

Automata and Formal Languages (3)

Email: christian.urban at kcl.ac.uk

Office: S1.27 (1st floor Strand Building)

Slides: KEATS (also home work and course-
work is there)

Regular Expressions

In programming languages they are often used to recognise:

- symbols, digits
- identifiers
- numbers (non-leading zeros)
- keywords
- comments

<http://www.regexp.com>

Last Week

Last week I showed you a regular expression matcher which works provably correctly in all cases.

matcher r s if and only if $s \in L(r)$

by Janusz Brzozowski (1964)

The Derivative of a Rexp

$$\mathit{der} \ c (\emptyset) \stackrel{\text{def}}{=} \emptyset$$

$$\mathit{der} \ c (\epsilon) \stackrel{\text{def}}{=} \emptyset$$

$$\mathit{der} \ c (d) \stackrel{\text{def}}{=} \text{if } c = d \text{ then } \epsilon \text{ else } \emptyset$$

$$\mathit{der} \ c (r_1 + r_2) \stackrel{\text{def}}{=} \mathit{der} \ c r_1 + \mathit{der} \ c r_2$$

$$\mathit{der} \ c (r_1 \cdot r_2) \stackrel{\text{def}}{=} \text{if } \mathit{nullable}(r_1) \\ \text{then } (\mathit{der} \ c r_1) \cdot r_2 + \mathit{der} \ c r_2 \\ \text{else } (\mathit{der} \ c r_1) \cdot r_2$$

$$\mathit{der} \ c (r^*) \stackrel{\text{def}}{=} (\mathit{der} \ c r) \cdot (r^*)$$

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$$\mathit{ders} \ [] \ r \stackrel{\text{def}}{=} r$$

$$\mathit{ders} (c :: s) \ r \stackrel{\text{def}}{=} \mathit{ders} \ s (\mathit{der} \ c r)$$

To see what is going on, define

$$Der\ c\ A \stackrel{\text{def}}{=} \{s \mid c::s \in A\}$$

For $A = \{\text{"foo"}, \text{"bar"}, \text{"frak"}\}$ then

$$Der\ f\ A = \{\text{"oo"}, \text{"rak"}\}$$

$$Der\ b\ A = \{\text{"ar"}\}$$

$$Der\ a\ A = \emptyset$$

The Idea of the Algorithm

If we want to recognise the string "abc" with regular expression r then

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The Idea of the Algorithm

If we want to recognise the string "*abc*" with regular expression *r* then

- 1 $Der\ a\ (L(r))$
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- 4 finally we test whether the empty string is in this set

The Idea of the Algorithm

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The matching algorithm works similarly, just over regular expression instead of sets.

Input: string "abc" and regular expression r

- 1 $der\ a\ r$
- 2 $der\ b\ (der\ a\ r)$
- 3 $der\ c\ (der\ b\ (der\ a\ r))$

Input: string "*abc*" and regular expression *r*

- 1 *der a r*
- 2 *der b (der a r)*
- 3 *der c (der b (der a r))*
- 4 finally check whether the last regular expression can match the empty string

We proved already

nullable(r) if and only if $\epsilon \in L(r)$

by induction on the regular expression.

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by induction on the regular expression.

Any Questions?

We need to prove

$$L(\mathit{der} \ c \ r) = \mathit{Der} \ c (L(r))$$

by induction on the regular expression.

Proofs about Rexp

- P holds for \emptyset , ϵ and c
- P holds for $r_1 + r_2$ under the assumption that P already holds for r_1 and r_2 .
- P holds for $r_1 \cdot r_2$ under the assumption that P already holds for r_1 and r_2 .
- P holds for r^* under the assumption that P already holds for r .

Proofs about Natural Numbers and Strings

- P holds for 0 and
- P holds for $n + 1$ under the assumption that P already holds for n

- P holds for "" and
- P holds for $c :: s$ under the assumption that P already holds for s

Languages

A **language** is a set of strings.

A **regular expression** specifies a language.

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not all languages are regular, e.g. $a^n b^n$.

Regular Expressions

r	$::=$	\emptyset	null
		ϵ	empty string / "" / []
		c	character
		$r_1 \cdot r_2$	sequence
		$r_1 + r_2$	alternative / choice
		r^*	star (zero or more)

How about ranges $[a-z]$, r^+ and $\sim r$? Do they increase the set of languages we can recognise?

