamma_2$ "  
shows " $\Gamma_2 \vdash t : T$ "

using a b c

proof (induct arbitrary:  $\Gamma_2$ )

case (t\_Var  $\Gamma_1 \times T$ )

have " $\Gamma_1 \subseteq \Gamma_2$ " by fact

moreover

have " $\text{valid } \Gamma_2$ " by fact

moreover

have " $(x, T) \in \text{set } \Gamma_1$ " by fact

ultimately show " $\Gamma_2 \vdash \text{Var } x : T$ " by auto

# Induction Principle for Typing

- The induction principle that comes with the typing definition is as follows:

$$\forall \Gamma x T. (x:T) \in \Gamma \wedge \text{valid } \Gamma \Rightarrow P \Gamma (x) T$$

$$\forall \Gamma t_1 t_2 T_1 T_2.$$

$$P \Gamma t_1 (T_1 \rightarrow T_2) \wedge P \Gamma t_2 T_1 \Rightarrow P \Gamma (t_1 t_2) T_2$$

$$\forall \Gamma x t T_1 T_2.$$

$$x \# \Gamma \wedge P ((x:T_1) :: \Gamma) t T_2 \Rightarrow P \Gamma (\lambda x.t) (T_1 \rightarrow T_2)$$

---

$$\Gamma \vdash t : T \Rightarrow P \Gamma t T$$

Note the quantifiers!

# Proof Idea for the Lambda Cs.

$$\frac{x \# \Gamma \quad (x:T_1) :: \Gamma \vdash t : T_2}{\Gamma \vdash \lambda x.t : T_1 \rightarrow T_2}$$

- If  $\Gamma_1 \vdash t : T_1$  then  $\forall \Gamma_2. \text{valid } \Gamma_2 \wedge \Gamma_1 \subseteq \Gamma_2 \Rightarrow \Gamma_2 \vdash t : T_2$

# Proof Idea for the Lambda Cs.

$$\frac{x \# \Gamma \quad (x:T_1) :: \Gamma \vdash t : T_2}{\Gamma \vdash \lambda x.t : T_1 \rightarrow T_2}$$

- If  $\Gamma_1 \vdash t : T_1$  then  $\forall \Gamma_2. \text{valid } \Gamma_2 \wedge \Gamma_1 \subseteq \Gamma_2 \Rightarrow \Gamma_2 \vdash t : T_1$

For all  $\Gamma_1, x, t, T_1$  and  $T_2$ :

- We know:

$$\forall \Gamma_3. \text{valid } \Gamma_3 \wedge (x:T_1) :: \Gamma_1 \subseteq \Gamma_3 \Rightarrow \Gamma_3 \vdash t : T_1$$

$$x \# \Gamma_1$$

$$\text{valid } \Gamma_2$$

$$\Gamma_1 \subseteq \Gamma_2$$

- We have to show:

$$\Gamma_2 \vdash \lambda x.t : T_1 \rightarrow T_2$$

# Proof Idea for the Lambda Cs.

$$\frac{x \# \Gamma \quad (x:T_1) :: \Gamma \vdash t : T_2}{\Gamma \vdash \lambda x.t : T_1 \rightarrow T_2}$$

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$$x \# \Gamma_1$$

$$\text{valid } \Gamma_2$$

$$\Gamma_1 \subseteq \Gamma_2$$

- We have to show:

$$\Gamma_2 \vdash \lambda x.t : T_1 \rightarrow T_2$$

# Proof Idea for the Lambda Cs.

$$\frac{x \# \Gamma \quad (x:T_1) :: \Gamma \vdash t : T_2}{\Gamma \vdash \lambda x.t : T_1 \rightarrow T_2}$$

- If  $\Gamma_1 \vdash t : T_1$  then  $\forall \Gamma_2. \text{valid } \Gamma_2 \wedge \Gamma_1 \subseteq \Gamma_2 \Rightarrow \Gamma_2 \vdash t : T_1$

For all  $\Gamma_1, x, t, T_1$  and  $T_2$ :

$$\Gamma_3 \mapsto (x:T_1) :: \Gamma_2$$

- We know:

$$\forall \Gamma_3. \text{valid } \Gamma_3 \wedge (x:T_1) :: \Gamma_1 \subseteq \Gamma_3 \Rightarrow \Gamma_3 \vdash t : T_1$$

$$x \# \Gamma_1$$

$$\text{valid } \Gamma_2$$

$$\Gamma_1 \subseteq \Gamma_2$$

- We have to show:

$$\Gamma_2 \vdash \lambda x.t : T_1 \rightarrow T_2$$

# Your Turn: Lambda Case

lemma

fixes  $\Gamma_1 \Gamma_2 :: \text{"ty\_ctx"}$

assumes a: " $\Gamma_1 \vdash t : T$ "

and b: "valid  $\Gamma_2$ "

and c: " $\Gamma_1 \subseteq \Gamma_2$ "

shows " $\Gamma_2 \vdash t : T$ "

using a b c

proof (induct arbitrary:  $\Gamma_2$ )

case (t\_Lam  $x \Gamma_1 T_1 t T_2$ )

have ih: " $\bigwedge \Gamma_3. [\text{valid } \Gamma_3; (x, T_1) \# \Gamma_1 \subseteq \Gamma_3] \implies \Gamma_3 \vdash t : T_2$ " by fact

have a0: " $x \# \Gamma_1$ " by fact

have a1: "valid  $\Gamma_2$ " by fact

have a2: " $\Gamma_1 \subseteq \Gamma_2$ " by fact

...

show " $\Gamma_2 \vdash \text{Lam } [x]. t : T_1 \rightarrow T_2$ " sorry



# Strong Induction Principle

- Instead we are going to use the strong induction principle and set up the induction so that the binder “avoids”  $\Gamma_2$ .

# 2nd Attempt

lemma

fixes  $\Gamma_1 \Gamma_2 :: \text{"ty\_ctx"}$

assumes a: " $\Gamma_1 \vdash t : T$ "

and b: " $\text{valid } \Gamma_2$ "

and c: " $\Gamma_1 \subseteq \Gamma_2$ "

shows " $\Gamma_2 \vdash t : T$ "

using a b c

proof (induct arbitrary:  $\Gamma_2$ )

case (t\_Lam x  $\Gamma_1 T_1 t T_2$ )

have ih: " $\bigwedge \Gamma_3. [\text{valid } \Gamma_3; (x, T_1) \# \Gamma_1 \subseteq \Gamma_3] \implies \Gamma_3 \vdash t : T_2$ " by fact

have a0: " $x \# \Gamma_1$ " by fact

have a1: " $\text{valid } \Gamma_2$ " by fact

have a2: " $\Gamma_1 \subseteq \Gamma_2$ " by fact

...

show " $\Gamma_2 \vdash \text{Lam } [x]. t : T_1 \rightarrow T_2$ " sorry

# 2nd Attempt

lemma

fixes  $\Gamma_1 \Gamma_2 :: \text{"ty\_ctx"}$

assumes a: " $\Gamma_1 \vdash t : T$ "

and b: " $\text{valid } \Gamma_2$ "

and c: " $\Gamma_1 \subseteq \Gamma_2$ "

shows " $\Gamma_2 \vdash t : T$ "

using a b c

proof (nominal\_induct avoiding:  $\Gamma_2$  rule: typing.strong\_induct)

case (t\_Lam x  $\Gamma_1 T_1 t T_2$ )

have vc: " $x \# \Gamma_2$ " by fact

have ih: " $\bigwedge \Gamma_3. [\text{valid } \Gamma_3; (x, T_1) \# \Gamma_1 \subseteq \Gamma_3] \implies \Gamma_3 \vdash t : T_2$ " by fact

have a0: " $x \# \Gamma_1$ " by fact

have a1: " $\text{valid } \Gamma_2$ " by fact

have a2: " $\Gamma_1 \subseteq \Gamma_2$ " by fact

...

show " $\Gamma_2 \vdash \text{Lam } [x]. t : T_1 \rightarrow T_2$ " sorry



lemma weakening:

fixes  $\Gamma_1 \Gamma_2 :: \text{"ty\_ctx"}$

assumes a: " $\Gamma_1 \vdash t : T$ " and b: "valid  $\Gamma_2$ " and c: " $\Gamma_1 \subseteq \Gamma_2$ "

shows " $\Gamma_2 \vdash t : T$ "

using a b c

proof (nominal\_induct avoiding:  $\Gamma_2$  rule: typing.strong\_induct)

case (t\_Lam x  $\Gamma_1 T_1 t T_2$ )

have vc: " $x \# \Gamma_2$ " by fact

have ih: " $\llbracket \text{valid } ((x, T_1) \# \Gamma_2); (x, T_1) \# \Gamma_1 \subseteq (x, T_1) \# \Gamma_2 \rrbracket$

$\implies (x, T_1) \# \Gamma_2 \vdash t : T_2$ " by fact

have " $\Gamma_1 \subseteq \Gamma_2$ " by fact

then have " $(x, T_1) \# \Gamma_1 \subseteq (x, T_1) \# \Gamma_2$ " by simp

moreover

have "valid  $\Gamma_2$ " by fact

then have "valid  $((x, T_1) \# \Gamma_2)$ " using vc by auto

ultimately have " $(x, T_1) \# \Gamma_2 \vdash t : T_2$ " using ih by simp

then show " $\Gamma_2 \vdash \text{Lam } [x]. t : T_1 \rightarrow T_2$ " using vc by auto

qed (auto)

lemma weakening:

fixes  $\Gamma_1 \Gamma_2 :: \text{"ty\_ctx"}$

assumes a: " $\Gamma_1 \vdash t : T$ " and b: "valid  $\Gamma_2$ " and c: " $\Gamma_1 \subseteq \Gamma_2$ "

shows " $\Gamma_2 \vdash t : T$ "

using a b c

by (nominal\_induct avoiding:  $\Gamma_2$  rule: typing.strong\_induct)  
(auto)

lemma weakening:

fixes  $\Gamma_1 \Gamma_2 :: \text{"ty\_ctx"}$

assumes a: " $\Gamma_1 \vdash t : T$ " and b: "valid  $\Gamma_2$ " and c: " $\Gamma_1 \subseteq \Gamma_2$ "

shows " $\Gamma_2 \vdash t : T$ "

using a b c

by (nominal\_induct avoiding:  $\Gamma_2$  rule: typing.strong\_induct)  
(auto)

- Perhaps the weakening lemma is after all trivial / routine / obvious ;o)
- We shall later see that the work we put into the stronger induction principle needs a bit of thinking. For you, of course, it is provided automatically.

