

# Automata and Formal Languages (4)

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Slides: KEATS (also home work is there)

# Regexps and Automata

Thompson's construction      subset construction

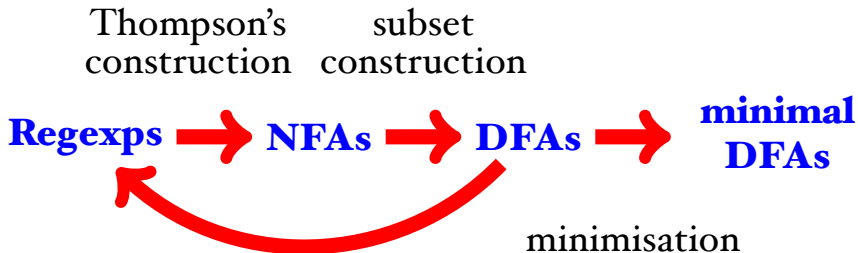
**Regexps**  **NFAs**  **DFAs**

# Regexps and Automata

Thompson's construction      subset construction



# Regexps and Automata



# DFAs

A deterministic finite automaton consists of:

- a finite set of states,  $Q$
- one of these states is the start state,  $q_0$
- there is transition function,  $\delta$ , and
- some states are accepting states,  $F$

$$A(Q, q_0, \delta, F)$$

# State Nodes

```
1  abstract class State
2  type States = Set[State]
3
4  case class IntState(i: Int) extends State
5
6  object NewState {
7    var counter = 0
8
9    def apply() : IntState = {
10     counter += 1;
11     new IntState(counter - 1)
12   }
13 }
```

# DFAs

```
1  case class DFA(states: States,  
2      start: State,  
3      delta: (State, Char) => State,  
4      fins: States) {  
5  
6      def deltas(q: State, s: List[Char]) : State = s match {  
7          case Nil => q  
8          case c::cs => deltas(delta(q, c), cs)  
9      }  
10  
11     def accepts(s: String) : Boolean =  
12         Try(fins contains (deltas(start, s.toList))) getOrElse false  
13 }
```

# DFA

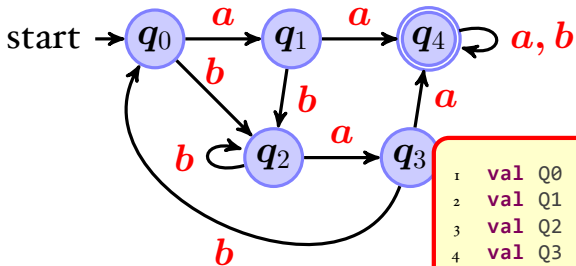
$$\hat{\delta}(q, "") \stackrel{\text{def}}{=} q$$

$$\hat{\delta}(q, c :: s) \stackrel{\text{def}}{=} \hat{\delta}(\delta(q, c), s)$$

$$\hat{\delta}(q_0, s) \in F$$

```
1  case class DFA(states: States,
2      start: State,
3      delta: (State, Char) => State,
4      fins: States) {
5
6      def deltas(q: State, s: List[Char]) : State = s match {
7          case Nil => q
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9      }
10
11     def accepts(s: String) : Boolean =
12         Try(fins contains (deltas(start, s.toList))) getOrElse false
13 }
```





```

1  val Q0 = NewState()
2  val Q1 = NewState()
3  val Q2 = NewState()
4  val Q3 = NewState()
5  val Q4 = NewState()
6
7  val delta : (State, Char) => State =
8  {
9      case (Q0, 'a') => Q1
10     case (Q0, 'b') => Q2
11     case (Q1, 'a') => Q4
12     case (Q1, 'b') => Q2
13     case (Q2, 'a') => Q3
14     case (Q2, 'b') => Q2
15     case (Q3, 'a') => Q4
16     case (Q3, 'b') => Q0
17     case (Q4, 'a') => Q4
18     case (Q4, 'b') => Q4
19 }

```

# NFAs

A non-deterministic finite automaton  $A(Q, q_0, \delta, F)$  consists of:

- a finite set of states,  $Q$
- one of these states is the start state,  $q_0$
- some states are accepting states,  $F$ ,
- there is transition **relation**,  $\delta$ , and
- there are **silent** transitions

$$(q_1, a) \rightarrow q_2$$

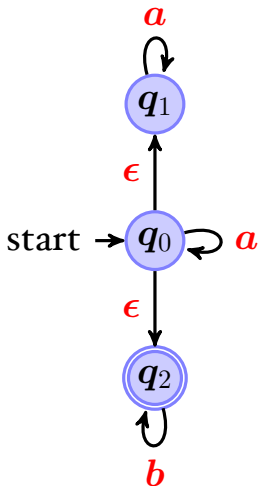
$$(q_1, a) \rightarrow q_3$$

$$(q_1, \epsilon) \rightarrow q_2$$

```

1  case class NFA(states: States,
2      start: State,
3      delta: (State, Char) => States,
4      eps: State => States,
5      fins: States) {
6
7      def epsclosure(qs: States) : States = {
8          val ps = qs ++ qs.flatMap(eps(_))
9          if (qs == ps) ps else epsclosure(ps)
10     }
11
12     def deltas(qs: States, s: List[Char]) : States = s match {
13         case Nil => epsclosure(qs)
14         case c::cs =>
15             deltas(
16                 epsclosure(
17                     epsclosure(qs).flatMap(delta (_, c))), cs)
18     }
19
20     def accepts(s: String) : Boolean =
21         deltas(Set(start), s.toList) exists (fins contains _)
22 }

```



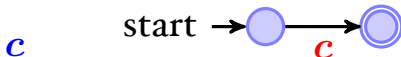
```

1  val Q0 = NewState()
2  val Q1 = NewState()
3  val Q2 = NewState()
4
5  val delta : (State, Char) => States = {
6      case (Q0, 'a') => Set(Q0)
7      case (Q1, 'a') => Set(Q1)
8      case (Q2, 'b') => Set(Q2)
9      case (_, _) => Set ()
10 }
11
12 val eps : State => States = {
13     case Q0 => Set(Q1, Q2)
14     case _ => Set()
15 }
16
17 val NFA1 = NFA(Set(Q0, Q1, Q2),
18               Q0,
19               delta,
20               eps,
21               Set(Q2))

```

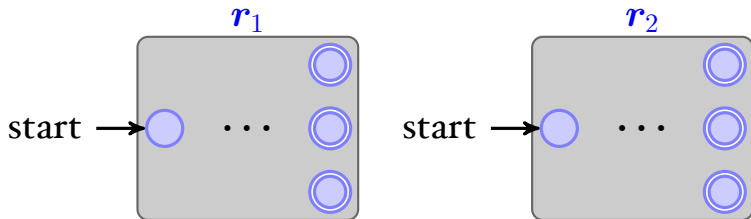
# Rexp to NFA

Thompson's construction of a NFA from a regular expression:



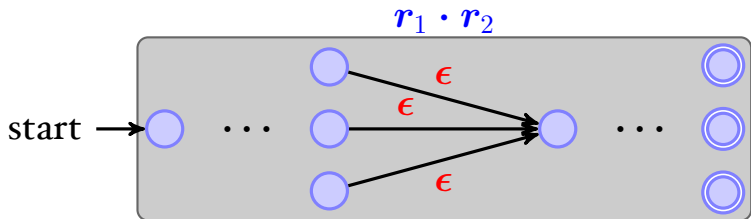
# Case $r_1 \cdot r_2$

By recursion we are given two automata:



We need to (1) change the accepting nodes of the first automaton into non-accepting nodes, and (2) connect them via  $\epsilon$ -transitions to the starting state of the second automaton.

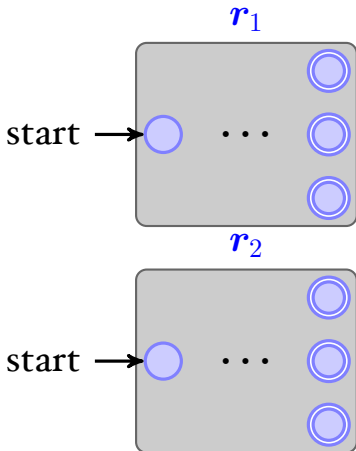
# Case $r_1 \cdot r_2$



We need to (1) change the accepting nodes of the first automaton into non-accepting nodes, and (2) connect them via  $\epsilon$ -transitions to the starting state of the second automaton.

