

# Automata and Formal Languages (6)

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# Grammars

A (context-free) grammar  $G$  consists of

- a finite set of nonterminal symbols (upper case)
- a finite terminal symbols or tokens (lower case)
- a start symbol (which must be a nonterminal)
- a set of rules

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where  $\text{rhs}$  are sequences involving terminals and nonterminals, including the empty sequence  $\epsilon$ .

We also allow rules

$$A \rightarrow \text{rhs}_1 | \text{rhs}_2 | \dots$$

# Palindromes

$$S \rightarrow \epsilon$$

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$$S \rightarrow \epsilon$$

$$S \rightarrow a \cdot S \cdot a$$

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or

$$S \rightarrow \epsilon \mid a \cdot S \cdot a \mid b \cdot S \cdot b$$

# Arithmetic Expressions

$E \rightarrow num\_token$

$E \rightarrow E \cdot + \cdot E$

$E \rightarrow E \cdot - \cdot E$

$E \rightarrow E \cdot * \cdot E$

$E \rightarrow (\cdot E \cdot)$

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1 + 2 \* 3 + 4

# A CFG Derivation

- 1 Begin with a string containing only the start symbol, say  $S$
- 2 Replace any nonterminal  $X$  in the string by the right-hand side of some production  $X \rightarrow \text{rhs}$
- 3 Repeat 2 until there are no nonterminals

$S \rightarrow \dots \rightarrow \dots \rightarrow \dots \rightarrow \dots$



# Example Derivation

$$S \rightarrow \epsilon \mid a \cdot S \cdot a \mid b \cdot S \cdot b$$

$$\begin{aligned} S &\rightarrow aSa \\ &\rightarrow abSba \\ &\rightarrow abaSaba \\ &\rightarrow abaaba \end{aligned}$$

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$$E \rightarrow E * E$$

$$\rightarrow E + E * E$$

$$\rightarrow E + E * E + E$$

$$\rightarrow^+ 1 + 2 * 3 + 4$$

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$$\rightarrow^+ 1 + 2 * 3 + 4$$

$$E \rightarrow E + E$$

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# Language of a CFG

Let  $G$  be a context-free grammar with start symbol  $S$ . Then the language  $L(G)$  is:

$$\{c_1 \dots c_n \mid \forall i. c_i \in T \wedge S \rightarrow^* c_1 \dots c_n\}$$

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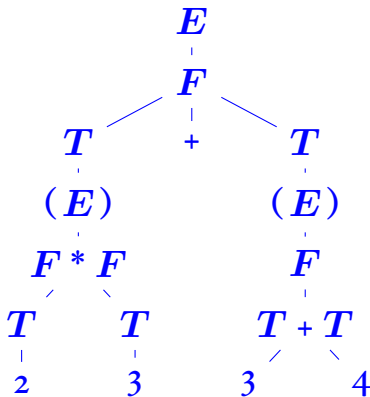
$$\{c_1 \dots c_n \mid \forall i. c_i \in T \wedge S \rightarrow^* c_1 \dots c_n\}$$

- Terminals, because there are no rules for replacing them.
- Once generated, terminals are “permanent”.
- Terminals ought to be tokens of the language (but can also be strings).

# Parse Trees

$$E \rightarrow F \mid F \cdot * \cdot F$$
$$F \rightarrow T \mid T \cdot + \cdot T \mid T \cdot - \cdot T$$
$$T \rightarrow \text{num\_token} \mid (\cdot E \cdot)$$

$(2*3)+(3+4)$



# Arithmetic Expressions

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$$E \rightarrow \textit{num\_token}$$

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A CFG is **left-recursive** if it has a nonterminal  $E$  such that  $E \rightarrow^+ E \cdot \dots$



# Ambiguous Grammars

A grammar is **ambiguous** if there is a string that has at least two different parse trees.

$$E \rightarrow \textit{num\_token}$$

$$E \rightarrow E \cdot + \cdot E$$

$$E \rightarrow E \cdot - \cdot E$$

$$E \rightarrow E \cdot * \cdot E$$

$$E \rightarrow (\cdot E \cdot)$$

1 + 2 \* 3 + 4

# Dangling Else

Another ambiguous grammar:

$$\begin{array}{l} E \rightarrow \text{if } E \text{ then } E \\ \quad | \text{if } E \text{ then } E \text{ else } E \\ \quad | \dots \end{array}$$

if a then if x then y else c

# Parser Combinators

Parser combinators:

$\underbrace{\text{list of tokens}}_{\text{input}} \Rightarrow \underbrace{\text{set of (parsed input, unparsed input)}}_{\text{output}}$

- sequencing
- alternative
- semantic action

Alternative parser (code  $p \parallel q$ )

- apply  $p$  and also  $q$ ; then combine the outputs

$$p(\text{input}) \cup q(\text{input})$$

## Sequence parser (code $p \sim q$ )

- apply first  $p$  producing a set of pairs
- then apply  $q$  to the unparsed parts
- then combine the results:  
((output<sub>1</sub>, output<sub>2</sub>), unparsed part)

$$\{((o_1, o_2), u_2) \mid (o_1, u_1) \in p(\text{input}) \wedge (o_2, u_2) \in q(u_1)\}$$

Function parser (code  $p \Rightarrow f$ )

- apply  $p$  producing a set of pairs
- then apply the function  $f$  to each first component

$$\{(f(o_1), u_1) \mid (o_1, u_1) \in p(\text{input})\}$$

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$f$  is the semantic action (“what to do with the parsed input”)

# Semantic Actions

## Addition

$$T \sim + \sim E \Rightarrow \underbrace{f((x, y), z) \Rightarrow x + z}_{\text{semantic action}}$$



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## Multiplication

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## Parenthesis

$$(\sim E \sim) \Rightarrow f((x, y), z) \Rightarrow y$$

# Types of Parsers

- **Sequencing:** if  $p$  returns results of type  $T$ , and  $q$  results of type  $S$ , then  $p \sim q$  returns results of type

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$$T$$

- **Semantic Action:** if  $p$  returns results of type  $T$  and  $f$  is a function from  $T$  to  $S$ , then  $p \Rightarrow f$  returns results of type

$$S$$

# Input Types of Parsers

- input: **string**
- output: set of (output\_type, **string**)

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- input: **string**
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actually it can be any input type as long as it is a kind of sequence (for example a string)

# Scannerless Parsers

- input: **string**
- output: set of (output\_type, **string**)

but lexers are better when whitespaces or comments need to be filtered out; then input is a sequence of tokens



# Successful Parses

- input: string
- output: **set of** (output\_type, string)

a parse is successful whenever the input has been fully “consumed” (that is the second component is empty)

# Abstract Parsers

```
1 abstract class Parser[I, T] {  
2   def parse(ts: I): Set[(T, I)]  
3  
4   def parse_all(ts: I) : Set[T] =  
5     for ((head, tail) <- parse(ts); if (tail.isEmpty))  
6       yield head  
7 }
```

```
1 class SeqParser[I, T, S](p: => Parser[I, T],
2                       q: => Parser[I, S])
3                       extends Parser[I, (T, S)] {
4   def parse(sb: I) =
5     for ((head1, tail1) <- p.parse(sb);
6         (head2, tail2) <- q.parse(tail1))
7       yield ((head1, head2), tail2)
8 }
9
10 class AltParser[I, T](p: => Parser[I, T],
11                      q: => Parser[I, T])
12                      extends Parser[I, T] {
13   def parse(sb: I) = p.parse(sb) ++ q.parse(sb)
14 }
15
16 class FunParser[I, T, S](p: => Parser[I, T], f: T => S)
17   extends Parser[I, S] {
18   def parse(sb: I) =
19     for ((head, tail) <- p.parse(sb))
20       yield (f(head), tail)
21 }
```