# Function Definitions and the Simplifier

# Function Definitions

- Later on we will need a few functions about contexts:

fun

filling :: "ctx  $\Rightarrow$  lam  $\Rightarrow$  lam" ("\_[\_]")

where

" $\square[t] = t$ "

| "(CAppL E t')[t] = App (E[t]) t"

| "(CAppR t' E)[t] = App t' (E[t])"

# Function Definitions

- Later on we will need a few functions about contexts

fun

a name

filling :: "ctx  $\Rightarrow$  lam  $\Rightarrow$  lam" ("\_[\_]")

where

"□[+] = +"

| "(CAppL E t')[+] = App (E[+]) t'"

| "(CAppR t' E)[+] = App t' (E[+])"

# Function Definitions

- Later on we will need a few functions about contexts:

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where

" $\square$ [t] = t"

| "(CAppL E t')[t] = App (E[t]) t"

| "(CAppR t' E)[t] = App t' (E[t])"

a type

# Function Definitions

- Later on we will need a few functions about contexts:

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filling :: "ctx  $\Rightarrow$  lam  $\Rightarrow$  lam" ("\_[\_]")

**where**

"□[+] = +"

| "(CAppL E t')[+] = App (E[+]) t'"

| "(CAppR t' E)[+] = App t' (E[+])"

pretty syntax

# Function Definitions

- Later on we will need a few functions about contexts:

fun

filling :: "ctx  $\Rightarrow$  lam  $\Rightarrow$  lam" ("\_[\_]")

where

" $\square[t] = t$ "

| "(CAppL E t')[t] = App (E[t]) t"

| "(CAppR t' E)[t] = App t' (E[t])"



char. eqs

# Function Definitions

- Later on we will need a few functions about contexts:

**fun**

filling :: "ctx  $\Rightarrow$  lam  $\Rightarrow$  lam" ("\_[\_]")

**where**

" $\square[t] = t$ "

| "(CAppL E t')[t] = App (E[t]) t"

| "(CAppR t' E)[t] = App t' (E[t])"

- Once a function is defined, the simplifier will be able to solve equations like

**lemma**

**shows** "(CAppL  $\square$  (Var x))[Var y] = App (Var y) (Var x)"

**by** simp

# Context Composition

fun

ctx\_compose :: "ctx  $\Rightarrow$  ctx  $\Rightarrow$  ctx" ("\_  $\circ$  \_" [101,100] 100)

where

" $\square \circ E' = E'$ "

| "(CAppL E t')  $\circ$  E' = CAppL (E  $\circ$  E') t'"

| "(CAppR t' E)  $\circ$  E' = CAppR t' (E  $\circ$  E)'"

fun

ctx\_composes :: "ctxs  $\Rightarrow$  ctx" ("\_ $\downarrow$ " [110] 110)

where

"[ ] $\downarrow$  =  $\square$ "

| "(E#Es) $\downarrow$  = (Es $\downarrow$ )  $\circ$  E"

# Context Composition

fun

ctx\_compose :: "ctx  $\Rightarrow$  ctx  $\Rightarrow$  ctx" ("\_  $\circ$  \_" [101,100] 100)

where

" $\square \circ E' = E'$ "

| "(CAppL E t')  $\circ$  E' = CAppL (E  $\circ$  E') t'"

| "(CAppR t' E)  $\circ$  E' = CAppR t' (E  $\circ$  E)'"

precedence

fun

ctx\_composes :: "ctxs  $\Rightarrow$  ctx" ("\_  $\downarrow$ " [110] 110)

where

" $[\ ] \downarrow = \square$ "

| "(E#Es)  $\downarrow$  = (Es  $\downarrow$ )  $\circ$  E"

precedence

- Explicit precedences are given in order to enforce the notation:

$$(E_1 \circ E_2) \circ E_3 \quad (E_1 \circ E_2) \downarrow$$

# Context Composition

fun

ctx\_compose :: "ctx  $\Rightarrow$  ctx  $\Rightarrow$  ctx" ("\_  $\circ$  \_" [101,100] 100)

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ctx\_composes :: "ctxs  $\Rightarrow$  ctx" ("\_  $\downarrow$ " [110] 110)

where

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precedence

- Explicit precedences are given in order to enforce the notation:

$$(E_1 \circ E_2) \circ E_3 \quad (E_1 \circ E_2) \downarrow$$

# Your Turn

lemma ctx\_compose:

shows " $(E_1 \circ E_2)[t] = E_1[E_2[t]]$ "

proof (induct  $E_1$ )

case Hole

show " $\square \circ E_2[t] = \square[E_2[t]]$ " sorry

next

case (CAppL  $E_1$   $t'$ )

have ih: " $(E_1 \circ E_2)[t] = E_1[E_2[t]]$ " by fact

show " $((CAppL E_1 t') \circ E_2)[t] = (CAppL E_1 t')[E_2[t]]$ " sorry

next

case (CAppR  $t'$   $E_1$ )

have ih: " $(E_1 \circ E_2)[t] = E_1[E_2[t]]$ " by fact

show " $((CAppR t' E_1) \circ E_2)[t] = (CAppR t' E_1)[E_2[t]]$ " sorry

qed

```
datatype ctx =
```

```
Hole
```

```
| CAppL "ctx" "lam"
```

```
| CAppR "lam" "ctx"
```

# Your Turn

lemma ctx\_compose:

shows " $(E_1 \circ E_2)[t] = E_1[E_2[t]]$ "

proof (induct  $E_1$ )

case Hole

show " $\square \circ E_2[t] = \square[E_2[t]]$ " sorry

next

case (CAppL  $E_1$   $t'$ )

have ih: " $(E_1 \circ E_2)[t] = E_1[E_2[t]]$ " by fact

show " $((CAppL E_1 t') \circ E_2)[t] = (CAppL E_1 t')[E_2[t]]$ " sorry

next

case (CAppR  $t'$   $E_1$ )

have ih: " $(E_1 \circ E_2)[t] = E_1[E_2[t]]$ " by fact

show " $((CAppR t' E_1) \circ E_2)[t] = (CAppR t' E_1)[E_2[t]]$ " sorry

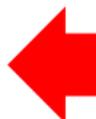
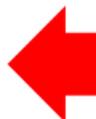
qed

```
datatype ctx =
```

```
Hole
```

```
| CAppL "ctx" "lam"
```

```
| CAppR "lam" "ctx"
```



```
thm filling.simps[no_vars]
```

```
thm ctx_compose.simps[no_vars]
```

# Your Turn Again

- Assuming:

`lemma neut_hole: shows "E ◦ □ = E"`

`lemma circ_assoc: shows "(E1 ◦ E2) ◦ E3 = E1 ◦ (E2 ◦ E3)"`

- Prove

`lemma shows "(Es1 @ Es2)↓ = (Es2↓) ◦ (Es1↓)"`

`proof (induct Es1)`

`case Nil`

`show "([] @ Es2)↓ = Es2↓ ◦ []↓" sorry`

`next`

`case (Cons E Es1)`

`have ih: "(Es1 @ Es2)↓ = Es2↓ ◦ Es1↓" by fact`

`show "((E#Es1) @ Es2)↓ = Es2↓ ◦ (E#Es1)↓" sorry`

`qed`

# Your Turn Again

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`qed`



# My Solution

lemma

shows  $(Es_1 @ Es_2) \downarrow = (Es_2 \downarrow) \circ (Es_1 \downarrow)$

proof (induct  $Es_1$ )

case Nil

show  $([] @ Es_2) \downarrow = Es_2 \downarrow \circ [] \downarrow$  using neut\_hole by simp

next

case (Cons E  $Es_1$ )

have ih:  $(Es_1 @ Es_2) \downarrow = Es_2 \downarrow \circ Es_1 \downarrow$  by fact

have lhs:  $((E \# Es_1) @ Es_2) \downarrow = (Es_1 @ Es_2) \downarrow \circ E$  by simp

have lhs':  $(Es_1 @ Es_2) \downarrow \circ E = (Es_2 \downarrow \circ Es_1 \downarrow) \circ E$  using ih by simp

have rhs:  $Es_2 \downarrow \circ (E \# Es_1) \downarrow = Es_2 \downarrow \circ (Es_1 \downarrow \circ E)$  by simp

show  $((E \# Es_1) @ Es_2) \downarrow = Es_2 \downarrow \circ (E \# Es_1) \downarrow$

using lhs lhs' rhs circ\_assoc by simp

qed

# Equational Reasoning in Isar

- One frequently wants to prove an equation  $t_1 = t_n$  by means of a chain of equations, like

$$t_1 = t_2 = t_3 = t_4 = \dots = t_n$$

# Equational Reasoning in Isar

- One frequently wants to prove an equation  $t_1 = t_n$  by means of a chain of equations, like

$$t_1 = t_2 = t_3 = t_4 = \dots = t_n$$

- This kind of reasoning is supported in Isar as:

have " $t_1 = t_2$ " by just.

also have " $\dots = t_3$ " by just.

also have " $\dots = t_4$ " by just.