Negation of Regular Expr's

- $\sim r$ (everything that r cannot recognise)
- $L(\sim r) \stackrel{\text{def}}{=} UNIV - L(r)$
- $nullable(r) \stackrel{\text{def}}{=} not(nullable(r))$
- $der\ c(\sim r) \stackrel{\text{def}}{=} \sim (der\ c\ r)$

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Used often for comments:

$$/ \cdot * \cdot (\sim ([a-z]^* \cdot * \cdot / \cdot [a-z]^*)) \cdot * \cdot /$$

Negation

Assume you have an alphabet consisting of the letters **a**, **b** and **c** only. Find a regular expression that matches all strings except **ab**, **ac** and **cba**.

Regular Exp's for Lexing

Lexing separates strings into “words” / components.

- Identifiers (non-empty strings of letters or digits, starting with a letter)
- Numbers (non-empty sequences of digits omitting leading zeros)
- Keywords (else, if, while, ...)
- White space (a non-empty sequence of blanks, newlines and tabs)
- Comments

Automata

A deterministic finite automaton consists of:

- a set of states
- one of these states is the start state
- some states are accepting states, and
- there is transition function

which takes a state as argument and a character and produces a new state

this function might not always be defined

Automata

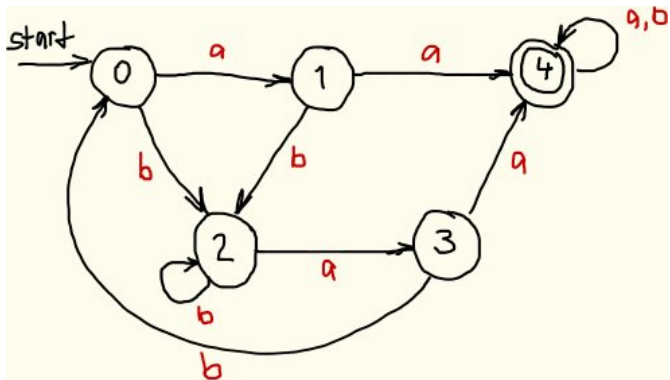
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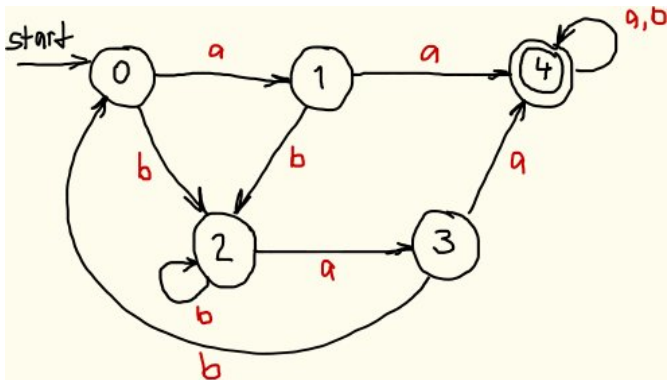
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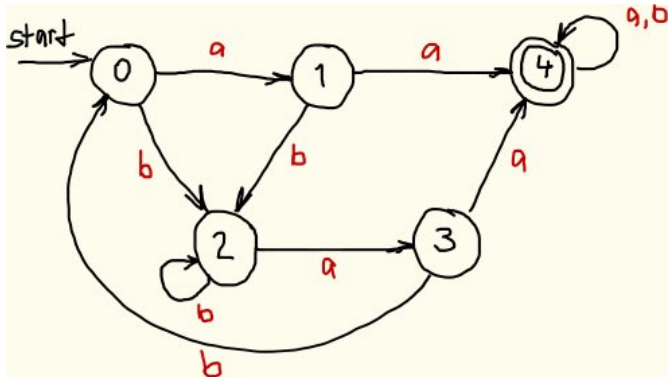
this function might not always be defined

$$A(Q, q_0, F, \delta)$$





- start can be an accepting state
- it is possible that there is no accepting state
- all states might be accepting (but does not necessarily mean all strings are accepted)



for this automaton δ is the function

$$\begin{array}{lll}
 (q_0, a) \rightarrow q_1 & (q_1, a) \rightarrow q_4 & (q_4, a) \rightarrow q_4 \\
 (q_0, b) \rightarrow q_2 & (q_1, b) \rightarrow q_2 & (q_4, b) \rightarrow q_4 \dots
 \end{array}$$

Accepting a String

Given

$$A(Q, q_0, F, \delta)$$

you can define

$$\begin{aligned}\hat{\delta}(q, \epsilon) &= q \\ \hat{\delta}(q, c :: s) &= \hat{\delta}(\delta(q, c), s)\end{aligned}$$