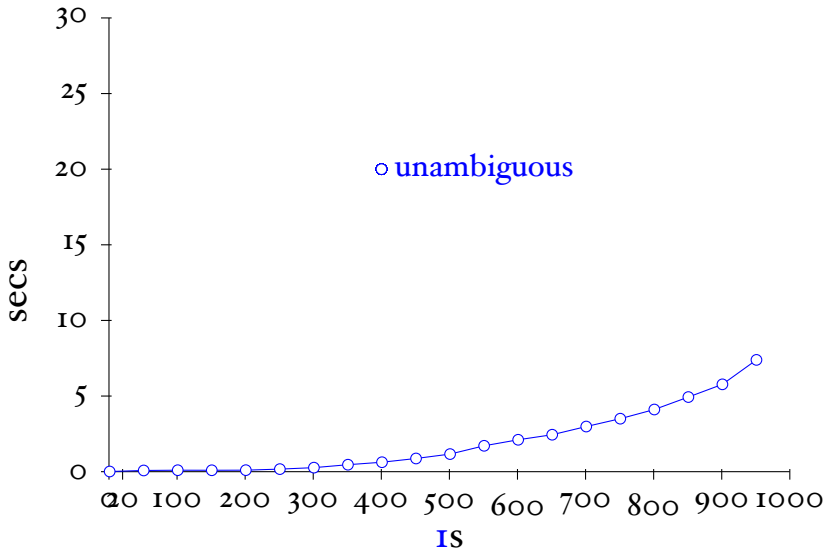
# Two Grammars

Which languages are recognised by the following two grammars?

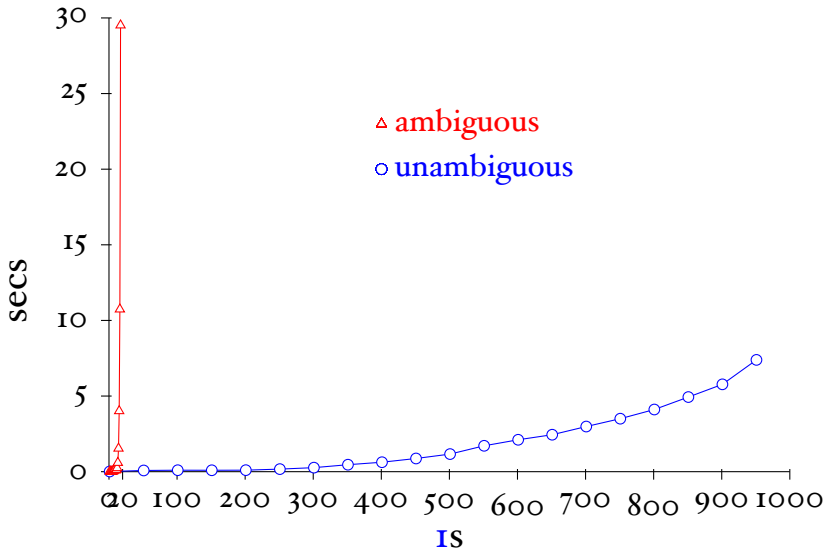
$$\begin{array}{l} S \rightarrow 1 \cdot S \cdot S \\ \quad | \quad \epsilon \end{array}$$

$$\begin{array}{l} U \rightarrow 1 \cdot U \\ \quad | \quad \epsilon \end{array}$$

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# While-Language

*Stmt* → skip  
| *Id* := *AExp*  
| if *BExp* then *Block* else *Block*  
| while *BExp* do *Block*

*Stmts* → *Stmt* ; *Stmts*  
| *Stmt*

*Block* → {*Stmts*}  
| *Stmt*

*AExp* → ...

*BExp* → ...

# An Interpreter

```
{  
   $x := 5;$   
   $y := x * 3;$   
   $y := x * 4;$   
   $x := u * 3$   
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```

- the interpreter has to record the value of  $x$  before assigning a value to  $y$



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- the interpreter has to record the value of  $x$  before assigning a value to  $y$
- `eval(stmt, env)`

# Chomsky Normal Form

All rules must be of the form

$$A \rightarrow a$$

or

$$A \rightarrow B \cdot C$$

# CYK Algorithm

$S \rightarrow N \cdot P$

$P \rightarrow V \cdot N$

$N \rightarrow N \cdot N$

$N \rightarrow$  students | Jeff | geometry | trains

$V \rightarrow$  trains

Jeff trains geometry students

# CYK Algorithm

- runtime is  $O(n^3)$
- grammars need to be transferred into CNF