...

also have " $\dots = t_n$ " by just.

finally have " $t_1 = t_n$ " by simp

# A Readable Solution

lemma

shows " $(Es_1 @ Es_2) \downarrow = (Es_2 \downarrow) \circ (Es_1 \downarrow)$ "

proof (induct  $Es_1$ )

case Nil

show " $([] @ Es_2) \downarrow = Es_2 \downarrow \circ [] \downarrow$ " using neut\_hole by simp

next

case (Cons E  $Es_1$ )

have ih: " $(Es_1 @ Es_2) \downarrow = Es_2 \downarrow \circ Es_1 \downarrow$ " by fact

have " $((E \# Es_1) @ Es_2) \downarrow = (Es_1 @ Es_2) \downarrow \circ E$ " by simp

also have " $\dots = (Es_2 \downarrow \circ Es_1 \downarrow) \circ E$ " using ih by simp

also have " $\dots = Es_2 \downarrow \circ (Es_1 \downarrow \circ E)$ " using circ\_assoc by simp

also have " $\dots = Es_2 \downarrow \circ (E \# Es_1) \downarrow$ " by simp

finally show " $((E \# Es_1) @ Es_2) \downarrow = Es_2 \downarrow \circ (E \# Es_1) \downarrow$ " by simp

qed

# Capture-Avoiding Substitution and the Substitution Lemma

# Capture-Avoiding Subst.

- Lambda.thy contains a definition of capture-avoiding substitution with the characteristic equations:

"(Var x)[y::=s] = (if x=y then s else (Var x))"

"(App t<sub>1</sub> t<sub>2</sub>)[y::=s] = App (t<sub>1</sub>[y::=s]) (t<sub>2</sub>[y::=s])"

"x#(y,s)  $\implies$  (Lam [x].t)[y::=s] = Lam [x].(t[y::=s])"

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"x#(y,s)  $\implies$  (Lam [x].t)[y::=s] = Lam [x].(t[y::=s])"

- Despite its looks, this is a total function!

**Substitution Lemma:** If  $x \neq y$  and  $x \notin \text{fv}(L)$ , then

$$M[x := N][y := L] \equiv M[y := L][x := N[y := L]]$$

**Proof:** By induction on the structure of  $M$ .

- **Case 1:**  $M$  is a variable.

Case 1.1.  $M \equiv x$ . Then both sides equal  $N[y := L]$  since  $x \neq y$ .

Case 1.2.  $M \equiv y$ . Then both sides equal  $L$ , for  $x \notin \text{fv}(L)$  implies  $L[x := \dots] \equiv L$ .

Case 1.3.  $M \equiv z \neq x, y$ . Then both sides equal  $z$ .

- **Case 2:**  $M \equiv \lambda z.M_1$ . By the variable convention we may assume that  $z \neq x, y$  and  $z$  is not free in  $N, L$ .

$$\begin{aligned}(\lambda z.M_1)[x := N][y := L] &\equiv \lambda z.(M_1[x := N][y := L]) \\ &\equiv \lambda z.(M_1[y := L][x := N[y := L]]) \\ &\equiv (\lambda z.M_1)[y := L][x := N[y := L]].\end{aligned}$$

- **Case 3:**  $M \equiv M_1 M_2$ . The statement follows again from the induction hypothesis. □

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$$M[x := N][y := L] \equiv M[y := L][x := N[y := L]]$$

**Proof:** By induction on the structure of  $M$ .

- **Case 1:**  $M = \lambda y. N$ . Remember only if  $y \neq x$  and  $x \notin \text{fv}(N)$  then

Case 1.1.  $M = \lambda y. N$   

$$(\lambda y. N)[x := N] = \lambda y. (N[x := N])$$

Case 1.2.  $M = \lambda z. M_1$   

$$(\lambda z. M_1)[x := N][y := L]$$

$$\equiv (\lambda z. (M_1[x := N]))[y := L] \quad \stackrel{1}{\leftarrow}$$

Case 1.3.  $M = N$

$$\equiv \lambda z. (M_1[x := N][y := L]) \quad \stackrel{2}{\leftarrow}$$

- **Case 2:**  $M = N[x := N]$

assume the IH for  $N$   

$$\equiv \lambda z. (M_1[y := L][x := N[y := L]]) \quad \text{IH}$$

$$(\lambda z. M_1)[x := N][y := L] \equiv (\lambda z. (M_1[y := L]))[x := N[y := L]] \quad \stackrel{2}{\rightarrow} !$$

$$\equiv (\lambda z. M_1)[y := L][x := N[y := L]]. \quad \stackrel{1}{\rightarrow}$$

- **Case 3:**  $M \equiv M_1 M_2$ . The statement follows again from the induction hypothesis. □

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# Case Distinctions

- Assuming  $P_1 \vee P_2 \vee P_3$  is true then:

```
{ assume "P1"  
  ...  
  have "something" ... }  
moreover  
{ assume "P2"  
  ...  
  have "something" ... }  
moreover  
{ assume "P3"  
  ...  
  have "something" ... }  
ultimately have "something" by blast
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# Case Distinctions

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{ assume "P3"  
  ...  
  have "something" ... }
```

ultimately have "something" by blast

$$P_1 \mapsto (z=x)$$

$$P_2 \mapsto (z=y) \wedge (z \neq x)$$

$$P_3 \mapsto (z \neq y) \wedge (z \neq x)$$

# Case Distinctions

- Assuming  $P_1 \vee P_2 \vee P_3$  is true then:

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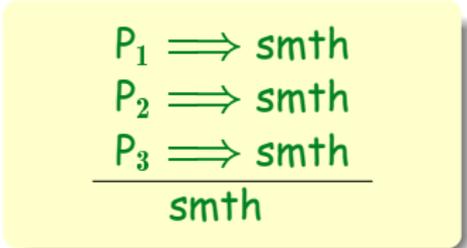
moreover

```
{ assume "P2"  
  ...  
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```

moreover

```
{ assume "P3"  
  ...  
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ultimately have "something" by blast


$$\begin{array}{l} P_1 \implies \text{smth} \\ P_2 \implies \text{smth} \\ P_3 \implies \text{smth} \\ \hline \text{smth} \end{array}$$

**lemma** substitution\_lemma:

**assumes** a: " $x \neq y$ " " $x \# L$ "

**shows** " $M[x ::= N][y ::= L] = M[y ::= L][x ::= N[y ::= L]]$ "

**using** a **proof** (nominal\_induct M avoiding: x y N L rule: lam.strong\_induct)

**case** (Var z)

**have** a1: " $x \neq y$ " **by** fact

**have** a2: " $x \# L$ " **by** fact

**show** " $\text{Var } z[x ::= N][y ::= L] = \text{Var } z[y ::= L][x ::= N[y ::= L]]$ " (is "?LHS = ?RHS")

**proof** -

{ **assume** c1: " $z = x$ "

**have** "(1)": "?LHS =  $N[y ::= L]$ " **using** c1 **by** simp

**have** "(2)": "?RHS =  $N[y ::= L]$ " **using** c1 a1 **by** simp

**have** "?LHS = ?RHS" **using** "(1)" "(2)" **by** simp }

**moreover**

{ **assume** c2: " $z = y$ " " $z \neq x$ "

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**moreover**

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qed

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case (Var z)

have a1: " $x \neq y$ " by fact

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moreover

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ultimately show "?LHS = ?RHS" by blast

qed

lemma substitution\_lemma:

assumes a: "x≠y" "x # L"

shows "M[x::=N][y::=L] = M[y::=L][x::=N[y::=L]]"

using a proof (nominal\_induct M avoiding: x y N L rule: lam.strong\_induct)

case (Var z)

have a1: "x≠y" by fact

have a2: "x#L" by fact

show "Var z[x::=N][y::=L] = Var z[y::=L][x::=N[y::=L]]" (is "?LHS = ?RHS")

proof -

{ assume c1: "z=x"

have "(1)": "?LHS = N[y::=L]" using c1 by simp

have "(2)": "?RHS = N[y::=L]" using c1 a1 by simp

have "?LHS = ?RHS" using "(1)" "(2)" by simp }

moreover

{ assume c2: "z=y" "z≠x"

have "?LHS = ?RHS" sorry }

moreover

{ assume c3: "z≠x" "z≠y"

have "?LHS = ?RHS" sorry }

ultimately show "?LHS = ?RHS" by blast

qed

thm forget:

$x \# L \implies L[x::=P] = L$



lemma substitution\_lemma:

assumes a: " $x \neq y$ " " $x \# L$ "

shows " $M[x::=N][y::=L] = M[y::=L][x::=N[y::=L]]$ "

using a proof (nominal\_induct M avoiding: x y N L rule: lam.strong\_induct)

case (Lam z  $M_1$ )

have ih: " $[x \neq y; x \# L] \implies M_1[x::=N][y::=L] = M_1[y::=L][x::=N[y::=L]]$ " by fact

have " $x \neq y$ " by fact

have " $x \# L$ " by fact

have vc: " $z \# x$ " " $z \# y$ " " $z \# N$ " " $z \# L$ " by fact+

then have " $z \# N[y::=L]$ " by (simp add: fresh\_fact)

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proof -

have "?LHS = ..." sorry

also have "... = ?RHS" sorry

finally show "?LHS = ?RHS" by simp

qed

next

lemma substitution\_lemma:

assumes a: " $x \neq y$ " " $x \# L$ "

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have "x # L" by fact

have vc: "z # x" "z # y" "z # N" "z # L" by fact+

then have "z # N[y::=L]" by (simp add: fresh\_fact)

show "(Lam [z].M<sub>1</sub>)[x::=N][y::=L] = (Lam [z].M<sub>1</sub>)[y::=L][x::=N[y::=L]]" (is "?LHS=?RHS")

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show "(Lam [z].M<sub>1</sub>)[x::=N][y::=L] = (Lam [z].M<sub>1</sub>)[y::=L][x::=N[y::=L]]" (is "?LHS=?RHS")

proof -

have "?LHS = ..." sorry

also have "... = ?RHS" sorry

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next



**Substitution Lemma:** If  $x \neq y$  and  $x \notin \text{fv}(L)$ , then  
$$M[x := N][y := L] \equiv M[y := L][x := N[y := L]]$$

**Proof:** By induction on the structure of  $M$ .

- **Case 1:**  $M$  is a variable.

