

Proof

Recall the definitions for regular expressions and the language associated with a regular expression:

$$\begin{array}{l|l}
 r & ::= \\
 \hline
 & \emptyset \\
 & \epsilon \\
 & c \\
 & r_1 \cdot r_2 \\
 & r_1 + r_2 \\
 & r^*
 \end{array}
 \qquad
 \begin{array}{l}
 L(\emptyset) \stackrel{\text{def}}{=} \emptyset \\
 L(\epsilon) \stackrel{\text{def}}{=} \{\epsilon\} \\
 L(c) \stackrel{\text{def}}{=} \{c\} \\
 L(r_1 \cdot r_2) \stackrel{\text{def}}{=} L(r_1) @ L(r_2) \\
 L(r_1 + r_2) \stackrel{\text{def}}{=} L(r_1) \cup L(r_2) \\
 L(r^*) \stackrel{\text{def}}{=} \bigcup_{n \geq 0} L(r)^n
 \end{array}$$

We also defined the notion of a derivative of a regular expression (the derivative with respect to a character):

$$\begin{array}{l}
 \text{der } c(\emptyset) \stackrel{\text{def}}{=} \emptyset \\
 \text{der } c(\epsilon) \stackrel{\text{def}}{=} \emptyset \\
 \text{der } c(d) \stackrel{\text{def}}{=} \text{if } c = d \text{ then } \epsilon \text{ else } \emptyset \\
 \text{der } c(r_1 + r_2) \stackrel{\text{def}}{=} (\text{der } c r_1) + (\text{der } c r_2) \\
 \text{der } c(r_1 \cdot r_2) \stackrel{\text{def}}{=} \text{if } \text{nullable}(r_1) \\
 \qquad \qquad \qquad \text{then } ((\text{der } c r_1) \cdot r_2) + (\text{der } c r_2) \\
 \qquad \qquad \qquad \text{else } (\text{der } c r_1) \cdot r_2 \\
 \text{der } c(r^*) \stackrel{\text{def}}{=} (\text{der } c r) \cdot (r^*)
 \end{array}$$

With our definition of regular expressions comes an induction principle. Given a property P over regular expressions. We can establish that $\forall r. P(r)$ holds, provided we can show the following:

1. $P(\emptyset)$, $P(\epsilon)$ and $P(c)$ all hold,
2. $P(r_1 + r_2)$ holds under the induction hypotheses that $P(r_1)$ and $P(r_2)$ hold,
3. $P(r_1 \cdot r_2)$ holds under the induction hypotheses that $P(r_1)$ and $P(r_2)$ hold, and
4. $P(r^*)$ holds under the induction hypothesis that $P(r)$ holds.

Let us try out an induction proof. Recall the definition

$$\text{Der } c A \stackrel{\text{def}}{=} \{s \mid c :: s \in A\}$$

whereby A is a set of strings. We like to prove

$$P(r) \stackrel{\text{def}}{=} L(\text{der } c r) = \text{Der } c(L(r))$$

by induction over the regular expression r .

Proof

According to 1. above we need to prove $P(\emptyset)$, $P(\epsilon)$ and $P(d)$. Lets do this in turn.

- First Case: $P(\emptyset)$ is $L(\text{der } c \emptyset) = \text{Der } c(L(\emptyset))$ (a). We have $\text{der } c \emptyset = \emptyset$ and $L(\emptyset) = \emptyset$. We also have $\text{Der } c \emptyset = \emptyset$. Hence we have $\emptyset = \emptyset$ in (a).
- Second Case: $P(\epsilon)$ is $L(\text{der } c \epsilon) = \text{Der } c(L(\epsilon))$ (b). We have $\text{der } c \epsilon = \emptyset$, $L(\emptyset) = \emptyset$ and $L(\epsilon) = \{\epsilon\}$. We also have $\text{Der } c \{\epsilon\} = \emptyset$. Hence we have $\emptyset = \emptyset$ in (b).
- Third Case: $P(d)$ is $L(\text{der } c d) = \text{Der } c(L(d))$ (c). We need to treat the cases $d = c$ and $d \neq c$.
 - $d = c$: We have $\text{der } c c = \epsilon$ and $L(\epsilon) = \{\epsilon\}$. We also have $L(c) = \{c\}$ and $\text{Der } c \{c\} = \{\epsilon\}$. Hence we have $\{\epsilon\} = \{\epsilon\}$ in (c).
 - $d \neq c$: We have $\text{der } c d = \emptyset$. We also have $\text{Der } c \{d\} = \emptyset$. Hence we have $\emptyset = \emptyset$ in (c).

These were the easy base cases. Now come the inductive cases.