# Case $r_1 + r_2$

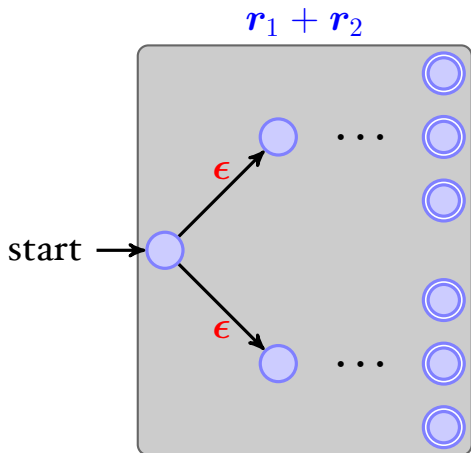
By recursion we are given two automata:



We (1) need to introduce a new starting state and (2) connect it to the original two starting states.



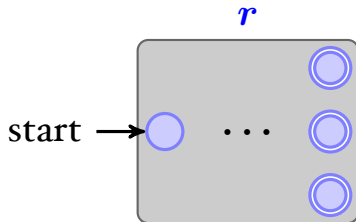
# Case $r_1 + r_2$



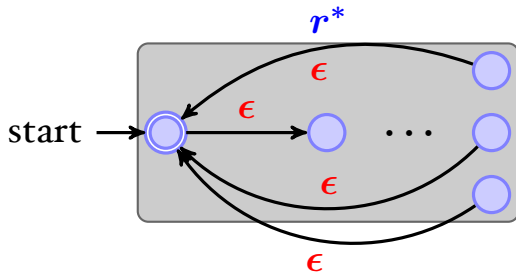
We (1) need to introduce a new starting state and (2) connect it to the original two starting states.

# Case $r^*$

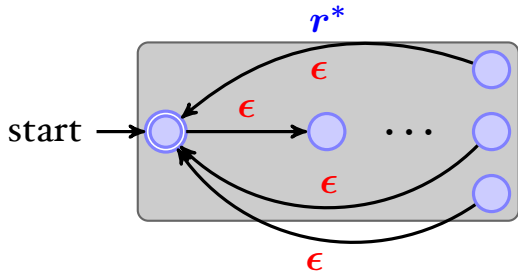
By recursion we are given an automaton for  $r$ :



# Case $r^*$

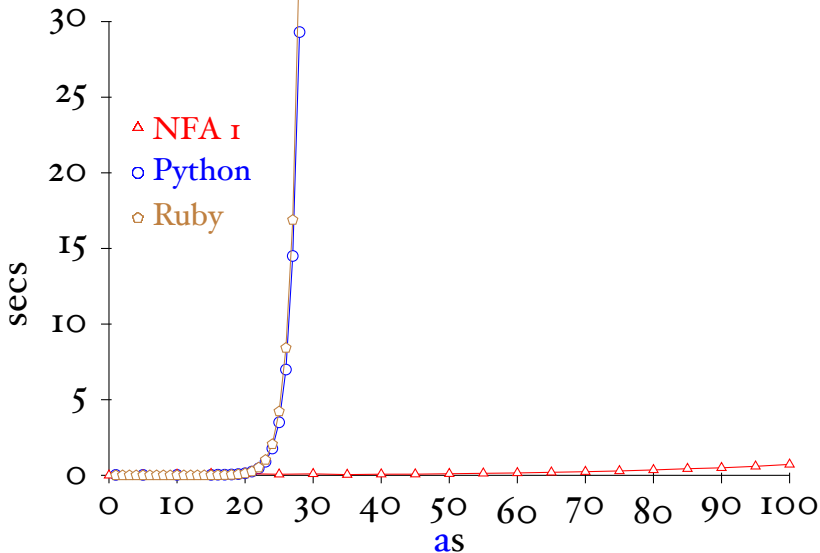


# Case $r^*$



Why can't we just have an epsilon transition from the accepting states to the starting state?