Case 1.1.  $M \equiv x$ . Then both sides equal  $N[y := L]$  since  $x \neq y$ .

Case 1.2.  $M \equiv y$ . Then both sides equal  $L$ , for  $x \notin \text{fv}(L)$  implies  $L[x := \dots] \equiv L$ .

Case 1.3.  $M \equiv z \neq x, y$ . Then both sides equal  $z$ .

- **Case 2:**  $M \equiv \lambda z.M_1$ . By the variable convention we may assume that  $z \neq x, y$  and  $z$  is not free in  $N, L$ .

$$\begin{aligned}(\lambda z.M_1)[x := N][y := L] &\equiv \lambda z.(M_1[x := N][y := L]) \\ &\equiv \lambda z.(M_1[y := L][x := N[y := L]]) \\ &\equiv (\lambda z.M_1)[y := L][x := N[y := L]].\end{aligned}$$

- **Case 3:**  $M \equiv M_1 M_2$ . The statement follows again from the induction hypothesis. □

# Substitution Lemma

- The strong structural induction principle for lambda-terms allowed us to follow Barendregt's proof quite closely. It also enables Isabelle to find this proof automatically:

```
lemma substitution_lemma:
  assumes asm: "x≠y" "x#L"
  shows "M[x::=N][y::=L] = M[y::=L][x::=N[y::=L]]"
  using asm
  by (nominal_induct M avoiding: x y N L rule: lam.strong_induct)
    (auto simp add: fresh_fact forget)
```

# How To Prove **False** Using the Variable Convention (on Paper)

# So Far So Good

- A Faulty Lemma with the Variable Convention?

## Variable Convention:

If  $M_1, \dots, M_n$  occur in a certain mathematical context (e.g. definition, proof), then in these terms all bound variables are chosen to be different from the free variables.

Barendregt in "The Lambda-Calculus: Its Syntax and Semantics"

Inductive Definitions:

$$\frac{\text{prem}_1 \dots \text{prem}_n \text{ scs}}{\text{concl}}$$

Rule Inductions:

- 1.) Assume the property for the premises. Assume the side-conditions.
- 2.) Show the property for the conclusion.

# Faulty Reasoning

- Consider the two-place relation **foo**:

$$\overline{x \mapsto x}$$

$$\overline{t_1 t_2 \mapsto t_1 t_2}$$

$$\frac{t \mapsto t'}{\lambda x.t \mapsto t'}$$

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Let  $t \mapsto t'$ . If  $y \# t$  then  $y \# t'$ .

- Cases 1 and 2 are trivial:
  - If  $y \# x$  then  $y \# x$ .
  - If  $y \# t_1 t_2$  then  $y \# t_1 t_2$ .

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- The IH says: if  $y \# t$  then  $y \# t'$ .

## Variable Convention:

If  $M_1, \dots, M_n$  occur in a certain mathematical context (e.g. definition, proof), then in these terms all bound variables are chosen to be different from the free variables.

### In our case:

The free variables are  $y$  and  $t'$ ; the bound one is  $x$ .

By the variable convention we conclude that  $x \neq y$ .

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Let  $t \neq t'$ . If  $y \neq t$  then  $y \neq t'$ .

$$y \notin \text{fv}(\lambda x.t) \iff y \notin \text{fv}(t) - \{x\} \stackrel{x \neq y}{\iff} y \notin \text{fv}(t)$$

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# VC-Compatibility

- We introduced two conditions that make the VC safe to use in rule inductions:
  - the relation needs to be **equivariant**, and
  - the binder is not allowed to occur in the **support** of the conclusion (not free in the conclusion)

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A relation  $R$  is **equivariant** iff

$$\forall \pi t_1 \dots t_n$$

$$R t_1 \dots t_n \Rightarrow R(\pi \cdot t_1) \dots (\pi \cdot t_n)$$

This means the relation has to be invariant under permutative renaming of variables.

# VC-Compatibility

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  - the relation needs to be **equivariant**, and
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# Typing Judgements (2)

## inductive

typing :: "ty\_ctx  $\Rightarrow$  lam  $\Rightarrow$  ty  $\Rightarrow$  bool" ("\_  $\vdash$  \_ : \_")

## where

t\_Var: "[valid  $\Gamma$ ; (x,T)  $\in$  set  $\Gamma$ ]  $\Longrightarrow$   $\Gamma \vdash$  Var x : T"

| t\_App: "[ $\Gamma \vdash t_1 : T_1 \rightarrow T_2$ ;  $\Gamma \vdash t_2 : T_1$ ]  $\Longrightarrow$   $\Gamma \vdash$  App t<sub>1</sub> t<sub>2</sub> : T<sub>2</sub>"

| t\_Lam: "[x# $\Gamma$ ; (x,T<sub>1</sub>)# $\Gamma \vdash t : T_2$ ]  $\Longrightarrow$   $\Gamma \vdash$  Lam [x].t : T<sub>1</sub>  $\rightarrow$  T<sub>2</sub>"

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## nominal\_inductive typing

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t\_Lam: "[ $x \# \Gamma$ ;  $(x, T_1) \# \Gamma \vdash t : T_2$ ]  $\Longrightarrow \Gamma \vdash \text{Lam } [x].t : T_1 \rightarrow T_2$ "

## equivariance typing

## nominal\_inductive typing

### Subgoals

1.  $\bigwedge x \Gamma T_1 t T_2. [x \# \Gamma; (x, T_1) :: \Gamma \vdash t : T_2] \Longrightarrow x \# \Gamma$
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by (simp\_all add: abs\_fresh ty\_fresh)

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# CK Machine Implies the Evaluation Relation (Via A Small-Step Reduction)

# A Direct Attempt

- The statement for the other direction is as follows:

**lemma** machines\_implies\_eval:  
**assumes** a: " $\langle t, [] \rangle \mapsto^* \langle v, [] \rangle$ "  
**and** b: "val v"  
**shows** " $t \Downarrow v$ "

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oops
```

- We can prove this direction by introducing a small-step reduction relation.

# CBV-Reduction

inductive

cbv :: "lam $\Rightarrow$ lam $\Rightarrow$ bool" ("\_  $\longrightarrow$  cbv \_")

where

cbv<sub>1</sub>: "val v  $\Longrightarrow$  App (Lam [x].t) v  $\longrightarrow$  cbv t[x::=v]"

cbv<sub>2</sub>: "t  $\longrightarrow$  cbv t'  $\Longrightarrow$  App t t<sub>2</sub>  $\longrightarrow$  cbv App t' t<sub>2</sub>"

cbv<sub>3</sub>: "t  $\longrightarrow$  cbv t'  $\Longrightarrow$  App t<sub>2</sub> t  $\longrightarrow$  cbv App t<sub>2</sub> t'"

- Later on we like to use the strong induction principle for this relation.

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- Later on we like to use the strong induction principle for this relation.

Conditions:

1.  $\bigwedge v x t. \text{val } v \Longrightarrow x \# \text{App Lam [x].t } v$
2.  $\bigwedge v x t. \text{val } v \Longrightarrow x \# t[x::=v]$

# CBV-Reduction

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## where

cbv<sub>1</sub>: "[[val v; x#v]  $\Longrightarrow$  App (Lam [x].t) v  $\longrightarrow$  cbv t[x::=v]]"

| cbv<sub>2</sub>[intro]: "t  $\longrightarrow$  cbv t'  $\Longrightarrow$  App t t<sub>2</sub>  $\longrightarrow$  cbv App t' t<sub>2</sub>"

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- The conditions that give us automatically the strong induction principle require us to add the assumption  $x \# v$ . This makes this rule less useful.

# Better Introduction Rule

**lemma** better\_cbv<sub>1</sub>[intro]:

**assumes** a: "val v"

**shows** "App (Lam [x].t) v  $\longrightarrow$  cbv t[x::=v]"

**proof** -

**obtain** y::"name" **where** fs: "y#(x,t,v)"

**by** (rule exists\_fresh) (auto simp add: fs\_name1)

**have** "App (Lam [x].t) v = App (Lam [y].([(y,x)]•t)) v" **using** fs

**by** (auto simp add: lam.inject alpha' fresh\_prod fresh\_atm)

**also have** "...  $\longrightarrow$  cbv ([(y,x)]•t)[y::=v]" **using** fs a

**by** (auto simp add: cbv<sub>1</sub> fresh\_prod)

**also have** "... = t[x::=v]" **using** fs

**by** (simp add: subst\_rename[symmetric] fresh\_prod)

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**qed**

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  shows "App (Lam [x].t) v  $\longrightarrow$  cbv t[x::=v]"  
proof -  
  obtain y::"name" where fs: "y#(x,t,v)"  
    by (rule exists_fresh) (auto simp add: fs_name1)  
  have "App (Lam [x].t) v = App (Lam [y].([(y,x)]•t)) v" using fs  
    by (auto simp add: lam.inject alpha' fresh_prod fresh_atm)  
  also have "...  $\longrightarrow$  cbv ([[(y,x)]•t])[y::=v]" using fs a  
    by (auto simp add: cbv1 fresh_prod)  
  also have "... = t[x::=v]" using fs  
    by (simp add: subst_rename[symmetric] fresh_prod)  
  finally show "App (Lam [x].t) v  $\longrightarrow$  cbv t[x::=v]" by simp  
qed
```