Accepting a String

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$$\begin{aligned}\hat{\delta}(q, \epsilon) &= q \\ \hat{\delta}(q, c :: s) &= \hat{\delta}(\delta(q, c), s)\end{aligned}$$

Whether a string s is accepted by A ?

$$\hat{\delta}(q_0, s) \in F$$

Non-Deterministic Finite Automata

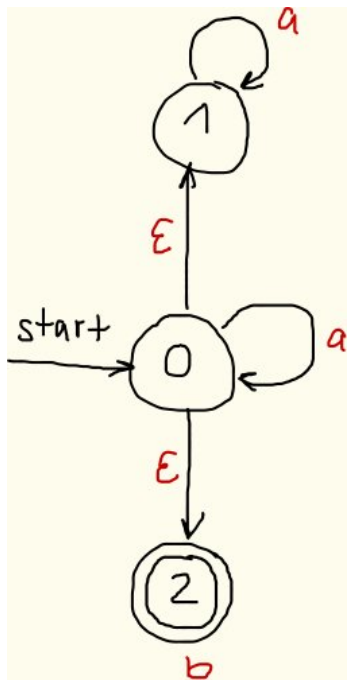
A non-deterministic finite automaton consists again of:

- a finite set of states
- one of these states is the start state
- some states are accepting states, and
- there is transition **relation**