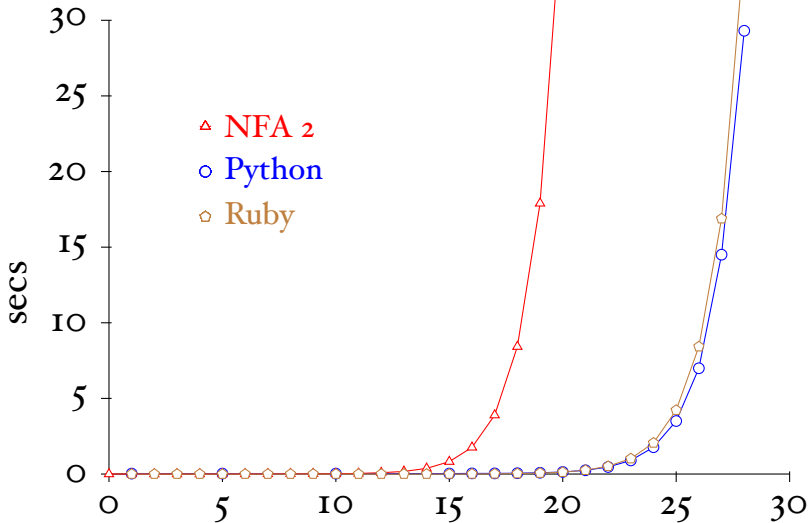
$$(a^{\{n\}}) \cdot a\{n\}$$



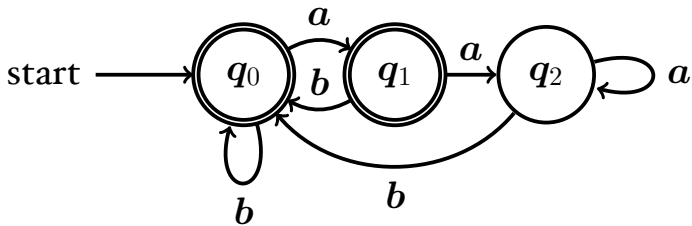
# Greedy Depth-First

```
1 def accepts2(nfa: NFA, s: String) : Boolean = {
2
3   def search(q: State, s: List[Char]) : Boolean = s match {
4     case Nil => nfa.fins contains (q)
5     case c::cs =>
6       (nfa.delta(q, c) exists (search(_, cs))) ||
7       (nfa.eps(q) exists (search(_, c::cs)))
8   }
9
10  search(nfa.start, s.toList)
11 }
12
13 def matcher2(r: Rexp, s: String) : Boolean =
14  accepts2(thompson(r), s)
```