# Better Introduction Rule

```
lemma better_cbv1[intro]:  
  assumes a: "val v"  
  shows "App (Lam [x].t) v  $\longrightarrow$  cbv t[x::=v]"  
proof -  
  obtain y::"name" where fs: "y#(x,t,v)"  
    by (rule exists_fresh) (auto simp add: fs_name1)  
  have "App (Lam [x].t) v = App (Lam [y].([(y,x)]•t)) v" using fs  
    by (auto simp add: lam.inject alpha' fresh_prod fresh_atm)  
  also have "...  $\longrightarrow$  cbv ([[(y,x)]•t])[y::=v]" using fs a  
    by (auto simp add: cbv1 fresh_prod)  
  also have "... = t[x::=v]" using fs  
    by (simp add: subst_rename[symmetric] fresh_prod)  
  finally show "App (Lam [x].t) v  $\longrightarrow$  cbv t[x::=v]" by simp  
qed
```

# CBV-Reduction<sup>\*</sup>

inductive

"cbvs" :: "lam  $\Rightarrow$  lam  $\Rightarrow$  bool" (" \_  $\longrightarrow$  cbv\* \_")

where

cbvs<sub>1</sub>[intro]: "e  $\longrightarrow$  cbv\* e"

| cbvs<sub>2</sub>[intro]: "[[e<sub>1</sub>  $\longrightarrow$  cbv e<sub>2</sub>; e<sub>2</sub>  $\longrightarrow$  cbv\* e<sub>3</sub>]]  $\Longrightarrow$  e<sub>1</sub>  $\longrightarrow$  cbv\* e<sub>3</sub>"

lemma cbvs<sub>3</sub>[intro]:

assumes a: "e<sub>1</sub>  $\longrightarrow$  cbv\* e<sub>2</sub>" "e<sub>2</sub>  $\longrightarrow$  cbv\* e<sub>3</sub>"

shows "e<sub>1</sub>  $\longrightarrow$  cbv\* e<sub>3</sub>"

using a by (induct) (auto)

# CBV-Reduction<sup>\*</sup>

**inductive**

"cbvs" :: "lam  $\Rightarrow$  lam  $\Rightarrow$  bool" (" \_  $\longrightarrow$  cbv\* \_")

**where**

cbvs<sub>1</sub>[intro]: "e  $\longrightarrow$  cbv\* e"

| cbvs<sub>2</sub>[intro]: "[[e<sub>1</sub>  $\longrightarrow$  cbv e<sub>2</sub>; e<sub>2</sub>  $\longrightarrow$  cbv\* e<sub>3</sub>]]  $\implies$  e<sub>1</sub>  $\longrightarrow$  cbv\* e<sub>3</sub>"

**lemma** cbvs<sub>3</sub>[intro]:

**assumes** a: "e<sub>1</sub>  $\longrightarrow$  cbv\* e<sub>2</sub>" "e<sub>2</sub>  $\longrightarrow$  cbv\* e<sub>3</sub>"

**shows** "e<sub>1</sub>  $\longrightarrow$  cbv\* e<sub>3</sub>"

**using** a **by** (induct) (auto)

**lemma** cbv\_in\_ctx:

**assumes** a: "t  $\longrightarrow$  cbv t'"

**shows** "E[t]  $\longrightarrow$  cbv E[t']"

**using** a **by** (induct E) (auto)

Is another such  
exercise needed?

# CK Machine Implies CBV<sup>\*</sup>

**lemma** machines\_implies\_cbvs:

**assumes** a: " $\langle e, [] \rangle \mapsto^* \langle e', [] \rangle$ "

**shows** " $e \longrightarrow_{cbv^*} e'$ "

**using** a **by** (auto dest: machines\_implies\_cbvs\_ctx)

# CK Machine Implies CBV<sup>\*</sup>

**lemma** machine\_implies\_cbvs\_ctx:

**assumes** a: " $\langle e, Es \rangle \mapsto \langle e', Es' \rangle$ "

**shows** " $(Es \downarrow)[e] \longrightarrow_{cbv^*} (Es' \downarrow)[e']$ "

**using** a **by** (induct) (auto simp add: ctx\_compose intro: cbv\_in\_ctx)

**lemma** machines\_implies\_cbvs:

**assumes** a: " $\langle e, [] \rangle \mapsto^* \langle e', [] \rangle$ "

**shows** " $e \longrightarrow_{cbv^*} e'$ "

**using** a **by** (auto dest: machines\_implies\_cbvs\_ctx)

# CK Machine Implies CBV<sup>\*</sup>

**lemma** machine\_implies\_cbvs\_ctx:

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**shows** " $(Es \downarrow)[e] \longrightarrow_{cbv^*} (Es' \downarrow)[e']$ "

**using** a **by** (induct) (auto simp add: ctx\_compose intro: cbv\_in\_ctx)

If we had not derived the better cbv-rule, then we would have to do an explicit renaming here.

**lemma** machines\_implies\_cbvs:

**assumes** a: " $\langle e, [] \rangle \mapsto^* \langle e', [] \rangle$ "

**shows** " $e \longrightarrow_{cbv^*} e'$ "

**using** a **by** (auto dest: machines\_implies\_cbvs\_ctx)

# CK Machine Implies CBV<sup>\*</sup>

**lemma** machine\_implies\_cbvs\_ctx:

**assumes** a: " $\langle e, Es \rangle \mapsto \langle e', Es' \rangle$ "

**shows** " $(Es \downarrow)[e] \longrightarrow_{cbv^*} (Es' \downarrow)[e']$ "

**using** a **by** (induct) (auto simp add: ctx\_compose intro: cbv\_in\_ctx)

**lemma** machines\_implies\_cbvs\_ctx:

**assumes** a: " $\langle e, Es \rangle \mapsto^* \langle e', Es' \rangle$ "

**shows** " $(Es \downarrow)[e] \longrightarrow_{cbv^*} (Es' \downarrow)[e']$ "

**using** a **by** (induct) (auto dest: machine\_implies\_cbvs\_ctx)

**lemma** machines\_implies\_cbvs:

**assumes** a: " $\langle e, [] \rangle \mapsto^* \langle e', [] \rangle$ "

**shows** " $e \longrightarrow_{cbv^*} e'$ "

**using** a **by** (auto dest: machines\_implies\_cbvs\_ctx)

# Your Turn

lemma machine\_implies\_cbvs\_ctx:

assumes a: " $\langle e, Es \rangle \mapsto \langle e', Es' \rangle$ "

shows " $(Es \downarrow)[e] \longrightarrow cbv^* (Es' \downarrow)[e']$ "

using a proof (induct)

case (m<sub>1</sub> t<sub>1</sub> t<sub>2</sub> Es)

show " $Es \downarrow [App\ t_1\ t_2] \longrightarrow cbv^* (CAppL\ \square\ t_2\ \#Es) \downarrow [t_1]$ " sorry

next

case (m<sub>2</sub> v t<sub>2</sub> Es)

have "val v" by fact

show " $(CAppL\ \square\ t_2\ \#Es) \downarrow [v] \longrightarrow cbv^* (CAppR\ v\ \square\ \#Es) \downarrow [t_2]$ " sorry

next

case (m<sub>3</sub> v x t Es)

have "val v" by fact

show " $(CAppR\ Lam\ [x].t\ \square\ \#Es) \downarrow [v] \longrightarrow cbv^* (Es \downarrow)[t[x ::= v]]$ " sorry

qed



# CBV<sup>\*</sup> Implies Evaluation

- We need the following auxiliary lemmas in order to show that cbv-reduction implies evaluation.

```
lemma eval_val:  
  assumes a: "val t"  
  shows "t ↓↓ t"  
using a by (induct) (auto)
```

```
lemma e_App_elim:  
  assumes a: "App t1 t2 ↓↓ v"  
  shows "∃ x t v'. t1 ↓↓ Lam [x].t ∧ t2 ↓↓ v' ∧ t[x::=v'] ↓↓ v"  
using a by (cases) (auto simp add: lam.inject)
```