$$(q_1, a) \rightarrow q_2$$

$$(q_1, a) \rightarrow q_3$$

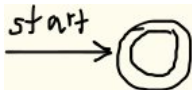
$$(q_1, \epsilon) \rightarrow q_2$$



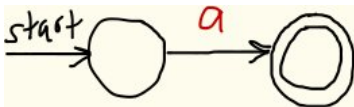
\emptyset



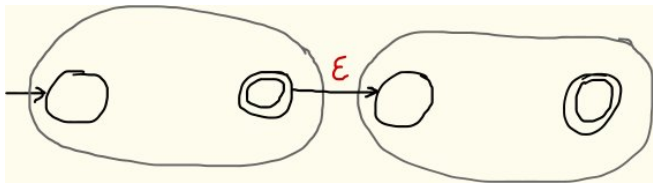
ϵ

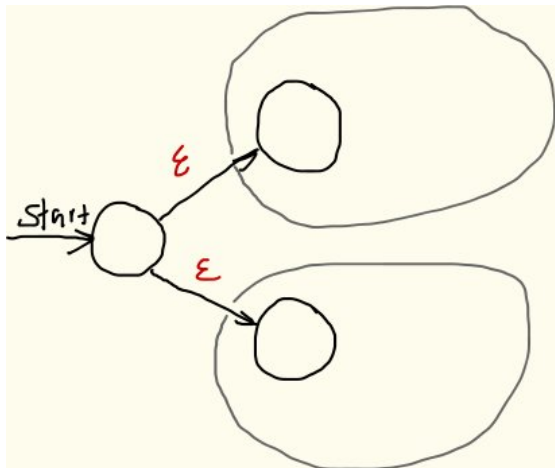


c



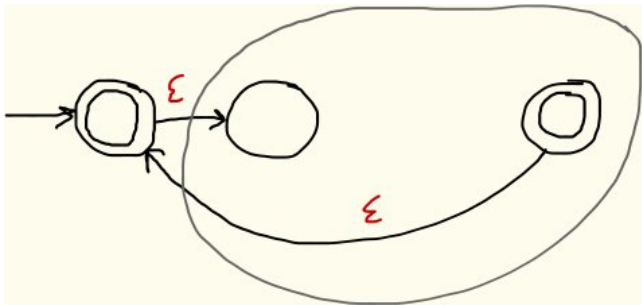
$r_1 \cdot r_2$



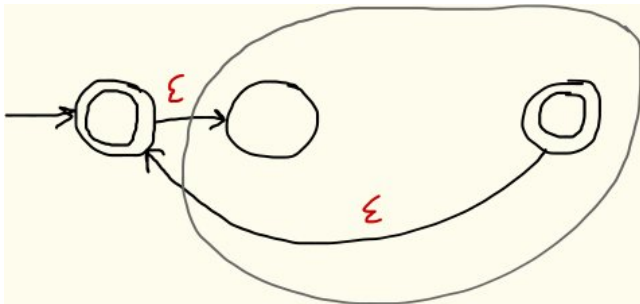


$r_1 + r_2$

Γ^*

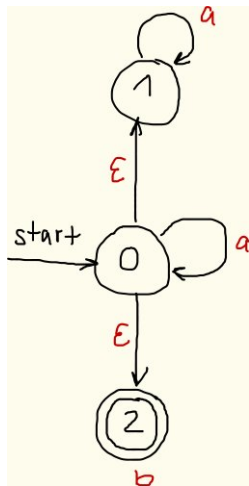


r^*



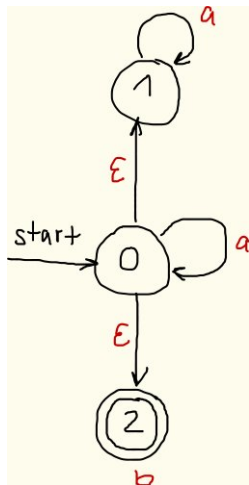
Why can't we just have an epsilon transition from the accepting states to the starting state?

Subset Construction



	a	b
\emptyset	\emptyset	\emptyset
$\{0\}$	$\{0, 1, 2\}$	$\{2\}$
$\{1\}$	$\{1\}$	\emptyset
$\{2\}$	\emptyset	$\{2\}$
$\{0, 1\}$	$\{0, 1, 2\}$	$\{2\}$
$\{0, 2\}$	$\{0, 1, 2\}$	$\{2\}$
$\{1, 2\}$	$\{1\}$	$\{2\}$
$\{0, 1, 2\}$	$\{0, 1, 2\}$	$\{2\}$

Subset Construction



	a	b
\emptyset	\emptyset	\emptyset
$\{0\}$	$\{0, 1, 2\}$	$\{2\}$
$\{1\}$	$\{1\}$	\emptyset
$\{2\}^*$	\emptyset	$\{2\}$
$\{0, 1\}$	$\{0, 1, 2\}$	$\{2\}$
$\{0, 2\}^*$	$\{0, 1, 2\}$	$\{2\}$
$\{1, 2\}^*$	$\{1\}$	$\{2\}$
s: $\{0, 1, 2\}^*$	$\{0, 1, 2\}$	$\{2\}$

Regular Languages

A language is **regular** iff there exists a regular expression that recognises all its strings.

or equivalently

A language is **regular** iff there exists a deterministic finite automaton that recognises all its strings.

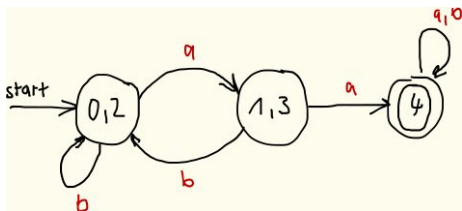
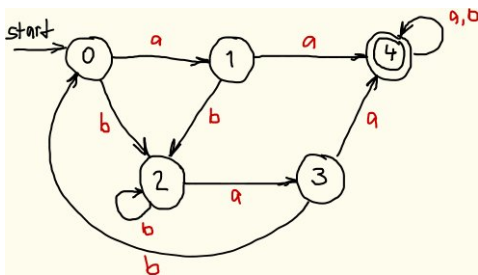
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Why is every finite set of strings a regular language?



minimal automaton

- 1 Take all pairs (q, p) with $q \neq p$
- 2 Mark all pairs that accepting and non-accepting states
- 3 For all unmarked pairs (q, p) and all characters c tests whether

$$(\delta(q,c), \delta(p,c))$$

are marked. If yes, then also mark (q, p)

- 4 Repeat last step until no change.
- 5 All unmarked pairs can be merged.

Given the function

$$\mathit{rev}(\emptyset) \stackrel{\text{def}}{=} \emptyset$$

$$\mathit{rev}(\epsilon) \stackrel{\text{def}}{=} \epsilon$$

$$\mathit{rev}(c) \stackrel{\text{def}}{=} c$$

$$\mathit{rev}(r_1 + r_2) \stackrel{\text{def}}{=} \mathit{rev}(r_1) + \mathit{rev}(r_2)$$

$$\mathit{rev}(r_1 \cdot r_2) \stackrel{\text{def}}{=} \mathit{rev}(r_2) \cdot \mathit{rev}(r_1)$$

$$\mathit{rev}(r^*) \stackrel{\text{def}}{=} \mathit{rev}(r)^*$$

and the set

$$\mathit{Rev} A \stackrel{\text{def}}{=} \{s^{-1} \mid s \in A\}$$

prove whether

$$L(\mathit{rev}(r)) = \mathit{Rev}(L(r))$$