

# Automata and Formal Languages (2)

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# Languages

A **language** is a set of strings.

A **regular expression** specifies a set of strings or language.

# Regular Expressions

Their inductive definition:

$r ::=$	$\emptyset$	null
	$\epsilon$	empty string / "" / []
	$c$	character
	$r_1 \cdot r_2$	sequence
	$r_1 + r_2$	alternative / choice
	$r^*$	star (zero or more)

# Regular Expressions

## Their implementation in Scala:

```
1 abstract class Rexp
2
3 case object NULL extends Rexp
4 case object EMPTY extends Rexp
5 case class CHAR(c: Char) extends Rexp
6 case class ALT(r1: Rexp, r2: Rexp) extends Rexp
7 case class SEQ(r1: Rexp, r2: Rexp) extends Rexp
8 case class STAR(r: Rexp) extends Rexp
```

# The Meaning of a Regular Expression

$$L(\emptyset) \stackrel{\text{def}}{=} \emptyset$$

$$L(\epsilon) \stackrel{\text{def}}{=} \{\epsilon\}$$

$$L(c) \stackrel{\text{def}}{=} \{c\}$$

$$L(r_1 + r_2) \stackrel{\text{def}}{=} L(r_1) \cup L(r_2)$$

$$L(r_1 \cdot r_2) \stackrel{\text{def}}{=} L(r_1) @ L(r_2)$$

$$L(r^*) \stackrel{\text{def}}{=} \bigcup_{n \geq 0} L(r)^n$$

$$L(r)^0 \stackrel{\text{def}}{=} \{\epsilon\}$$

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$A @ B$

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$L$  is a function from regular expressions to sets of strings

$L : \text{Rexp} \Rightarrow \text{Set}[\text{String}]$

What is  $L(a^*)$ ?



# Reg Exp Equivalences

$$(a + b) + c \equiv^? a + (b + c)$$

$$a + a \equiv^? a$$

$$(a \cdot b) \cdot c \equiv^? a \cdot (b \cdot c)$$

$$a \cdot a \equiv^? a$$

$$\epsilon^* \equiv^? \epsilon$$

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$$\forall r. \quad r + \epsilon \equiv^? r$$

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$$c \cdot (a + b) \equiv^? (c \cdot a) + (c \cdot b)$$

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$a \cdot a \equiv? a$       no

$\epsilon^* \equiv? \epsilon$       yes

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$\forall r. \quad r \cdot \epsilon \equiv? r$       yes

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	$a + a$	$\equiv^?$	$a$	yes
	$(a \cdot b) \cdot c$	$\equiv^?$	$a \cdot (b \cdot c)$	yes
	$a \cdot a$	$\equiv^?$	$a$	no
	$\epsilon^*$	$\equiv^?$	$\epsilon$	yes
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$\forall r.$	$r \cdot \epsilon$	$\equiv^?$	$r$	yes
$\forall r.$	$r + \epsilon$	$\equiv^?$	$r$	no
$\forall r.$	$r + \emptyset$	$\equiv^?$	$r$	yes
$\forall r.$	$r \cdot \emptyset$	$\equiv^?$	$r$	
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# The Meaning of Matching

a regular expression  $r$  matches a string  $s$   
is defined as

$$s \in L(r)$$

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if  $r_1 \equiv r_2$ , then  $s \in L(r_1)$  iff  $s \in L(r_2)$

# A Matching Algorithm

- given a regular expression  $r$  and a string  $s$ , say yes or no for whether

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or not.

- Identifiers (strings of letters or digits, starting with a letter)
- Integers (a non-empty sequence of digits)
- Keywords (else, if, while, ...)
- White space (a non-empty sequence of blanks, newlines and tabs)

# A Matching Algorithm

whether a regular expression matches the empty string:

```
1 def nullable (r: Rexp) : Boolean = r match {
2   case NULL => false
3   case EMPTY => true
4   case CHAR(_) => false
5   case ALT(r1, r2) => nullable(r1) || nullable(r2)
6   case SEQ(r1, r2) => nullable(r1) && nullable(r2)
7   case STAR(_) => true
8 }
```

# The Derivative of a Rexp

If  $r$  matches the string  $c::s$ , what is a regular expression that matches  $s$ ?

