

A Crash-Course on Notation

Characters and Strings

In this module we will often use *characters*. While they are surely familiar, we will make one subtle distinction. If we want to refer to concrete characters, like `a`, `b` and so on, we use a typewriter font. So if we want to refer to the concrete characters of my email address we shall write

`christian.urban@kcl.ac.uk`

If we need to explicitly indicate the “space” character, we write `_`. For example

`hello_world`

But often we do not care about which characters we use. In such cases we use the italic font and write *a*, *b* and so on. So if we need a representative string, we might write

abracadabra (1)

We do not really care what the characters stand for, except we do care about is that for example the character *a* is not equal to *b*.

An *alphabet* is a finite set of characters. Often the letter Σ is used to refer to an alphabet. For example the ASCII characters `a` to `z` form an alphabet. The digits `0` to `9` are another alphabet. If nothing else is specified, we usually assume the alphabet consists of just the lower-case letters *a*, *b*, ..., *z*. Sometimes, however, we explicitly restrict strings to contain, for example, only the letters *a* and *b*. In this case we say the alphabet is the set $\{a, b\}$.

Strings are lists of characters. Unfortunately, there are many ways how we can write down strings. In programming languages, they are usually written as `"hello"` where the double quotes indicate that we dealing with a string. But since, strings are lists of characters we could also write this string as

`[h, e, l, l, o]`

The important point is that we can always decompose such strings. For example, we will often consider the first character of a string, say *h*, and the “rest” of a string say `"ello"` when making definitions about strings. There are some subtleties with the empty string, sometimes written as `"` but also as the empty list of characters `[]`. Two strings, for example *s*₁ and *s*₂, can be *concatenated*, which we write as *s*₁@*s*₂. Suppose we are given two strings `"foo"` and `"bar"`, then their concatenation, written `"foo" @ "bar"`, gives `"foobar"`. Often we will simplify our life and just drop the double quotes whenever it is clear we are talking about strings, writing as already in (1) just *foo*, *bar*, *foobar* or *foo @ bar*.

Some simple properties of string concatenation hold. For example the concatenation operation is *associative*, meaning

$$(s_1@s_2)@s_3 = s_1@(s_2@s_3)$$

are always equal strings. The empty string behaves like a unit element, therefore

$$s@[] = []@s = s$$

While for us strings are just lists of characters, programming languages often differentiate between the two concepts. In Scala, for example, there is the type of `String` and the type of lists of characters, `List[Char]`. They are not the same and we need to explicitly coerce elements between the two types, for example

```
scala> "abc".toList
res01: List[Char] = List(a, b, c)
```

Sets and Languages

We will use the familiar operations \cup and \cap for sets. For the empty set we will either write \emptyset or $\{\}$. The set containing, for example, the natural numbers 1, 2 and 3 we will write as

$$\{1, 2, 3\}$$

The notation \in means *element of*, so $1 \in \{1, 2, 3\}$ is true and $3 \in \{1, 2, 3\}$ is false. Sets can potentially have infinitely many elements. For example the set of all natural numbers $\{0, 1, 2, \dots\}$ is infinite. This set is often also abbreviated as \mathbb{N} . We can define sets by giving all elements, like $\{0, 1\}$, but also by *set comprehensions*. For example the set of all even natural numbers can be defined as

$$\{n \mid n \in \mathbb{N} \wedge n \text{ is even}\}$$

Though silly, but the set $\{0, 1, 2\}$ could also be defined by the following set comprehension

$$\{n \mid n^2 < 9 \wedge n \in \mathbb{N}\}$$

Notice that set comprehensions could be used to define set union, intersection and difference:

$$\begin{aligned} A \cup B &\stackrel{\text{def}}{=} \{x \mid x \in A \vee x \in B\} \\ A \cap B &\stackrel{\text{def}}{=} \{x \mid x \in A \wedge x \in B\} \\ A \setminus B &\stackrel{\text{def}}{=} \{x \mid x \in A \wedge x \notin B\} \end{aligned}$$

For defining sets, we will also often use the notion of the “big union”. An example is as follows:

$$\bigcup_{0 \leq n} \{n^2, n^2 + 1\} \quad (2)$$

which is the set of all squares and their immediate successors, so

$$\{0, 1, 2, 4, 5, 9, 10, 16, 17, \dots\}$$

A big union is a sequence of unions which are indexed typically by a natural number. So the big union in (2) could equally be written as

$$\{0, 1\} \cup \{1, 2\} \cup \{4, 5\} \cup \{9, 10\} \cup \dots$$

but using the big union notation is more concise.

An important notion in this module are *Languages*, which are sets of strings. The main goal for us will be how to (formally) specify languages and to find out whether a string is in a language or not. Note that the language containing the empty string $\{\epsilon\}$ is not equal to the empty language (or empty set): The former contains one element, namely ϵ (also written $[\]$), but the latter does not contain any.

For languages we define the operation of *language concatenation*, written $A@B$:

$$A@B \stackrel{\text{def}}{=} \{s_1@s_2 \mid s_1 \in A \wedge s_2 \in B\} \quad (3)$$

Be careful to understand the difference: the $@$ in $s_1@s_2$ is string concatenation, while $A@B$ refers to the concatenation of two languages (or sets of strings). As an example suppose $A = \{ab, ac\}$ and $B = \{zzz, qq, r\}$, then $A@B$ is

$$\{abzzz, abqq, abr, aczzz, acqq, acr\}$$

Recall the properties for string concatenation. For language concatenation we have the following properties

$$\begin{aligned} \text{associativity:} & \quad (A@B)@C = A@(B@C) \\ \text{unit element:} & \quad A@\{\epsilon\} = \{\epsilon\}@A = A \\ \text{zero element:} & \quad A@\emptyset = \emptyset@A = \emptyset \end{aligned}$$

Note the difference: the empty set behaves like 0 for multiplication and the set $\{\epsilon\}$ like 1 for multiplication.

Following the language concatenation, we can define a *language power* operation as follows:

$$\begin{aligned} A^0 & \stackrel{\text{def}}{=} \{\epsilon\} \\ A^{n+1} & \stackrel{\text{def}}{=} A@A^n \end{aligned}$$

This definition is by induction on natural numbers. Note carefully that the zero-case is not defined as the empty set, but the set containing the empty string. So no matter what the set A is, A^0 will always be $\{\epsilon\}$. (There is another hint about a connection between the @-operation and multiplication: How is x^n defined and what is x^0 ?)

Next we can define the *star operation* for languages: A^* is the union of all powers of A , or short

$$A^* \stackrel{\text{def}}{=} \bigcup_{0 \leq n} A^n$$

Unfolding this definition

$$A^0 \cup A^1 \cup A^2 \cup A^3 \cup \dots$$

which is equal to

$$\{\epsilon\} \cup A \cup A@A \cup A@A@A \cup \dots$$

we can see that the empty string is always in A^* , no matter what A is. This is because $\epsilon \in A^0$. To make sure you understand these definition, I leave you to answer what $\{\epsilon\}^*$ and \emptyset^* are.

Recall that an alphabet is often referred to by the letter Σ . We can now write for the set of all strings over this alphabet Σ^* . In doing so we also include the empty string as a possible string over Σ . So if $\Sigma = \{a, b\}$ then Σ^* is

$$\{\epsilon, a, b, ab, ba, aaa, aab, aba, abb, baa, bab, \dots\}$$

or in other words all strings containing *as* and *bs* only.