**lemma** cbv\_eval:

**assumes** a: " $t_1 \longrightarrow \text{cbv } t_2$ " " $t_2 \Downarrow t_3$ "

**shows** " $t_1 \Downarrow t_3$ "

**using** a **proof**(induct arbitrary:  $t_3$ )

**case** (cbv<sub>1</sub> v x t  $t_3$ )

**have** a1: "val v" **by** fact

**have** a2: " $t[x::=v] \Downarrow t_3$ " **by** fact

**show** "App Lam [x].t v  $\Downarrow t_3$ " **sorry**

**next**

**case** (cbv<sub>2</sub> t t' t<sub>2</sub> t<sub>3</sub>)

**have** ih: " $\wedge t_3. t' \Downarrow t_3 \implies t \Downarrow t_3$ " **by** fact

**have** "App t' t<sub>2</sub>  $\Downarrow t_3$ " **by** fact

**then obtain** x t' v'

**where** a1: " $t' \Downarrow \text{Lam } [x].t''$ "

**and** a2: " $t_2 \Downarrow v'$ "

**and** a3: " $t''[x::=v'] \Downarrow t_3$ " **using** e\_App\_elim **by** blast

**have** " $t \Downarrow \text{Lam } [x].t''$ " **using** ih a1 **by** auto

**then show** "App t t<sub>2</sub>  $\Downarrow t_3$ " **using** a2 a3 **by** auto

**qed** (auto dest!: e\_App\_elim)



**lemma** cbv\_eval:

**assumes** a: " $t_1 \longrightarrow \text{cbv } t_2$ " " $t_2 \Downarrow t_3$ "

**shows** " $t_1 \Downarrow t_3$ "

**using** a **proof**(induct arbitrary:  $t_3$ )

**case** (cbv<sub>1</sub> v x t  $t_3$ )

**have** a1: "**val** v" **by** fact

**have** a2: " $t[x::=v] \Downarrow t_3$ " **by** fact

**show** "**App** Lam [x].t v  $\Downarrow t_3$ " **using** eval\_val a1 a2 **by** auto

**next**

**case** (cbv<sub>2</sub> t t' t<sub>2</sub> t<sub>3</sub>)

**have** ih: " $\wedge t_3. t' \Downarrow t_3 \implies t \Downarrow t_3$ " **by** fact

**have** "**App** t' t<sub>2</sub>  $\Downarrow t_3$ " **by** fact

**then obtain** x t' v'

**where** a1: " $t' \Downarrow \text{Lam } [x].t''$ "

**and** a2: " $t_2 \Downarrow v'$ "

**and** a3: " $t''[x::=v'] \Downarrow t_3$ " **using** e\_App\_elim **by** blast

**have** " $t \Downarrow \text{Lam } [x].t''$ " **using** ih a1 **by** auto

**then show** "**App** t t<sub>2</sub>  $\Downarrow t_3$ " **using** a2 a3 **by** auto

**qed** (auto dest!: e\_App\_elim)

**lemma** cbv\_eval:

**assumes** a: " $t_1 \longrightarrow \text{cbv } t_2$ " " $t_2 \Downarrow t_3$ "

**shows** " $t_1 \Downarrow t_3$ "

**using** a **proof**(induct arbitrary:  $t_3$ )

**case** (cbv<sub>1</sub> v x t  $t_3$ )

**have** a1: "**val** v" **by** fact

**have** a2: " $t[x::=v] \Downarrow t_3$ " **by** fact

**show** "**App** Lam [x].t v  $\Downarrow t_3$ " **using** eval\_val a1 a2 **by** auto

**next**

**case** (cbv<sub>2</sub> t t' t<sub>2</sub> t<sub>3</sub>)

**have** ih: " $\wedge t_3. t' \Downarrow t_3 \implies t \Downarrow t_3$ " **by** fact

**have** "**App** t' t<sub>2</sub>  $\Downarrow t_3$ " **by** fact

**then obtain** x t' v'

**where** a1: " $t' \Downarrow \text{Lam } [x].t''$ "

**and** a2: " $t_2 \Downarrow v'$ "

**and** a3: " $t''[x::=v'] \Downarrow t_3$ " **using** e\_App\_elim **by** blast

**have** " $t \Downarrow \text{Lam } [x].t''$ " **using** ih a1 **by** auto

**then show** "**App** t t<sub>2</sub>  $\Downarrow t_3$ " **using** a2 a3 **by** auto

**qed** (auto dest!: e\_App\_elim)

**lemma** cbv\_eval:

**assumes** a: " $t_1 \longrightarrow cbv\ t$ " " $t \Downarrow t$ "

**shows** " $t_1 \Downarrow t_3$ "

**using** a **proof**(induct

**case** (cbv<sub>1</sub> v x t t<sub>3</sub>)

**have** a1: "val v" **by** fact

**have** a2: " $t[x::=v] \Downarrow t_3$ " **by** fact

**show** " $App\ Lam\ [x].t\ v \Downarrow t_3$ " **using** eval\_val a1 a2 **by** auto

**next**

**case** (cbv<sub>2</sub> t t' t<sub>2</sub> t<sub>3</sub>)

**have** ih: " $\bigwedge t_3. t' \Downarrow t_3 \implies t \Downarrow t_3$ " **by** fact

**have** " $App\ t'\ t_2 \Downarrow t_3$ " **by** fact

**then obtain** x t' v'

**where** a1: " $t' \Downarrow Lam\ [x].t''$ "

**and** a2: " $t_2 \Downarrow v'$ "

**and** a3: " $t''[x::=v'] \Downarrow t_3$ " **using** e\_App\_elim **by** blast

**have** " $t \Downarrow Lam\ [x].t''$ " **using** ih a1 **by** auto

**then show** " $App\ t\ t_2 \Downarrow t_3$ " **using** a2 a3 **by** auto

**qed** (auto dest!: e\_App\_elim)

**lemma** e\_App\_elim:

**assumes** a: " $App\ t_1\ t_2 \Downarrow v$ "

**shows** " $\exists x\ t\ v'. t_1 \Downarrow Lam\ [x].t \wedge t_2 \Downarrow v' \wedge t[x::=v'] \Downarrow v$ "

**lemma** cbv\_eval:

**assumes** a: " $t_1 \longrightarrow cbv\ t$ " " $t \Downarrow t$ "

**shows** " $t_1 \Downarrow t_3$ "

**using** a **proof**(induct

**case** (cbv<sub>1</sub> v x t t<sub>3</sub>)

**have** a1: "val v" **by** fact

**have** a2: " $t[x::=v] \Downarrow t_3$ " **by** fact

**show** " $App\ Lam\ [x].t\ v \Downarrow t_3$ " **using** eval\_val a1 a2 **by** auto

**next**

**case** (cbv<sub>2</sub> t' t<sub>2</sub> t<sub>3</sub>)

**have** ih: " $\bigwedge t_3. t' \Downarrow t_3 \implies t \Downarrow t_3$ " **by** fact

**have** " $App\ t'\ t_2 \Downarrow t_3$ " **by** fact

**then obtain** x t' v'

**where** a1: " $t' \Downarrow Lam\ [x].t''$ "

**and** a2: " $t_2 \Downarrow v'$ "

**and** a3: " $t''[x::=v'] \Downarrow t_3$ " **using** e\_App\_elim **by** blast

**have** " $t \Downarrow Lam\ [x].t''$ " **using** ih a1 **by** auto

**then show** " $App\ t'\ t_2 \Downarrow t_3$ " **using** a2 a3 **by** auto

**qed** (auto dest!: e\_App\_elim)

**lemma** e\_App\_elim:

**assumes** a: " $App\ t_1\ t_2 \Downarrow v$ "

**shows** " $\exists x\ t\ v'. t_1 \Downarrow Lam\ [x].t \wedge t_2 \Downarrow v' \wedge t[x::=v'] \Downarrow v$ "

**lemma** cbv\_eval:

**assumes** a: " $t_1 \longrightarrow \text{cbv } t_2$ " " $t_2 \Downarrow t_3$ "

**shows** " $t_1 \Downarrow t_3$ "

**using** a **proof**(induct arbitrary:  $t_3$ )

**case** (cbv<sub>1</sub> v x t  $t_3$ )

**have** a1: "**val** v" **by** fact

**have** a2: " $t[x ::= v] \Downarrow t_3$ " **by** fact

**show** "**App** Lam [x].t v  $\Downarrow t_3$ " **using** eval\_val a1 a2 **by** auto

**next**

**case** (cbv<sub>2</sub> t t' t<sub>2</sub> t<sub>3</sub>)

**have** ih: " $\wedge t_3. t' \Downarrow t_3 \implies t \Downarrow t_3$ " **by** fact

**have** "**App** t' t<sub>2</sub>  $\Downarrow t_3$ " **by** fact

**then obtain** x t' v'

**where** a1: " $t' \Downarrow \text{Lam } [x].t''$ "

**and** a2: " $t_2 \Downarrow v'$ "

**and** a3: " $t''[x ::= v'] \Downarrow t_3$ " **using** e\_App\_elim **by** blast

**have** " $t \Downarrow \text{Lam } [x].t''$ " **using** ih a1 **by** auto

**then show** "**App** t t<sub>2</sub>  $\Downarrow t_3$ " **using** a2 a3 **by** auto

**qed** (auto dest!: e\_App\_elim)

**lemma** cbv\_eval:

**assumes** a: " $t_1 \longrightarrow \text{cbv } t_2$ " " $t_2 \Downarrow t_3$ "

**shows** " $t_1 \Downarrow t_3$ "

**using** a **proof**(induct arbitrary:  $t_3$ )

**case** (cbv<sub>1</sub> v x t  $t_3$ )

**have** a1: "**val** v" **by** fact

**have** a2: " $t[x::=v] \Downarrow t_3$ " **by** fact

**show** "**App** Lam [x].t v  $\Downarrow t_3$ " **using** eval\_val a1 a2 **by** auto

**next**

**case** (cbv<sub>2</sub> t t' t<sub>2</sub> t<sub>3</sub>)

**have** ih: " $\wedge t_3. t' \Downarrow t_3 \implies t \Downarrow t_3$ " **by** fact

**have** "**App** t' t<sub>2</sub>  $\Downarrow t_3$ " **by** fact

**then obtain** x t' v'

**where** a1: " $t' \Downarrow \text{Lam } [x].t''$ "

**and** a2: " $t_2 \Downarrow v'$ "

**and** a3: " $t''[x::=v'] \Downarrow t_3$ " **using** e\_App\_elim **by** blast

**have** " $t \Downarrow \text{Lam } [x].t''$ " **using** ih a1 **by** auto

**then show** "**App** t t<sub>2</sub>  $\Downarrow t_3$ " **using** a2 a3 **by** auto

**qed** (auto dest!: e\_App\_elim)

**lemma** cbv\_eval:

**assumes** a: " $t_1 \longrightarrow \text{cbv } t_2$ " " $t_2 \Downarrow t_3$ "

**shows** " $t_1 \Downarrow t_3$ "

**using** a **proof**(induct arbitrary:  $t_3$ )

**case** (cbv<sub>1</sub> v x t  $t_3$ )

**have** a1: "**val** v" **by** fact

**have** a2: " $t[x::=v] \Downarrow t_3$ " **by** fact

**show** "**App** Lam [x].t v  $\Downarrow t_3$ " **using** eval\_val a1 a2 **by** auto

**next**

**case** (cbv<sub>2</sub> t t' t<sub>2</sub> t<sub>3</sub>)

**have** ih: " $\wedge t_3. t' \Downarrow t_3 \implies