$\text{der } c \ r$  gives the answer

# The Derivative

$$\text{der } c (\emptyset) \stackrel{\text{def}}{=} \emptyset$$

$$\text{der } c (\epsilon) \stackrel{\text{def}}{=} \emptyset$$

$$\text{der } c (d) \stackrel{\text{def}}{=} \text{if } c = d \text{ then } [] \text{ else } \emptyset$$

$$\text{der } c (r_1 + r_2) \stackrel{\text{def}}{=} (\text{der } c r_1) + (\text{der } c r_2)$$

$$\text{der } c (r_1 \cdot r_2) \stackrel{\text{def}}{=} ((\text{der } c r_1) \cdot r_2) + \\ (\text{if nullable } r_1 \text{ then } \text{der } c r_2 \text{ else } \emptyset)$$

$$\text{der } c (r^*) \stackrel{\text{def}}{=} (\text{der } c r) \cdot (r^*)$$

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$$\text{der } c (r^*) \stackrel{\text{def}}{=} (\text{der } c r) \cdot (r^*)$$

$$\text{ders } [] r \stackrel{\text{def}}{=} r$$

$$\text{ders } (c::s) r \stackrel{\text{def}}{=} \text{ders } s (\text{der } c r)$$

# The Derivative

```
1 def deriv (r: Rexp, c: Char) : Rexp = r match {
2   case NULL => NULL
3   case EMPTY => NULL
4   case CHAR(d) => if (c == d) EMPTY else NULL
5   case ALT(r1, r2) => ALT(deriv(r1, c), deriv(r2, c))
6   case SEQ(r1, r2) =>
7     if (nullable(r1)) ALT(SEQ(deriv(r1, c), r2), deriv(r2, c))
8     else SEQ(deriv(r1, c), r2)
9   case STAR(r) => SEQ(deriv(r, c), STAR(r))
10 }
```

# The Rexp Matcher

```
1 def matches(r: Rexp, s: String) : Boolean =
2   nullable(derivs(r, s.toList))
3
4
5  /* Examples */
6
7  println(matches(SEQ(SEQ(CHAR('c'), CHAR('a')), CHAR('b')), "cab"))
8  println(matches(STAR(CHAR('a')), "aaa"))
9
10 /* Convenience using implicits */
11 implicit def string2rexp(s : String) : Rexp = {
12   s.foldRight (EMPTY: Rexp) ( (c, r) => SEQ(CHAR(c), r) )
13 }
14
15 println(matches("cab" , "cab"))
16 println(matches(STAR("a"), "aaa"))
17 println(matches(STAR("a"), "aaab"))
```



# Proofs about Rexp

Remember their inductive definition:

$$r ::= \begin{array}{l} \emptyset \\ \epsilon \\ c \\ r_1 \cdot r_2 \\ r_1 + r_2 \\ r^* \end{array}$$

If we want to prove something, say a property  $P(r)$ , for all regular expressions  $r$  then ...

# Proofs about Rexp (2)

- $P$  holds for  $\emptyset$ ,  $\epsilon$  and  $c$
- $P$  holds for  $r_1 + r_2$  under the assumption that  $P$  already holds for  $r_1$  and  $r_2$ .
- $P$  holds for  $r_1 \cdot r_2$  under the assumption that  $P$  already holds for  $r_1$  and  $r_2$ .
- $P$  holds for  $r^*$  under the assumption that  $P$  already holds for  $r$ .

# Proofs about Rexp (3)

Assume  $P(r)$  is the property:

nullable( $r$ ) if and only if  $\epsilon \in L(r)$

# Proofs about Strings

If we want to prove something, say a property  $P(s)$ , for all strings  $s$  then ...

- $P$  holds for the empty string, and
- $P$  holds for the string  $c::s$  under the assumption that  $P$  already holds for  $s$

# Regular Languages

A language (set of strings) is **regular** iff there exists a regular expression that recognises all its strings.

# Automata

A deterministic finite automaton consists of:

- a set of states
- one of these states is the start state
- some states are accepting states, and
- there is transition function

which takes a state as argument and a character and produces a new state

this function might not always be defined