- Fourth Case: $P(r_1 + r_2)$ is $L(\text{der } c(r_1 + r_2)) = \text{Der } c(L(r_1 + r_2))$ (d). This is what we have to show. We can assume already:

$$\begin{aligned} P(r_1): \quad & L(\text{der } c r_1) = \text{Der } c(L(r_1)) \text{ (I)} \\ P(r_2): \quad & L(\text{der } c r_2) = \text{Der } c(L(r_2)) \text{ (II)} \end{aligned}$$

We have that $\text{der } c(r_1 + r_2) = (\text{der } c r_1) + (\text{der } c r_2)$ and also $L((\text{der } c r_1) + (\text{der } c r_2)) = L(\text{der } c r_1) \cup L(\text{der } c r_2)$. By (I) and (II) we know that the left-hand side is $\text{Der } c(L(r_1)) \cup \text{Der } c(L(r_2))$. You need to ponder a bit, but you should see that

$$\text{Der } c(A \cup B) = (\text{Der } c A) \cup (\text{Der } c B)$$

holds for every set of strings A and B . That means the right-hand side of (d) is also $\text{Der } c(L(r_1)) \cup \text{Der } c(L(r_2))$, because $L(r_1 + r_2) = L(r_1) \cup L(r_2)$. And we are done with the fourth case.

- Fifth Case: $P(r_1 \cdot r_2)$ is $L(\text{der } c(r_1 \cdot r_2)) = \text{Der } c(L(r_1 \cdot r_2))$ (e). We can assume already:

$$\begin{aligned} P(r_1): \quad & L(\text{der } c r_1) = \text{Der } c(L(r_1)) \text{ (I)} \\ P(r_2): \quad & L(\text{der } c r_2) = \text{Der } c(L(r_2)) \text{ (II)} \end{aligned}$$

Let us first consider the case where $\text{nullable}(r_1)$ holds. Then

$$\text{der } c(r_1 \cdot r_2) = ((\text{der } c r_1) \cdot r_2) + (\text{der } c r_2).$$

The corresponding language of the right-hand side is

$$(L(\text{der } cr_1) @ L(r_2)) \cup L(\text{der } cr_2).$$

By the induction hypotheses (I) and (II), this is equal to

$$(\text{Der } c(L(r_1)) @ L(r_2)) \cup (\text{Der } c(L(r_2))). \quad (**)$$

We also know that $L(r_1 \cdot r_2) = L(r_1) @ L(r_2)$. We have to know what $\text{Der } c(L(r_1) @ L(r_2))$ is.

Let us analyse what $\text{Der } c(A @ B)$ is for arbitrary sets of strings A and B . If A does *not* contain the empty string, then every string in $A @ B$ is of the form $s_1 @ s_2$ where $s_1 \in A$ and $s_2 \in B$. So if s_1 starts with c then we just have to remove it. Consequently, $\text{Der } c(A @ B) = (\text{Der } c(A)) @ B$. This case does not apply here though, because we already proved that if r_1 is nullable, then $L(r_1)$ contains the empty string. In this case, every string in $A @ B$ is either of the form $s_1 @ s_2$, with $s_1 \in A$ and $s_2 \in B$, or s_3 with $s_3 \in B$. This means $\text{Der } c(A @ B) = ((\text{Der } c(A)) @ B) \cup \text{Der } cB$. But this proves that $(**)$ is $\text{Der } c(L(r_1) @ L(r_2))$.

Similarly in the case where r_1 is *not* nullable.

- Sixth Case: $P(r^*)$ is $L(\text{der } c(r^*)) = \text{Der } cL(r^*)$. We can assume already:

$$P(r): \quad L(\text{der } cr) = \text{Der } c(L(r)) \quad (\text{I})$$

We have $\text{der } c(r^*) = \text{der } cr \cdot r^*$. Which means $L(\text{der } c(r^*)) = L(\text{der } cr \cdot r^*)$ and further $L(\text{der } cr) @ L(r^*)$. By induction hypothesis (I) we know that is equal to $(\text{Der } cL(r)) @ L(r^*)$. (*)

Let us now analyse $\text{Der } cL(r^*)$, which is equal to $\text{Der } c((L(r))^*)$. Now $(L(r))^*$ is defined as $\bigcup_{n \geq 0} L(r)^n$. We can write this as $L(r)^0 \cup \bigcup_{n \geq 1} L(r)^n$, where we just separated the first union and then let the “big-union” start from 1. From this we can already infer

$$\begin{aligned} \text{Der } c(L(r^*)) &= \text{Der } c(L(r)^0 \cup \bigcup_{n \geq 1} L(r)^n) = \\ &(\text{Der } cL(r)^0) \cup \text{Der } c(\bigcup_{n \geq 1} L(r)^n) \end{aligned}$$

The first union “disappears” since $\text{Der } c(L(r)^0) = \emptyset$.