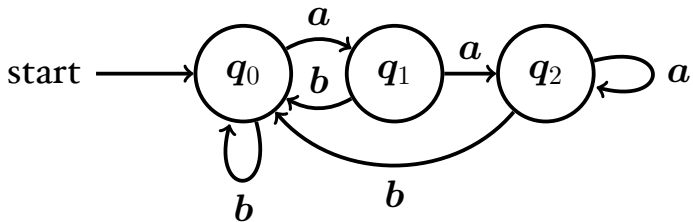
$$(a^{\{n\}}) \cdot a^{\{n\}}$$

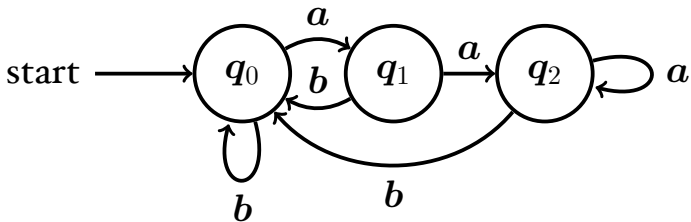


# DFA to Rexp





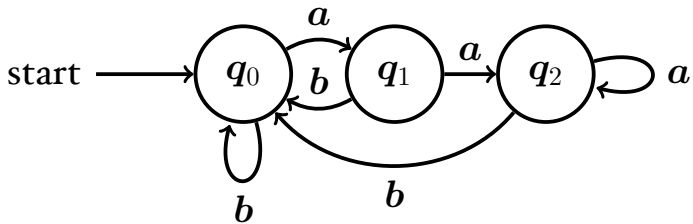


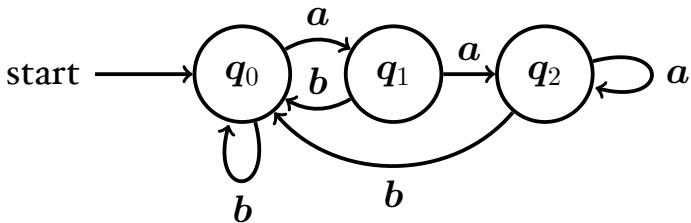


$$q_0 = 2q_0 + 3q_1 + 4q_2$$

$$q_1 = 2q_0 + 3q_1 + 1q_2$$

$$q_2 = 1q_0 + 5q_1 + 2q_2$$

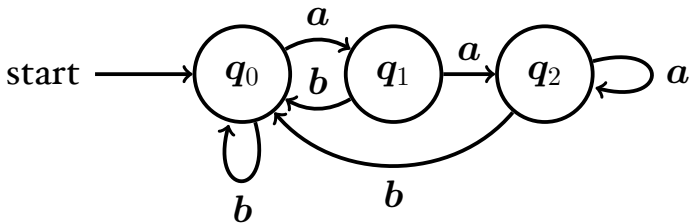




$$q_0 = \epsilon + q_0 b + q_1 b + q_2 b$$

$$q_1 = q_0 a$$

$$q_2 = q_1 a + q_2 a$$



$$q_0 = \epsilon + q_0 b + q_1 b + q_2 b$$

$$q_1 = q_0 a$$

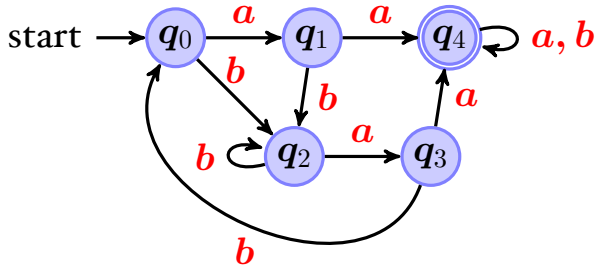
$$q_2 = q_1 a + q_2 a$$

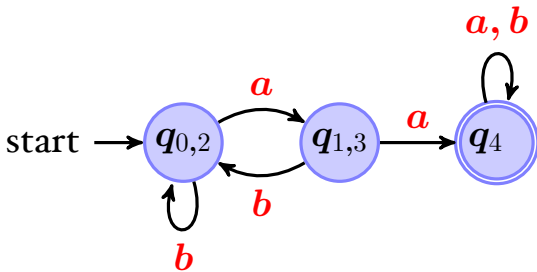
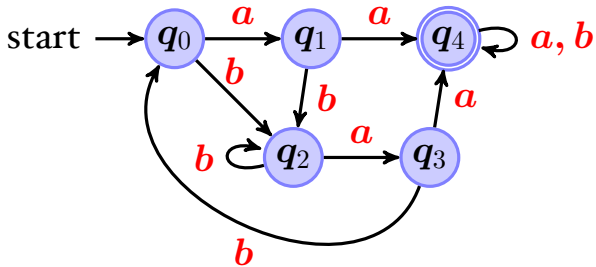
Arden's Lemma:

$$\text{If } q = q r + s \text{ then } q = s r^*$$

# DFA Minimisation

- 1 Take all pairs  $(q, p)$  with  $q \neq p$
- 2 Mark all pairs that accepting and non-accepting states
- 3 For all unmarked pairs  $(q, p)$  and all characters  $c$  tests whether  
 $(\delta(q, c), \delta(p, c))$   
are marked. If yes, then also mark  $(q, p)$ .
- 4 Repeat last step until no change.
- 5 All unmarked pairs can be merged.





minimal automaton



- Assuming you have the alphabet  $\{a, b, c\}$
- Give a regular expression that can recognise all strings that have at least one  $b$ .