t \Downarrow t_3$ " **by** fact

**have** "**App** t' t<sub>2</sub>  $\Downarrow t_3$ " **by** fact

**then obtain** x t' v'

**where** a1: " $t' \Downarrow \text{Lam } [x].t''$ "

**and** a2: " $t_2 \Downarrow v'$ "

**and** a3: " $t''[x::=v'] \Downarrow t_3$ " **using** e\_App\_elim **by** blast

**have** " $t \Downarrow \text{Lam } [x].t''$ " **using** ih a1 **by** auto

**then show** "**App** t t<sub>2</sub>  $\Downarrow t_3$ " **using** a2 a3 **by** auto

**qed** (auto dest!: e\_App\_elim)

# Nothing Interesting

**lemma** cbvs\_eval:

**assumes** a: " $t_1 \longrightarrow_{cbv^*} t_2$ " " $t_2 \Downarrow t_3$ "

**shows** " $t_1 \Downarrow t_3$ "

**using** a **by** (induct) (auto intro: cbv\_eval)

**lemma** cbvs\_implies\_eval:

**assumes** a: " $t \longrightarrow_{cbv^*} v$ " "**val** v"

**shows** " $t \Downarrow v$ "

**using** a **by** (induct) (auto intro: eval\_val cbvs\_eval)

**theorem** machines\_implies\_eval:

**assumes** a: " $\langle t_1, [] \rangle \mapsto^* \langle t_2, [] \rangle$ " **and** b: "**val**  $t_2$ "

**shows** " $t_1 \Downarrow t_2$ "

**proof** -

**have** " $t_1 \longrightarrow_{cbv^*} t_2$ " **using** a **by** (simp add: machines\_implies\_cbvs)

**then show** " $t_1 \Downarrow t_2$ " **using** b **by** (simp add: cbvs\_implies\_eval)

**qed**

# Extensions

- With only minimal modifications the proofs can be extended to the language given by:

```
nominal_datatype lam =  
  Var "name"  
| App "lam" "lam"  
| Lam "«name»lam" ("Lam [ _ ]. _")  
| Num "nat"  
| Minus "lam" "lam" ("_ -- _")  
| Plus "lam" "lam" ("_ ++ _")  
| TRUE  
| FALSE  
| IF "lam" "lam" "lam"  
| Fix "«name»lam" ("Fix [ _ ]. _")  
| Zet "lam"  
| Eqi "lam" "lam"
```

# Honest Toil, No Theft!

- The sacred principle of HOL:

"The method of 'postulating' what we want has many advantages; they are the same as the advantages of theft over honest toil."

B. Russell, Introduction of Mathematical Philosophy

- I will show next that the weak structural induction principle implies the strong structural induction principle.

(I am only going to show the lambda-case.)

# Permutations

A permutation **acts** on variable names as follows:

$$\begin{aligned} [] \cdot a &\stackrel{\text{def}}{=} a \\ ((a_1 a_2) :: \pi) \cdot a &\stackrel{\text{def}}{=} \begin{cases} a_1 & \text{if } \pi \cdot a = a_2 \\ a_2 & \text{if } \pi \cdot a = a_1 \\ \pi \cdot a & \text{otherwise} \end{cases} \end{aligned}$$

- $[]$  stands for the empty list (the identity permutation), and
- $(a_1 a_2) :: \pi$  stands for the permutation  $\pi$  followed by the swapping  $(a_1 a_2)$ .

# Permutations on Lambda-Terms

- Permutations act on lambda-terms as follows:

$$\begin{aligned}\pi \cdot x &\stackrel{\text{def}}{=} \text{“action on variables”} \\ \pi \cdot (t_1 t_2) &\stackrel{\text{def}}{=} (\pi \cdot t_1) (\pi \cdot t_2) \\ \pi \cdot (\lambda x. t) &\stackrel{\text{def}}{=} \lambda(\pi \cdot x). (\pi \cdot t)\end{aligned}$$

- Alpha-equivalence can be defined as:

$$\frac{t_1 = t_2}{\lambda x. t_1 = \lambda x. t_2}$$

$$\frac{x \neq y \quad t_1 = (x y) \cdot t_2 \quad x \# t_2}{\lambda x. t_1 = \lambda y. t_2}$$

# Permutations on Lambda-Terms

- Permutations act on lambda-terms as follows:

$$\begin{aligned}\pi \cdot x &\stackrel{\text{def}}{=} \text{“action on variables”} \\ \pi \cdot (t_1 t_2) &\stackrel{\text{def}}{=} (\pi \cdot t_1) (\pi \cdot t_2) \\ \pi \cdot (\lambda x.t) &\stackrel{\text{def}}{=} \lambda(\pi \cdot x).(\pi \cdot t)\end{aligned}$$

- Alpha-equivalence can be defined as:

$$\frac{t_1 = t_2}{\lambda x.t_1 = \lambda x.t_2}$$

$$\frac{x \neq y \quad t_1 = (x y) \cdot t_2 \quad x \# t_2}{\lambda x.t_1 = \lambda y.t_2}$$

Notice, I wrote equality here!

# My Claim

$$\forall x. P x$$
$$\forall t_1 t_2. P t_1 \wedge P t_2 \Rightarrow P (t_1 t_2)$$
$$\forall x t. P t \Rightarrow P (\lambda x.t)$$

---

$$P t$$


implies

$$\forall x c. P c x$$
$$\forall t_1 t_2 c. (\forall d. P d t_1) \wedge (\forall d. P d t_2) \Rightarrow P c (t_1 t_2)$$
$$\forall x t c. x \# c \wedge (\forall d. P d t) \Rightarrow P c (\lambda x.t)$$

---

$$P c t$$

# Proof for the Strong Induction Principle

- We prove  $Pct$  by induction on  $t$ .

# Proof for the Strong Induction Principle

- We prove  $\forall \pi c. Pc(\pi \cdot t)$  by induction on  $t$ .

# Proof for the Strong Induction Principle

- We prove  $\forall \pi c. Pc(\pi \cdot t)$  by induction on  $t$ .
- I.e., we have to show  $Pc(\pi \cdot (\lambda x.t))$ .

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$$Pc \lambda y.((y \ \pi \cdot x) \cdot \pi \cdot t)$$

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- We have  $\forall \pi c. Pc (\pi \cdot t)$  by induction.

- Our weak induction hypothesis is:
 
$$\frac{x \neq y \quad t_1 = (x \ y) \cdot t_2 \quad y \# t_2}{\forall x t. \lambda y. t_1 = \lambda x. t_2} (t)$$

- We choose a fresh  $y$  such that  $y \# (\pi \cdot x, \pi \cdot t, c)$ .
- Now we can use  $\forall c. Pc ((y \ \pi \cdot x) \cdot \pi \cdot t)$  to infer

$$Pc \lambda y. ((y \ \pi \cdot x) \cdot \pi \cdot t)$$

- However

$$\lambda y. ((y \ \pi \cdot x) \cdot \pi \cdot t) \neq \lambda(\pi \cdot x).(\pi \cdot t)$$

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- However

$$\lambda y.((y \ \pi \cdot x) \cdot \pi \cdot t) = \lambda(\pi \cdot x).(\pi \cdot t)$$

- Therefore  $Pc \lambda(\pi \cdot x).(\pi \cdot t)$  and we are done.

# This Proof in Isabelle

lemma lam\_strong\_induct:

fixes c::"a::fs\_name"

assumes h<sub>1</sub>: " $\bigwedge x c. P c (\text{Var } x)$ "

and h<sub>2</sub>: " $\bigwedge t_1 t_2 c. [\bigvee d. P d t_1; \bigvee d. P d t_2] \implies P c (\text{App } t_1 t_2)$ "

and h<sub>3</sub>: " $\bigwedge x t c. [x \# c; \bigvee d. P d t] \implies P c (\text{Lam } [x].t)$ "

shows "P c t"

proof -

have " $\forall (\pi::\text{name prm}) c. P c (\pi \bullet t)$ " ...

then have "P c (([]::name prm) • t)" by blast

then show "P c t" by simp

qed



interesting bit

# Interesting Bit

...

have " $\forall (\pi :: \text{name prm}) c. P c (\pi \bullet t)$ "

proof (induct t rule: lam.induct)

case (Lam x t)

have ih: " $\forall (\pi :: \text{name prm}) c. P c (\pi \bullet t)$ " by fact

{ fix  $\pi :: \text{"name prm"}$  and  $c :: \text{"a::fs\_name"}$

obtain  $y :: \text{"name"}$  where  $fc: "y\#(\pi \bullet x, \pi \bullet t, c)"$

by (rule exists\_fresh) (auto simp add: fs\_name1)

from ih have " $\forall c. P c (((y, \pi \bullet x)]@ \pi) \bullet t)$ " by simp

then have " $\forall c. P c ((y, \pi \bullet x)] \bullet (\pi \bullet t))$ " by (auto simp only: pt\_name2)

with  $h_3$  have " $P c (\text{Lam } [y]. [(y, \pi \bullet x)] \bullet (\pi \bullet t))$ " using fc by (simp add: fresh\_prod)

moreover

have " $\text{Lam } [y]. [(y, \pi \bullet x)] \bullet (\pi \bullet t) = \text{Lam } [(\pi \bullet x)]. (\pi \bullet t)$ "

using fc by (simp add: lam.inject alpha fresh\_atm fresh\_prod)

ultimately have " $P c (\text{Lam } [(\pi \bullet x)]. (\pi \bullet t))$ " by simp

}

then have " $\forall (\pi :: \text{name prm}) c. P c (\text{Lam } [(\pi \bullet x)]. (\pi \bullet t))$ " by simp

then show " $\forall (\pi :: \text{name prm}) c. P c (\pi \bullet (\text{Lam } [x]. t))$ " by simp

qed (auto intro: h<sub>1</sub> h<sub>2</sub>)

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...

```
have "∀ (π::name prm) c. P c (π • t)"
```

```
proof (induct t rule: lam.induct)
```

```
case (Lam x t)
```

```
have ih: "∀ (π::name prm) c. P c (π • t)" by fact
```

```
{ fix π::"name prm" and c::"a::fs_name"
```

```
obtain y::"name" where fc: "y#(π • x, π • t, c)"
```

```
by (rule exists_fresh) (auto simp add: fs_name1)
```

```
from ih have "∀ c. P c (((y, π • x)]@π) • t)" by simp
```

```
then have "∀ c. P c (((y, π • x)] • (π • t))" by (auto simp only: pt_name2)
```

```
with h3 have "P c (Lam [y].[(y, π • x)] • (π • t))" using fc by (simp add: fresh_prod)
```

```
moreover
```

```
have "Lam [y].[(y, π • x)] • (π • t) = Lam [(π • x)].(π • t)"
```

```
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```

```
ultimately have "P c (Lam [(π • x)].(π • t))" by simp
```

```
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# Some Examples

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$$\frac{\Gamma \vdash_{\Sigma} A_1 : \text{Type} \quad (x, A_1)::\Gamma \vdash_{\Sigma} M_2 : A_2 \quad x \# (\Gamma, A_1)}{\Gamma \vdash_{\Sigma} \text{Lam } [x:A_1].M_2 : \Pi[x:A_1].A_2}$$

# Some Examples

$$\frac{x \# \Gamma \quad (x, T_1)::\Gamma \vdash t : T_2}{\Gamma \vdash \text{Lam } [x].t : T_1 \rightarrow T_2}$$

$$\frac{t \mapsto t'}{[x].t \mapsto t'}$$

free

$$\frac{\Gamma \vdash_{\Sigma} A_1 : \text{Type} \quad (x, A_1)::\Gamma \vdash_{\Sigma} M_2 : A_2 \quad x \# (\Gamma, A_1)}{\Gamma \vdash_{\Sigma} \text{Lam } [x:A_1].M_2 : \Pi[x:A_1].A_2}$$

bound      bound

# Some Examples

$$\frac{x \# \Gamma \quad (x, T_1)::\Gamma \vdash t : T_2}{\Gamma \vdash \text{Lam } [x].t : T_1 \rightarrow T_2}$$

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free free free

$$\frac{(x, \tau_1)::\Delta \vdash_{\Sigma} \text{App } M (\text{Var } x) \Leftrightarrow \text{App } N (\text{Var } x) : \tau_2 \quad x \# (\Delta, M, N)}{\Delta \vdash_{\Sigma} M \Leftrightarrow N : \tau_1 \rightarrow \tau_2}$$

# Some Examples

$$\frac{x \# \Gamma \quad (x, T_1)::\Gamma \vdash t : T_2}{\Gamma \vdash \text{Lam } [x].t : T_1 \rightarrow T_2}$$

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$$\frac{\Gamma \vdash_{\Sigma} A_1 : \text{Type} \quad (x, A_1)::\Gamma \vdash_{\Sigma} M_2 : A_2 \quad x \# (\Gamma, A_1)}{\Gamma \vdash_{\Sigma} \text{Lam } [x:A_1].M_2 : \Pi[x:A_1].A_2}$$

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# Formalisation of LF

## nominal\_datatype

```
kind = Type
      | KPi "ty" "«name»kind"
```

```
and ty = TConst "id"
        | TApp "ty" "trm"
        | TPi "ty" "«name»ty"
```

```
and trm = Const "id"
          | Var "name"
          | App "trm" "trm"
          | Lam "ty" "«name»trm"
```

```
abbreviation KPi_syn :: "name  $\Rightarrow$  ty  $\Rightarrow$  kind  $\Rightarrow$  kind" ("II[_:_.]_")
where "II[x:A].K  $\equiv$  KPi A x K"
```

```
abbreviation TPi_syn :: "name  $\Rightarrow$  ty  $\Rightarrow$  ty  $\Rightarrow$  ty" ("II[_:_.]_")
where "II[x:A1].A2  $\equiv$  TPi A1 x A2"
```

```
abbreviation Lam_syn :: "name  $\Rightarrow$  ty  $\Rightarrow$  trm  $\Rightarrow$  trm" ("Lam [_:_.]_")
where "Lam [x:A].M  $\equiv$  Lam A x M"
```

# Formalisation of LF

(joint work with Cheney and Berghofer)



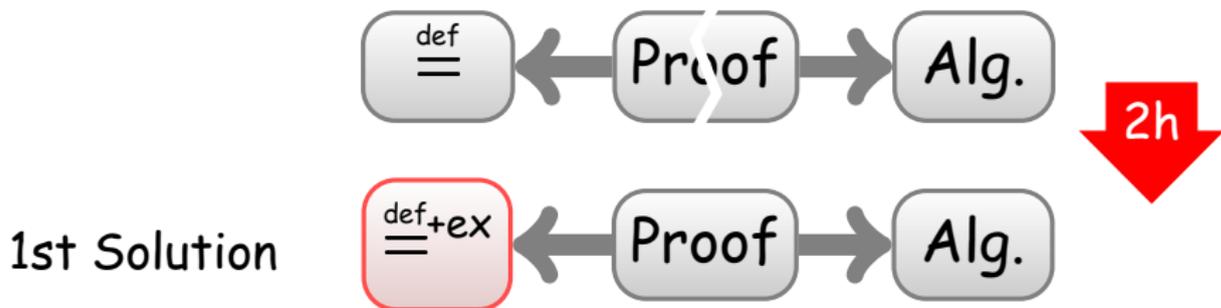
# Formalisation of LF

(joint work with Cheney and Berghofer)



# Formalisation of LF

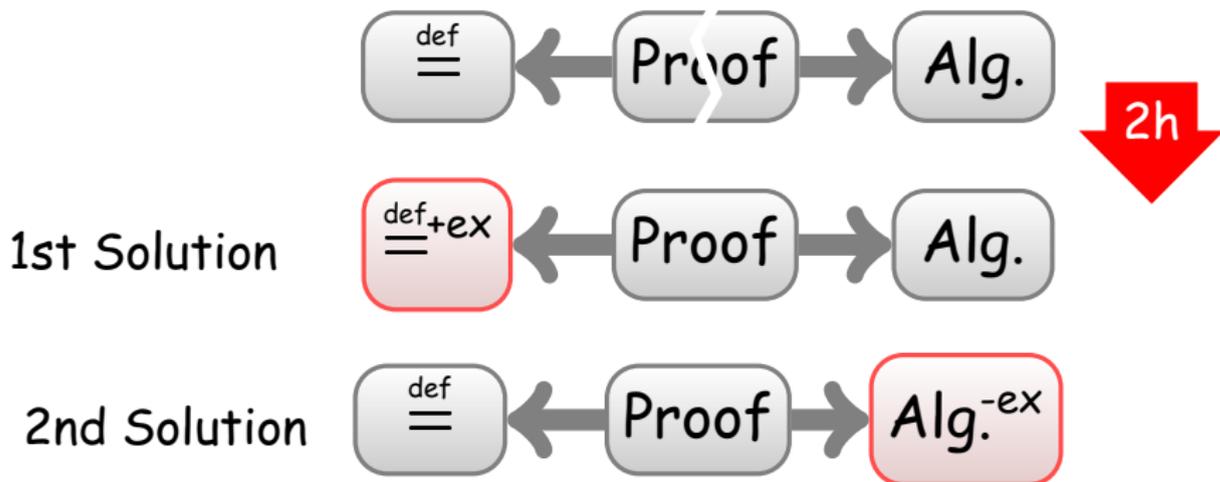
(joint work with Cheney and Berghofer)



(each time one needs to check  $\sim 31$ pp of informal paper proofs)

# Formalisation of LF

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# Formalisation of LF

(joint work with Cheney and Berghofer)



2h

1st Solution



2nd Solution



3rd Solution



(each time one needs to check  $\sim 31$ pp of informal paper proofs)

# In My PhD

`nominal_datatype trm =`

`Ax "name" "coname"`

`| Cut "«coname»trm" "«name»trm"`

`("Cut ⟨_⟩. _ (⟨_⟩. _)")`

`| NotR "«name»trm" "coname"`

`("NotR (⟨_⟩. _)")`

`| NotL "«coname»trm" "name"`

`("NotL ⟨_⟩. _")`

`| AndR "«coname»trm" "«coname»trm" "coname"`

`("AndR ⟨_⟩. _ ⟨_⟩. _")`

`| AndL1 "«name»trm" "name"`

`("AndL1 (⟨_⟩. _)")`

`| AndL2 "«name»trm" "name"`

`("AndL2 (⟨_⟩. _)")`

`| OrR1 "«coname»trm" "coname"`

`("OrR1 ⟨_⟩. _")`

`| OrR2 "«coname»trm" "coname"`

`("OrR2 ⟨_⟩. _")`

`| OrL "«name»trm" "«name»trm" "name"`

`("OrL (⟨_⟩. _ (⟨_⟩. _)")`

`| ImpR "«name»(«coname»trm)" "coname"`

`("ImpR (⟨_⟩. ⟨_⟩. _)")`

`| Impl "«coname»trm" "«name»trm" "name"`

`("Impl ⟨_⟩. _ (⟨_⟩. _)")`

- A SN-result for cut-elimination in CL: reviewed by Henk Barendregt and Andy Pitts, and reviewers of conference and journal paper. Still, I found errors in central lemmas; fortunately the main claim was correct :o)

# Two Health Warnings ;o)

Theorem provers should come with two health warnings:

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Theorem provers should come with two health warnings:

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(Xavier Leroy: "Building [proof] scripts is surprisingly addictive, in a videogame kind of way...")

- Theorem provers cause you to lose faith in your proofs done by hand!

(Michael Norrish, Mike Gordon, me, very possibly others)

# Conclusions

- The Nominal Isabelle automatically derives the strong structural induction principle for all nominal datatypes (not just the lambda-calculus);
- also for rule inductions (though they have to satisfy a vc-condition).
- They are easy to use: you just have to think carefully what the variable convention should be.
- We can explore the **dark** corners of the variable convention: when and where it can actually be used.

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- also for rule inductions (though they have to satisfy a vc-condition).
- They are easy to use: you just have to think carefully what the variable convention should be.
- We can explore the **dark** corners of the variable convention: when and where it can actually be used.
- **Main Point:** Actually these proofs using the variable convention are all trivial / obvious / routine... **provided** you use Nominal Isabelle. ;o)

**Thank you very much!**